

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

O'BRIEN, Robert J.: March 29, 2007  
SARGENT, Samantha E.: March 30, 2007  
PETRINI, Guido: March 15, 2007  
CONCA, Esterino: March 15, 2007

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) April 23, 2007

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: SUD-CHEMIE INC.

Internal Address: A Delaware Corporation

Street Address: 1600 West Hill Street

City: Louisville

State: Kentucky

Country: USA Zip: 40210

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

11/668,168 filed on January 29, 2007

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Sud-Chemie Inc.

Internal Address: IP/Legal Department

Street Address: 1600 West Hill Street

City: Louisville

State: Kentucky Zip: 40210

Phone Number: 502-634-7200

Fax Number: 502-634-7724

Email Address: donna.ferrill@sud-chemie.com

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

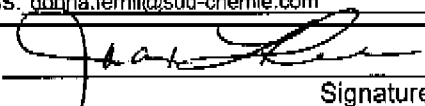
### 8. Payment Information

a. Credit Card Last 4 Numbers 9355  
Expiration Date 04/08

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

### 9. Signature:



Signature

April 29, 2007  
Date

Joan L. Simunic, Patent Counsel to Sud-Chemie Inc., Reg. No. 43,125

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 12

Documents to be recorded (Including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

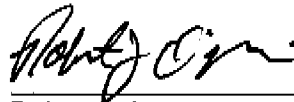
ASSIGNMENT OF INVENTION  
AND OF LETTERS PATENT

Whereas, we, the **Inventors**, Robert J. O'Brien whose post office address is 703 Bell Rock Place, Louisville, Kentucky 40243, and Samantha E. Sargent, whose post office address is 8459 Scottsville Road, Borden, IN, 47106, and Guido Petrini, whose post office address is Via C. Battisti 39A, 28066 Galliate (NO)—Italy, and Esterino Conca, whose post office address is Via Monte Grappa 8, 28100 Novara—Italy, have invented certain improvements in a **PREPARATION OF IRON OXIDES** ("**Invention**"), and have executed a utility application for United States Letters Patent of the same title filed herewith ("**Application**"); and

Whereas, **Assignee, Sud-Chemie Inc.**, of Louisville, Kentucky, a(n) Delaware corporation whose post office address is 1600 West Hill Street, Louisville, Kentucky 40210 (including its successors and assigns), desires to acquire our entire right, title and interest in said **Application** and **Invention**, and any United States and foreign patents to be obtained therefor;

Now therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign and transfer unto said **Assignee**, the entire right, title and interest in said **Application** and the **Invention** disclosed therein for the United States of America and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended and the entire right, title, and interest in and to any and all patent applications, patents, continuations, continuations-in-part, divisionals, and reissues based thereon which may be filed or granted therefor in the United States or any foreign country. We also agree that **Assignee**, may apply for foreign Letters Patent on the **Invention**, and we agree to cooperate with **Assignee**, and to execute without additional consideration any additional documents as deemed necessary by **Assignee**, to apply for or maintain patents or other legal protection for the **Invention** in any country of the world.

We hereby authorize and request the U.S. Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in this **Application** to said **Assignee**.



Robert J. O'Brien

Executed this 29 day of March, 2007

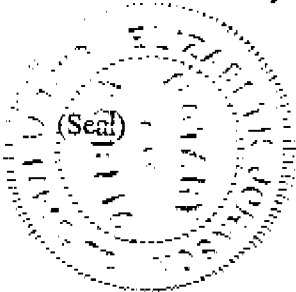
STATE OF KENTUCKY )

) SS:

COUNTY OF JEFFERSON )

Before me personally appeared Robert J. O'Brien, and acknowledged the foregoing instrument to be his free act and deed this 29 day of March, 2007

My Commission expires: July 12, 2010




Elizabeth Johnson  
Notary Public



Samantha E. Sargent

Executed this 30 day of March, 2007

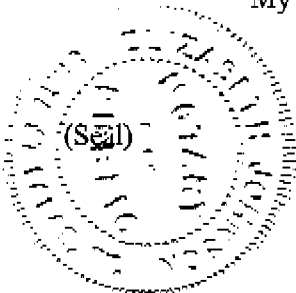
STATE OF KENTUCKY )

) SS:

COUNTY OF JEFFERSON )

Before me personally appeared Samantha E. Sargent, and acknowledged the foregoing instrument to be his free act and deed this 30 day of March, 2007

My Commission expires: July 12, 2010




Elizabeth Johnson  
Notary Public

Guido Petrini

Executed this \_\_\_\_ day of \_\_\_\_\_, 2007

Before me personally appeared Guido Petrini and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_ day of \_\_\_\_\_, 2007

My Commission expires: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Esterino Conca

Executed this \_\_\_\_ day of \_\_\_\_\_, 2007.

Before me personally appeared Esterino Conca, and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_ day of \_\_\_\_\_, 2007

My Commission expires: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Notary Public

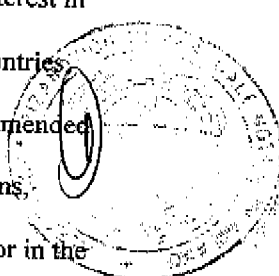
\_\_\_\_\_

ASSIGNMENT OF INVENTION  
AND OF LETTERS PATENT

Whereas, we, the **Inventors**, Robert J. O'Brien whose post office address is 703 Bell Rock Place, Louisville, Kentucky 40243, and Samantha E. Sargent, whose post office address is 8459 Scottsville Road, Borden, IN, 47106, and Guido Petrini, whose post office address is Via C. Battisti 39A, 28066 Galliate (NO)—Italy, and Esterino Conca, whose post office address is Via Monte Grappa 8, 28100 Novara—Italy, have invented certain improvements in a **PREPARATION OF IRON OXIDES** ("**Invention**"), and have executed a utility application for United States Letters Patent of the same title filed herewith ("**Application**"); and

Whereas, **Assignee, Sud-Chemie Inc.**, of Louisville, Kentucky, a(n) Delaware corporation whose post office address is 1600 West Hill Street, Louisville, Kentucky 40210 (including its successors and assigns), desires to acquire our entire right, title and interest in said **Application** and **Invention**, and any United States and foreign patents to be obtained therefor;

Now therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign and transfer unto said **Assignee**, the entire right, title and interest in said **Application** and the **Invention** disclosed therein for the United States of America and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended and the entire right, title, and interest in and to any and all patent applications, patents, continuations, continuations-in-part, divisionals, and reissues based thereon which may be filed or granted therefor in the United States or any foreign country. We also agree that **Assignee**, may apply for foreign Letters Patent on the **Invention**, and we agree to cooperate with **Assignee**, and to execute without additional consideration any additional documents as deemed necessary by **Assignee**, to apply for or maintain patents or other legal protection for the **Invention** in any country of the world.



We hereby authorize and request the U.S. Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in this **Application** to said **Assignee**.

\_\_\_\_\_  
Robert J. O'Brien

Executed this \_\_\_\_ day of \_\_\_\_\_, 2007

STATE OF KENTUCKY

COUNTY OF JEFFERSON

)  
) SS:  
)

Before me personally appeared Robert J. O'Brien, and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_ day of \_\_\_\_\_, 2007

My Commission expires: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Samantha E. Sargent

Executed this \_\_\_\_ day of \_\_\_\_\_, 2007

STATE OF KENTUCKY

COUNTY OF JEFFERSON

)  
) SS:  
)

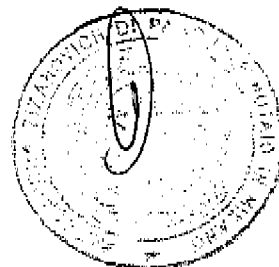
Before me personally appeared Samantha E. Sargent, and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_ day of \_\_\_\_\_, 2007

My Commission expires: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Notary Public

*Guido Petrini*  
\_\_\_\_\_  
Guido Petrini



Executed this 15 day of MARCH, 2007

Before me personally appeared Guido Petrini and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_ day of \_\_\_\_\_, 2007

My Commission expires: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Notary Public

Esterino Conca  
Esterino Conca

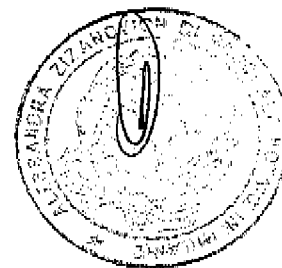
Executed this 15 day of MARCH, 2007.

Before me personally appeared Esterino Conca, and acknowledged the foregoing instrument to be his free act and deed this \_\_\_ day of \_\_\_, 2007

My Commission expires: \_\_\_\_\_

(Seal)

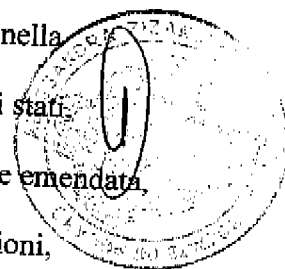
\_\_\_\_\_  
Notary Public  
\_\_\_\_\_



CESSIONE DI INVENZIONE  
E DI BREVETTO

Premesso che noi, gli **Inventori**, Robert J. O'Brien, il cui indirizzo postale è 703 Bell Rock Place, Louisville, Kentucky 40243 e Samantha E. Sargent, il cui indirizzo postale è 8459 Scottsville Road, Borden, IN, 47106 e Guido Petrini il cui indirizzo postale è Via C. Battisti 39A, 28066 Galliate (NO), Italia ed Esterino Conca, il cui indirizzo postale è Via Montegrappa 8, 28100 Novara, Italia abbiamo inventato certi miglioramenti in **PREPARAZIONE DI OSSIDI DI FERRO ("Invenzione")** ed abbiamo sottoscritto una domanda di brevetto statunitense con il medesimo titolo depositata con la presente ("**Domanda**"); e

premessi che la **Cessionaria Sud-Chemie Inc.**, di Louisville, Kentucky, una società del Delaware il cui indirizzo postale è 1600 West Hill Street, Louisville, Kentucky 40210 (compresi i suoi successori e cessionari), desidera acquisire l'intero titolo, diritto ed interesse in detta **Domanda** ed in detta **Invenzione**, ed in qualsiasi brevetto statunitense ed estero venga ottenuto per esse; ora quindi, dietro debito compenso, del quale accusiamo qui la ricevuta, con la presente vendiamo, cediamo e trasferiamo a detta **Cessionaria** l'intero titolo, diritto ed interesse nella **Domanda** e nell'**Invenzione** ivi descritta per gli Stati Uniti d'America e per tutti gli altri stati, compresi i diritti di priorità secondo la convenzione internazionale di Parigi (1883) come emendata, e l'intero titolo, diritto ed interesse in qualsiasi domanda di brevetto, brevetti, continuazioni, continuazioni in parte, divisionali e ri-concessioni basati su di esse che possano essere richiesti o concessi per esse negli Stati Uniti d'America o in un qualsiasi stato estero. Concordiamo inoltre che la **Cessionaria** può presentare domanda di brevetto per l'**Invenzione** e concordiamo di cooperare con la **Cessionaria** e sottoscrivere senza ulteriore corrispettivo qualsiasi ulteriore documento la **Cessionaria** possa ritenere necessario per presentare domanda o per mantenere in vita brevetti o qualsiasi altra tutela legale dell'**Invenzione** in qualsiasi paese del mondo.





Con la presente autorizziamo il Commissario Statunitense per i Brevetti Marchi a rilasciare a detta **Cessionaria** eventuali brevetti concessi sull'invenzione esposta nella **Domanda**.

Robert J. O'Brien

stipulato oggi

2007

STATO DEL KENTUCKY )  
 ) SS:  
CONTEA DI JEFFERSON )

Davanti a me personalmente si è presentato Robert J. O'Brien che ha riconosciuto l'atto di cui sopra come compiuto di sua spontanea volontà oggi 2007.

Il mio mandato termina il

(timbro)

**Notaio**

**Samantha E. Sargent**

stipulato oggi

2007

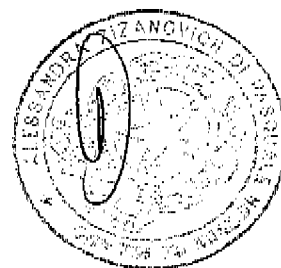
STATO DEL KENTUCKY )  
 ) SS:  
CONTEA DI JEFFERSON )

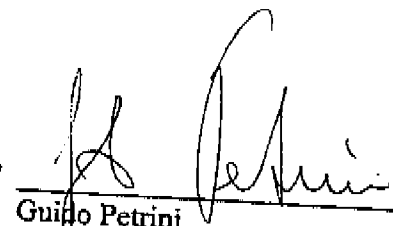
Davanti a me personalmente si è presentata Samantha E. Sargent che ha riconosciuto l'atto di cui sopra come compiuto di sua spontanea volontà oggi 2007.

Il mio mandato termina il

(timbro)

**Notaio**



  
 Guido Petrini

stipulato oggi *MARCH 15, 2007*

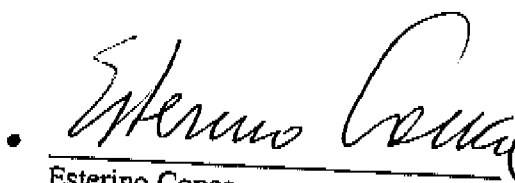
2007

Davanti a me personalmente si è presentato Guido Petrini che ha riconosciuto l'atto di cui sopra  
 come compiuto di sua spontanea volontà oggi 2007.

Il mio mandato termina il \_\_\_\_\_

(timbro)

\_\_\_\_\_  
 Notaio

  
 Esterino Conca

stipulato oggi *MARCH 15, 2007*

2007

Davanti a me personalmente si è presentato Esterino Conca che ha riconosciuto l'atto di cui sopra  
 come compiuto di sua spontanea volontà oggi 2007.

Il mio mandato termina il \_\_\_\_\_

(timbro)

\_\_\_\_\_  
 Notaio

