

05-07-2007

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To the Director of the U.S. Patent &amp;

103402388

documents or the new address(es) below.

## 1. Name of conveying party(ies)

Isamu TAKEHARA  
Shinji KINOSHITA  
Hiromitsu GOTOH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 22, 2007

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

## 2. Name and address of receiving party(ies)

Name: Seiko Instruments Inc.

Internal Address:

Street Address: 8, Nakase 1-chome,

Mihamu-ku, Chiba-shi

City: Chiba

State:

Country: JAPAN

Zip: 261-8507

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/628,892

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams

Internal Address:

Street Address: ADAMS &amp; WILKS

17 Battery Place - Suite 1231

City: New York

State: New York

Zip: 10004

Phone Number: (212) 809-3700

Fax Number: (212) 809-3704

Email Address:

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☒ ANY DEFICIENCY IN ENCLOSED FEE -- Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 01-0268

\*Authorized User Name Bruce L. Adams

## 9. Signature:

Signature

April 30, 2007

Date

BRUCE L. ADAMS  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5095, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1490, Alexandria, V.A. 22313-1490

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MAILING CERTIFICATE ON  
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PATENT  
REEL: 019266 FRAME: 0862

ASSIGNMENT

WHEREAS, we, Isamu TAKEHARA, Shinji KINOSHITA and Hiromitsu GOTOH residing at c/o Seiko Instruments Inc., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan have invented new and useful improvements in INFORMATION RECORDING AND PLAYBACK APPARATUS AND METHOD OF MANUFACTURING THE SAME,

for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, Seiko Instruments Inc., located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said Seiko Instruments Inc. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on February 19, 2007, Feb. 19, 2007, & Feb. 22, 2007, filed December 7, 2006, Serial No. 11/628,892, preparatory to obtaining Letters Patent respectively, thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said Seiko Instruments Inc., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said Seiko Instruments Inc. in accordance with this assignment.

Executed this 22nd day of February, 2007 at Chiba-shi, Chiba, Japan.

Witness:

Masaki HORI  
Masaki HORI

Isamu Takehara (L.S.)  
Isamu TAKEHARA

Shinji Kinoshita (L.S.)  
Shinji KINOSHITA

Hiromitsu Gotoh (L.S.)  
Hiromitsu GOTOH

PATENT