

U.S. PTO

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OMB No. 0651-0027 (exp. 6/30/2008)

11/789054

04/23/2007

U.S. Department of COMMERCE
United States Patent and Trademark Office

RECORD

PA

103405272

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Ronald King-Hang Chu
Mark Kogen
Warren Tan
Simon Ma
Yosif Smushkovich
Gerry Glindro
Jeffrey William Coyte NicholasAdditional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

Execution Date(s) 4/16/07; 4/16/07; 4/12/07; 4/19/07;
4/11/07; 4/18/07; 3/28/007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Citicorp Development Center, Inc.

Internal Address: _____

Street Address: 12731 West Jefferson Boulevard

City: Los Angeles

State: CA

Country: USA Zip: 90066

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

11/789054

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

4/25/2007 HABDELRI 00000014 11789054

5 FC:8021

40.00 OP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: John M. Harrington

Internal Address: _____

Street Address: 1001 West Fourth Street

City: Winston-Salem

State: NC Zip: 27101

Phone Number : (336) 607-7300

Fax Number: 336-607-7500

Email Address: jharrington@kilpatrickstockton.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 3002
Expiration Date 8/09

b. Deposit Account Number _____

Authorized User Name _____

9. Signature :

Signature

John M. Harrington

Name of Person Signing

April 23, 2007

Date

Total number of pages including cover sheet, attachments, and documents

7

PATENT

REEL: 019300 FRAME: 0694

ASSIGNMENT

WHEREAS, Ronald King-Hang CHU, Mark KOGEN, Warren TAN, Simon MA, Yosif SMUSHKOVICH, Gerry GLINDRO, and Jeffrey William Coyte NICHOLAS (referred to as "ASSIGNOR") have invented certain new and useful improvements in an invention entitled METHODS AND SYSTEMS FOR SECURE USER AUTHENTICATION,

- ☐ for which an application for a United States Patent was filed with the United States Patent and Trademark Office on _____, Serial Number _____;
- ☒ for which an application for a United States Patent is being submitted to the United States Patent and Trademark Office herewith; and

WHEREAS, CITICORP DEVELOPMENT CENTER, INC., a national banking association organized under the laws of the United States of America, doing business at 12731 West Jefferson Boulevard, Los Angeles, CA 90066 (hereinafter referred to as the "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells and transfers to said ASSIGNEE, and to ASSIGNEE'S successors and assigns, ASSIGNOR'S entire right, title and interest in and to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any patent application claiming priority to the application, or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted; and authorize and request the Commissioner of Patents of the United States and any official of

Serial No. To Be Assigned

2

Docket No. CITI0309-CIP

any foreign country whose duty it is to issue patents or legal equivalents thereto, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and signed on the date indicated below:

SIGNATURE(S)

The signature(s) must correspond with the name(s) of the inventor(s) above.

INVENTOR(S)DATE SIGNED

1) 
Ronald King-Hang CHU


4/16/2007

2) 
Mark KOGEN

4/16/2007

3) 
Warren TAN

04/12/2007

4) 
Simon MA

4/19/2007

Serial No. To Be Assigned

3


Docket No. CITI0309-CIP

5)


Yosif SMUSHKOVICH

4/11/2007

6)


Gerry GLINDRO

4/18/2007

7)

Jeffrey William Coyte NICHOLAS

T0091/320348
Doc. No. 9846999

ASSIGNMENT

WHEREAS, Ronald King-Hang CHU, Mark KOGEN, Warren TAN, Simon MA, Yosif SMUSHKOVICH, Gerry GLINDRO, and Jeffrey William Coyte NICHOLAS (referred to as "ASSIGNOR") have invented certain new and useful improvements in an invention entitled METHODS AND SYSTEMS FOR SECURE USER AUTHENTICATION,

- ☐ for which an application for a United States Patent was filed with the United States Patent and Trademark Office on _____, Serial Number _____;
- ☒ for which an application for a United States Patent is being submitted to the United States Patent and Trademark Office herewith; and

WHEREAS, CITICORP DEVELOPMENT CENTER, INC., a national banking association organized under the laws of the United States of America, doing business at 12731 West Jefferson Boulevard, Los Angeles, CA 90066 (hereinafter referred to as the "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells and transfers to said ASSIGNEE, and to ASSIGNEE'S successors and assigns, ASSIGNOR'S entire right, title and interest in and to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any patent application claiming priority to the application, or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted; and authorize and request the Commissioner of Patents of the United States and any official of

Serial No. To Be Assigned

2

Docket No. CITI0309-CIP

any foreign country whose duty it is to issue patents or legal equivalents thereto, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and signed on the date indicated below:

SIGNATURE(S)

The signature(s) must correspond with the name(s) of the inventor(s) above.

INVENTOR(S)DATE SIGNED

1) _____
Ronald King-Hang CHU

2) _____
Mark KOGEN

3) _____
Warren TAN

4) _____
Simon MA

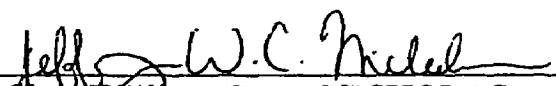
Serial No. To Be Assigned

3

Docket No. CITI0309-CIP

5) Yosif SMUSHKOVICH

6) Gerry GLINDRO

7)  Jeffrey William Coyte NICHOLAS

March 28, 2007

T0091/320348
Doc. No. 9846999