

Client/Matter No. 9793-146

# **CORRECTED RECORDATION FORM COVER SHEET PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below:

**1. Name of conveying Party(ies)/Execution Date:**

CHRISTIAN SCHOLZ  
HERBERT ANDRES  
ELKE FAATZ  
ALFRED ENGEL  
DOROTHEA SIZMANN

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No**2. Name and Address of receiving Party(ies):**

Name: ROCHE DIAGNOSTICS GMBH

Internal Address:

Street Address: SANDHOFER STRASSE 116

City: D-68298 MANNHEIM

Country: GERMANY

Additional name(s) and addresses attached? ☐ Yes ☒ No**3. Nature of Conveyance:**

Execution Date(s): November 4, 2002

- ☒ Assignment ☐ Change of Name ☐ Executive Order 9424, Confirmatory License  
☐ Security Agreement ☐ Merger ☐ Joint Research Agreement  
☐ Government Interest Assignment ☐ Other

**4. Application or patent number(s).**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/088,599 (Divisional of 10/179,905, recorded at Reel 013576, Frame 0884)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning document should be mailed:**

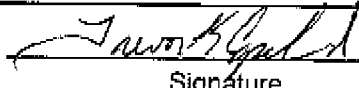
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312) 321-4200  
(312) 321-4299 Fax

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41)** \$ \_\_\_\_\_

- ☐ Authorized to be charged by credit card.  
☐ Authorized to be charged to Deposit Account No. 23-1925.  
☒ No fee is due. Previously paid on December 12, 2006.  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information:**

- a. ☐ Credit Card: Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_
- b. ☒ Charge fee and/or any Deficiencies to Deposit  
Account Number: 23-1925  
Authorized User Name: Brinks Hofer Gilson & Lione

**9. Signature:**


Signature

Trevor K. Copeland, Reg. No.: 50,292

Name of Person Signing

5/10/07

Date

Total number of pages including cover sheet, attachments, and documents: \_\_\_\_\_

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22304-1450

700324402

REEL: 019283 FRAME: 0509

CH \$40.00 231925 11088599

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PAGE 004/004

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12/12/2006  
700301437

Client/Matter No. 9783-146

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\$ 40.00

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9. Signature:

Signature

Date

Trevor K. Copeland, Reg. No.: 50,292

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

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C/M \$40.00 231926 10179906

Page 1 of 2

<b>Assignment of Rights, Title and Interest in Invention</b> (Multiple inventors; single assignee)	<b>Serial No. 10/179,905</b>																
<p>This is an Assignment of the following rights, title and interest: (check all that apply):</p> <p><input checked="" type="checkbox"/> United States of America rights, title and interest in the invention <input type="checkbox"/> Foreign rights, title and interest in the invention <input checked="" type="checkbox"/> United States Patent Application Serial No. <u>10/179,905</u> Date of Execution: _____ Date of Filing: <u>June 24, 2002</u> <input type="checkbox"/> United States Provisional Patent Application Serial No. _____ <input type="checkbox"/> United States Patent No(s). _____ <input type="checkbox"/> International (PCT) Patent Application Serial No. _____ <input type="checkbox"/> Other (specify) _____</p> <p><i>Title of the Invention</i></p> <div style="border: 1px solid black; padding: 5px; min-height: 40px;">SOLUBLE COMPLEX COMPRISING A RETROVIRAL SURFACE GLYCOPROTEIN</div> <p><i>Inventors (assignors)</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 35%;">Name</th><th>Address</th></tr></thead><tbody><tr><td>Christian Scholz</td><td>Sindelsdorfer Straße 35a, 82377 Penzberg, Germany</td></tr><tr><td>Herbert Andres</td><td>Kapellenwiese 39, 82377 Penzberg, Germany</td></tr><tr><td>Elke Faatz</td><td>Steinkreuz 1, 82386 Huglfing, Germany</td></tr><tr><td>Alfred Engel</td><td>Kustermannstr. 3a, 82327 Tutzing, Germany</td></tr><tr><td>Dorothea Sizmann</td><td>Sindelsdorfer Straße 8a, 82377 Penzberg, Germany</td></tr></tbody></table> <p><i>Assignee</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 35%;">Name</th><th>Address</th></tr></thead><tbody><tr><td>Roche Diagnostics GmbH</td><td>Sandhofer Strasse 116 D-68298 Mannheim Germany</td></tr></tbody></table>		Name	Address	Christian Scholz	Sindelsdorfer Straße 35a, 82377 Penzberg, Germany	Herbert Andres	Kapellenwiese 39, 82377 Penzberg, Germany	Elke Faatz	Steinkreuz 1, 82386 Huglfing, Germany	Alfred Engel	Kustermannstr. 3a, 82327 Tutzing, Germany	Dorothea Sizmann	Sindelsdorfer Straße 8a, 82377 Penzberg, Germany	Name	Address	Roche Diagnostics GmbH	Sandhofer Strasse 116 D-68298 Mannheim Germany
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Name	Address																
Roche Diagnostics GmbH	Sandhofer Strasse 116 D-68298 Mannheim Germany																

Page 2 of 2

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Serial No. 10/179,905

*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for my said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*

*Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)*

Name	Signature/Date
SCHOLZ, Christian	Christian Scholz 04.11.2002
ANDRES, Herbert	Herbert Andres 04.11.2002
FAATZ, Elke	Elke Faatz 04.11.2002
ENGEL, Alfred	Alfred Engel 11.11.2002
SIZMANN, Dorothea	Dorothea Sizmann 04.11.2002



Page 2 of 2

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

**Serial No. 10/179,905**

*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

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*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for my said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*

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Name	Signature/Date
SCHOLZ, Christian	Christian Scholz 04.11.2002
ANDRES, Herbert	Herbert Andres 04.11.2002
FAATZ, Elke	Elke Faatz 04.11.2002
ENGEL, Alfred	Alfred Engel 4.11.2002
SIZMANN, Dorothea	Dorothea Sizmann 04.11.2002

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12/14/06 7:25

PAGE 002/004

Fax Server

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 13, 2006

PTAS

**\*700301437A\***BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610

\*700301437A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/12/2006

REEL/FRAME: 018625/0517  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SCHOLZ, CHRISTIAN

DOC DATE: 11/04/2002

ASSIGNOR:

ANDRES, HERBERT

DOC DATE: 11/04/2002

ASSIGNOR:

FAATZ, ELKE

DOC DATE: 11/04/2002

ASSIGNOR:

ENGEL, ALFRED

DOC DATE: 11/04/2002

ASSIGNOR:

SIZMANN, DOROTHEA

DOC DATE: 11/04/2002

RightFax

12/14/06 7:25

PAGE 003/004

Fax Server

018625/0517 PAGE 2

## ASSIGNEE:

ROCHE DIAGNOSTICS GMBH  
SANDHOFER STRASSE 116  
D-68298 MANNHEIM, GERMANY

SERIAL NUMBER: ~~10179905~~

11/088,599

FILING DATE: ~~06/24/2002~~

03/24/2005

PATENT NUMBER: ~~6962982~~ISSUE DATE: ~~11/08/2005~~

TITLE: SOLUBLE COMPLEXES OF TARGET PROTEINS AND PEPTIDYL PROLYL ISOMERASE  
CHAPERONES AND METHODS OF MAKING AND USING THEM

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION



## CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 3 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-0140 (Assignment Documents)) on the below date:

Date: May 10, 2007Name: Trevor K. CopelandSignature: 

**BRINKS  
HOFER  
GILSON  
& LIONE**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **CHRISTIAN SCHOLZ, et al.**Appln. No.: **11/088,599**Filed: **March 24, 2005**

For: **SOLUBLE COMPLEXES OF TARGET  
PROTEINS AND PEPTIDYL PROLYL  
ISOMERASE CHAPERONES AND METHODS  
OF MAKING AND USING THEM**

Examiner: **Karen C. Carlson**Art Unit: **1653**Attorney Docket No: **9793-146****DOCUMENT ID NO. 700301437A**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Request for Correction of Notice of Recordation of Assignment Document, Corrected Recordation Form Cover Sheet, copy of assignment (2 pages, signed), copy of marked up Notice of Recordation of Assignment Document with originally submitted Assignment Recordal Form and Assignment.
- ☐ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☐ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

5/10/07

Respectfully submitted,

  
Trevor K. Copeland, Reg. No. 50292

**PATENT**  
**REEL: 019283 FRAME: 0517**

## CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 15 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-0140 (Assignment Documents) on the below date:

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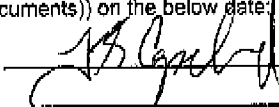
  
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PATENT

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In re Application of:

CHRISTIAN SCHOLZ, et al.

Serial No. 11/088,599

Filing Date: March 24, 2005

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THEM

Examiner Karen C. Carlson

Group Art Unit No. 1653

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Attention: Application Processing Division  
Customer Correction Branch

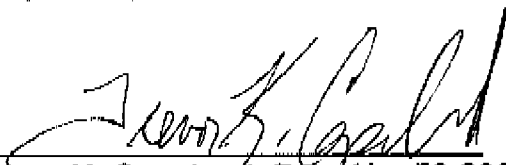
Sir:

In the Notice of Recordation of Assignment Document, please correct the serial number of the assigned application from 10/179,905 to 11/088,599. The presently assigned application, Serial Number 11/088,599 is a divisional of

10/179,905 and is included by reference in the assignment thereof. This information is correct on the originally submitted form.

Assignee respectfully requests the issuance of a corrected Notice of Recordation of Assignment Document (corrected copy enclosed) for the above-referenced patent application. No fee is believed to be due.

Respectfully submitted,

  
Trevor K. Copeland, Reg. No. 50,292  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
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Signature

Trevor K. Copeland, Reg. No.: 50,292

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1011, Alexandria, VA 22304-1011  
**RECORDED: 05/10/2007** **PATENT** **REEL: 019283 FRAME: 0521**