

05-09-2007

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

5-7-07

F



SHEET

103403841

To the Honorable Commissioner of Pa.

original documents or copy thereof.

1. Name of conveying party(ies):

Lucent Technologies Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

Execution Date: Jan. 30, 2001

2. Name and address of receiving party(ies):

Name: **Agere Systems Inc.**

Internal Address: _____

Street Address: **1110 American Parkway NE**

City: **Allentown** State: **PA** Zip: **18109 USA**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s) Filed on

B. Patent No. (s) 6,720,261; 6,354,910; 6,368,190; 6,281,129; 6,365,503; 6,436,829

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Agere Systems Inc.

Internal Address: **Room 4U-533C**

Street Address: **400 Connell Drive**

City: **Berkeley Heights,**

State: **New Jersey,** Zip: **07922-2747**

6. Total number of applications and patents involved: **6**

7. Total fee (37 CFR 3.41) \$ **\$240.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **50-1735**

(Attach duplicate copy of this page if paying by deposit account)

9. Signature: _____

Signature

Date

David L. Smith

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: **13**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, Virginia 22313-1450

05/08/2007 DBYRME 00000217 501735 6720261

01 FC:8021

240.00 DA

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Alexandria, VA 22313-1450
on: **May 3, 2007**
Date of Deposit

Signature

PATENT
REEL: 019280 FRAME: 0163

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

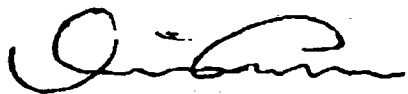
ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)

: ss:

COUNTY OF SOMERSET)

I CERTIFY that on January 30, 2001, Daniel P. McCurdy

personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and

b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

TAMORA ANNE HANNA

Notary Public

Notary Public of New Jersey

My Commission Expires ~~Registered in Hunterdon County~~

[Notarial Seal]

My Commission Expires March 25, 2002

STATE OF FLORIDA)

: ss:

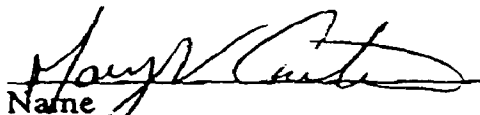
COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano

personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp. ; and

b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.

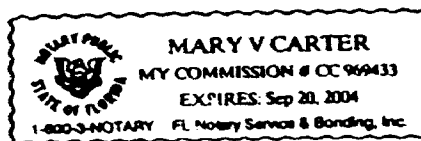


Name

Notary Public

My Commission Expires:

[Notarial Seal]



PATENT

REEL: 019280 FRAME: 0168

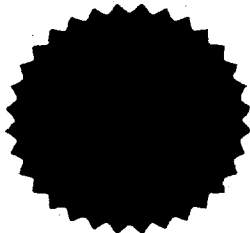
The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION,
WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

3268412 8100M

AUTHENTICATION: 1959517

020545223

DATE: 08-29-02

CERTIFICATE OF OWNERSHIP AND MERGER

OF

Agere Systems Guardian Corp.
(a Delaware corporation)

INTO

Agere Systems Inc.
(a Delaware corporation)

**UNDER SECTION 253 OF THE GENERAL
CORPORATION LAW OF THE STATE OF DELAWARE**

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), DOES HEREBY CERTIFY:

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.

By Paul Bento
Paul Bento, Vice President

APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Akerson 1-3-2	6/28/2000			09/605341
Akomer 1-11-9-1	10/29/1999			09/430326
Akulova 1-4-2	3/31/2000			09/540471
Akulova 3-12-10	8/25/2000		09/645913	
Albu 2-15-6-5-4-2	10/15/1997	5/9/2000	6060902	
Alers 10-58-78	1/13/1999			60/115782
Alers 11	4/15/1999			09/292422
Alers 12	1/12/1999			60/115530
Alers 12-147	6/30/1999			09/345556
Alers 13-9	1/12/1999			60/115531
Alers 15-9-4-11	5/12/1999			09/310388
Alers 18	11/4/1999			09/434424
Alers 19	8/12/1999			09/374757
Alers 20	1/6/2000			09/478725
Alers 22-4	8/31/1999			09/387537
Alers 2-2-5	1/2/1998	3/28/2000	6043662	
Alers 23	8/31/1999			09/387323
Alers 24-5-20	1/4/2000			09/477310
Alers 25-20-59-80	9/2/1999			09/388682
Alers 26-9-18-42	3/7/2000			09/519909
Alers 4-5-8-28	5/28/1998	5/9/2000	6060406	
Alers 7-31	8/21/1998	4/4/2000	6046657	
Alers 8-2-1-17	4/6/1999			09/286929
Alers 9-53-73	1/11/2000			09/481463
Ali 10-6-3	9/7/1999			09/390911
Ali 12-8-1	6/4/1999			09/325423
Ali 13-9-2	2/24/1999			09/256223
Ali 14-11-5	4/21/1999			09/295318
Ali 15-47-6-45	2/24/1999			09/256238
Ali 16-10-5	5/24/1999			09/317431
Ali 17-3	5/20/1999			09/314966
Ali 18-11	3/10/1999			09/265846
Ali 20-12-1	2/8/1999			09/246604
Ali 23-3-11	4/22/1999			09/296538
Ali 2-7	3/9/1998	3/28/2000	6044346	
Ali 27-48	5/22/2000			09/576388
Ali 4-11	10/12/1999			09/416305
Ali 5-11-15-1	3/27/1998		09/048841	
Alidina 10-7-16	7/31/1998	5/16/2000	6064714	
Alidina 11-7	6/23/2000			09/602555
Alidina 2-3-5-15	8/12/1998		09/132882	
Alidina 3-4-8	9/8/1997		08/925608	
Alidina 4-5-9	9/8/1997		08/925302	
Alidina 7-1	11/14/1997		08/970723	
Alidina 8-14-12-18	11/25/1997		08/978468	
Alidina 9-15-20	8/4/1998		09/129116	
Alonso Montull 1-1-1	4/5/2000			09/543616
Alonso Montull 2-2	3/24/2000			09/534790
Alonso Montull 3-3-1	2/15/2000			09/503807
Alonso Montull 4-4-2	2/23/2000			09/511051
Alonso Montull 5-5	2/25/2000			09/512437
Alonso Montull 6-6-2	4/28/2000			09/560463
Alonso Montull 7-7-3	5/18/2000			09/xxxx56
Alonso Montull 8-8-4	5/31/2000			09/583936
Alvarez Soriano 1-1-1-1	3/10/1998		09/037447	
Anand 2-17-16	10/6/2000		09/680709	
Anderson 1	8/2/2000		09/631150	
Anderson 1	8/4/1999			60/147106
Anderson 2-1-1-3	7/10/2000			09/612980
Anderson 2-5-10-81-1	6/2/2000			09/586586

APPENDIX B
Transferred Patents
(See Note 1 below)

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Aadsen 2-1-5	8/27/1992		07/935717	
Abdelgadir 1-17	8/17/1999			09/376233
Abdelgadir 2-9-76-1-1	8/19/1999			09/377386
Abdelgadir 3-2-1-21	12/23/1999			09/471729
Abdelgadir 4-2	8/19/1999			09/377374
Abdelgadir 5-25	8/17/1999			09/376039
Abdelgadir 6-1-5-32-9	6/26/2000			09/603335
Abel 1-1-9	10/4/1999			09/411281
Abel 3-2-2	7/25/2000		60/220596	
Abeln 1-3-5-2-1	7/29/1999			09/363769
Abraham 7-3	6/25/1998		09/104965	
Abramovici 10-1-8	4/13/1998		09/059552	
Abramovici 11	1/21/1998	3/7/2000	6034538	
Abramovici 12-9-1	6/30/1998		09/109123	
Abramovici 15-11	3/3/1999			09/261776
Abramovici 17-12	9/27/1999			09/405958
Abramovici 18-13	9/27/2000		09/671853	
Abramovici 18-13	9/27/1999			60/156189
Abramovici 19-14	9/27/1999			09/406219
Abramovici 20-1-15	7/6/2000			09/611449
Abramovici 7-6	2/2/1996		08/595729	
Abramsky 4-4-1-10	6/26/1998	4/18/2000	6052566	
Abusch-Magder 1-5	3/17/1999			09/271084
Abys 41-2-3-11	6/1/1999			09/323607
Ackeret 1-10-3-3-1-1	11/20/1998			09/197351
Ackland 12-2	1/23/1997		08/787787	
Ackland 13-27-9-12-6	7/14/1997		08/892077	
Ackland 14-10-1	6/20/1997		08/879926	
Ackland 15-14	5/12/1998		09/076217	
Ackland 16-12-45	6/2/1998	8/1/2000	09/088852	6097195
Adams 10	7/1/1999			09/346417
Adams 11	7/1/1998		09/108848	
Adams 12	9/9/1998		09/150282	
Adams 13	8/19/1999			09/377375
Adams 15	2/9/1999	11/09/99	5981119	
Adams 16	2/9/1999	10/19/99	5968693	
Adams 17	2/9/1999			09/248519
Adams 18	2/9/1999	11/23/99	5989764	
Adams 19-1-1-5-4	8/27/1999			09/384459
Adams 9	5/21/1998		09/082924	
Addinall 1-1	8/15/2000		09/639288	
Adebanjo 1-77-29-53-18	1/31/2000			09/494705
Adebanjo 2-5	8/31/2000		09/682449	
Afif 1	10/15/1999			09/419259
Afif 2	10/15/1999			09/419453
Agarwal 1-4-1-1	6/26/1997		08/883369	
Agarwal 4-1-1	6/9/1997	6/13/2000	6075779	
Agere 1	9/11/1998		09/151573	
Aguilar 1-2-1-1	1/4/2000			09/477593
Aguilar 2-3-2-2	1/5/1999			60/114772
Aguilar 3-4-3-3	1/5/1999			09/477591
Ahlquist 3-50-1-17-64	8/3/1999			09/366388
Ahlquist 4-51-2-18-65	8/3/1999			09/366523

APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Easter 26-11-4-7	3/3/1999			09/261885
Easter 30-15-8	4/30/1999			09/303172
Easter 31-16-9	7/19/1999			09/357016
Easter 32-17-10	8/3/1999			09/366362
Easter 33-18-11	8/3/1999			09/366361
Easter 34-19-12	1/26/2000			09/491836
Easter 36-22-15	7/15/1999			09/354399
Easter 37-23-16	11/22/1999			09/444817
Easter 39-25-18	7/20/1999			09/357407
Easter 43-29-102-22-24	12/13/1999			09/459708
Easter 45-31-103	9/20/1999			09/399621
Easter 46-32-25	8/18/1999			09/376696
Easter 47-33-26	8/3/1999			09/368339
Easter 48-34-27	8/18/1999			09/376191
Easter 52-38-31	8/17/1999			09/375971
Easter 54-40-33	10/27/1999			09/428210
Easter 55-41-34-2	8/27/1999			09/384506
Easter 56-42-35-14	9/3/1999			09/390617
Easter 57-4-43-36	8/30/1999			09/385972
Easter 59-20-130-12	11/1/1999			09/431198
Easter 61-46-39-15	9/21/1999			09/400246
Easter 62-47-40-16	9/24/1999	7/25/2000	6093086	09/405617
Easter 63-48-41	10/25/1999			09/426017
Easter 65-50-43	10/4/1999			09/412688
Easter 66-23-5	10/8/1999			09/415529
Easter 68-5-52-45-17	10/13/1999			09/417232
Easter 69-53-46-24-11	10/14/1999			09/418087
Easter 70-54-47-25-12	10/14/1999			09/418078
Easter 72-28-136-13	11/1/1999			09/432721
Ehrich 5-18	5/10/1999			09/307601
Ehrich 6-1-1-1-1-6-21-1	10/22/1999			60/161226
Eizenhoefer 7-2-1	7/16/1999			09/356260
El-Kik 2-10-23-12	11/16/1998			09/192712
El-Kik 3-13	3/11/1999			09/265932
El-Kik 4	1/22/1999			09/236049
El-Kik 5-2-14-4	11/16/1998			09/192654
El-Kik 6-27-7	12/23/1998			09/219775
El-Kik 7	6/3/1999			09/324922
El-Kik 9	7/24/2000		09/624618	
Elko 13	4/3/1997	3/21/2000	6041127	
Ellis 3-4-21-36	3/31/2000			09/540618
Embree 37-6-8-4	5/30/1997		08/866510	
Embree 5-2	11/27/1987		07/126132	
Enable 1	4/17/1998		09/061937	
Enable 2	4/17/1998		09/062342	
Eng 4-5-1-1-3	8/20/1999			09/378032
Erkocevic 4-1-1	12/28/1996		08/781970	
Eryilmaz 3	10/15/1998			09/173217
Eshraghi 1-3-12-5-7	1/6/1999			09/226378
Esry 2-13-1-10-2	9/16/1999			09/397458
Esry 3-18-2-14-3	9/21/1999			09/397459
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Esry 5-24-13-20-5	4/13/2000			09/547787
Evans 1	1/13/1999	4/4/2000	6046923	09/229184
Evans 2-2-16-2	10/18/1994		08/324842	
Evans 2-3	9/21/1999			09/401032
Evans-Lutterodt 1-1	7/16/1999			09/356396
Factor 1-1-1-5-2-3-3	4/12/2000			09/547610
Fadavi-Ardekani 10-12	9/11/1997		08/927425	
Fadavi-Ardekani 14-7-5	4/17/1998		09/071064	

APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Hobler 1-4-9-1	5/13/1999			09/311822
Hofmann 1-15-7-4	10/31/2000		60/244391	
Holder 5	12/22/1998	11/16/99	5986969	
Holder 7-38	12/22/1999			09/469905
Hollenbach 11-25-13	12/4/1998			09/205601
Hollenbach 4-17-6	1/27/1998		09/013943	
Hollenbach 5-19-7	2/24/1998		09/028478	
Hollenbach 7-21-9	4/7/1998		09/055991	
Hollenbach 8-22-10	2/23/1998		09/028061	
Holliday 5	10/8/1998	9/21/1999	5955683	
Holloway 1-3-1-1	12/17/1999			60/172163
Holmqvist 1	1/20/2000			09/488327
Holmqvist 1-2-7-17	9/18/1997	6/6/2000	6073228	
Holmqvist 4	5/11/2000			09/569311
Hong 19-10-16-6	11/12/1998			09/190193
Hong 20-11-17-7-4	9/18/1998		09/156719	
Hong 22-12-19-9	2/7/2000			09/499411
Horwath 1-1-1-1-1	8/20/1997		08/914995	
Horwath 2-2-2-2-2	3/31/1998	3/14/2000	6037664	
Houge 12-17-3-23-16	8/2/2000		09/631544	
Houge 13-4-18-24-17	8/2/2000		09/631545	
Houge 14-54-19-25-18	8/31/2000		09/653364	
Houge 4-11-10-12-6	8/25/1999			09/383154
Houlihan 20-1	3/12/1999	4/11/2000	6048664	09/266912
Houlihan 22-20-1	2/22/2000			09/511004
Huang 1-1-6-30	6/30/1999			60/141656
Huang 3-2-1-3-32-6-16	10/4/1999			60/157451
Huang 4-8-34	5/18/2000			09/575216
Huang 6-21-5-25	4/30/1997	5/23/2000	6067292	
Huang 8-39	10/15/1996		08/730283	
Huang 9-2	5/16/2000			09/571926
Huang 9-4-1	8/4/1998		09/129479	
Huber 1-26-13	6/22/1998	7/11/2000	6087853	
Huggins 1	7/14/1999			09/353315
Huggins 2	7/29/1999			09/363758
Huggins 6	11/17/2000			
Hughes 1-5	9/25/1998		09/160408	
Hughes 2-6	11/13/1998			09/191875
Hui 10-16-3	12/2/1998			09/204047
Hui 11-17-4-18	12/17/1999			09/465884
Hui 12-18-5-19	8/5/1999			09/369682
Hui 3-6-16	8/31/2000		09/653295	
Huibregtse 3	5/14/1999			09/312546
Hunter 3-10	9/14/1999			09/395835
Huttemann 8-1	7/12/2000			09/614992
Hymes 1-1-3	4/9/1999			09/288646
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APPENDIX B (continued)
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