

05-14-2007

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



EET

To the Director of the U.S. Patent

103405866

d documents or the new address(es) below.

1. Name of conveying party(ies)

Todd F. Davenport

2. Name and address of receiving party(ies)

Name: Viacor, Inc.

Internal Address: _____

Street Address: 260-B Fordham Road

City: Wilmington

State: MA

Country: USA

Zip: 01887

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 3/31/07

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

10/342,034

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark J. Pandiscio

Internal Address: Pandiscio & Pandiscio, P.C.

Street Address: 470 Totten Pond Road

City: Waltham

State: MA

Zip: 02451

Phone Number: (781) 290-0060

Fax Number: (781) 290-4840

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account
(any deficiencies)

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 16-0221

Authorized User Name _____

9. Signature:

Signature

5/08/2007

Date

Margaret M. Slezak

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

KB2/VIA31.ASN2

VIA-31

PATENT
REEL: 019307 FRAME: 0303

ASSIGNMENT

We, Daniel C. Taylor, William E. Cohn, John R. Liddicoat, Steven B. Woolfson, Todd F. Davenport, Richard B. Streeter, Thomas F. Kordis and Jonathan Rourke, residing respectively at 35 Faneuil Street, Brighton, MA 02135, 4540 Magnolia Street, Bellaire, TX 77401, 2900 Thomas Ave. South, Apt. #1828, Minneapolis, MN 55416, Constitution Marina, 28 Constitution Road, P.O. Box 51837, Boston, MA 02205, 48 Salem Street, Andover, MA 01810, 3090 Forest Way, Evergreen, CO 80439 and 23 Locust Street, Belmont, MA 02478 having invented improvements in METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION and having executed an application for United States patent describing the same and based thereon (and we hereby authorize our attorney(s), authorized to prosecute said application, to here insert the filing date and serial number of said application, when known, Serial No. 10/342,034, filed 01/14/03), for good and valuable consideration, receipt of which is hereby acknowledged from Viacor, Inc., a Delaware corporation having a place of business at 260-B Fordham Road, Wilmington, MA 01887, hereinafter called the Assignee (which term shall include its successors and assigns), do hereby sell, assign and transfer unto the Assignee our entire right, title, and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to and under said application (which term shall include hereinafter where the context so admits all divisional, continuing, reissue and other patent applications based thereon) and the inventions (which term shall include each and every such invention, or any part thereof) therein described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or on said application.

And for the same consideration we do also hereby sell, assign and transfer unto the Assignee all our rights under the International Convention for the Protection of Industrial Property and all other treaties of like purpose in respect of said inventions and said application and we do hereby authorize the Assignee to apply in our names or its own name for patents and like rights of exclusion on or for said inventions in all countries, claiming (if the Assignee so desires) the priority of the filing date of said application under the provisions of said Convention or any such other treaty;

*Pandiscio & Pandiscio, P.C.
470 Totten Pond Road
Waltham, MA 02451
Tel. (781) 290 0060*

VIA-31

And for the same consideration we do hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, promptly upon request of the Assignee, to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee fully to secure to it said right, title, and interest as aforesaid in and to said inventions, application, and said several patents and like rights of exclusion, or any of them, all, however, at the expense of the Assignee;

And we do hereby authorize and request the Commissioner of Patents of the United States of America and the corresponding Officer of each country foreign thereto to issue to the Assignee any and all patents and like rights of exclusion which may be granted in any country upon said application or on or for said inventions;

And we do hereby jointly and severally covenant for ourselves and for our respective legal representatives and agree with the Assignee that we have granted no right or license to make, use or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title and interest in and to said inventions has not been otherwise encumbered by us, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we hereunto set our hands and seals on the day and year hereinafter noted.

Daniel C. Taylor

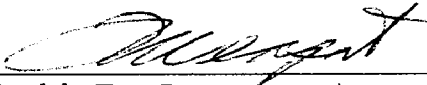
William E. Cohn

John R. Liddicoat

*Pandiscio & Pandiscio, P.C.
470 Totten Pond Road
Waltham, MA 02451
Tel. (781) 290 0060*

VIA-31

Steven B. Woolfson



Todd F. Davenport

Richard B. Streeter

Thomas F. Kordis

Jonathan Rourke

State of _____)
County of _____) ss.

Before me this _____ day of _____, 2007,
personally appeared Daniel C. Taylor, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL

NOTARY PUBLIC

My commission expires: _____

Pandiscio & Pandiscio, P.C.
470 Totten Pond Road
Waltham, MA 02451
Tel. (781) 290 0060

VIA-31

PATENT
REEL: 019307 FRAME: 0306

State of _____)
County of _____) ss.

Before me this _____ day of _____, 2007,
personally appeared William E. Cohn, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL

NOTARY PUBLIC

My commission expires: _____

State of _____)
County of _____) ss.

Before me this _____ day of _____, 2007,
personally appeared John R. Liddicoat, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL

NOTARY PUBLIC

My commission expires: _____

*Pandiscio & Pandiscio, P.C.
470 Totten Pond Road
Waltham, MA 02451
Tel. (781) 290 0060*

VIA-31

State of _____)
County of _____) ss.

Before me this _____ day of _____, 2007,
personally appeared Steven B. Woolfson, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL

NOTARY PUBLIC

My commission expires: _____

State of MASS)
County of ESSEX) ss.

Before me this 31 day of MARCH, 2007,
personally appeared Todd F. Davenport, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL



CLIFFORD ANDREW POTH
Notary Public
Commonwealth of Massachusetts
My Commission Expires
January 26, 2012

Clifford Poth
NOTARY PUBLIC

My commission expires: 1/26/2012

State of _____)
County of _____) ss.

Before me this _____ day of _____, 2007,
personally appeared Richard B. Streeter, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL

NOTARY PUBLIC

My commission expires: _____

Pandiscio & Pandiscio, P.C.
470 Totten Pond Road
Waltham, MA 02451
Tel. (781) 290 0060

VIA-31

State of _____)
County of _____) ss.

Before me this _____ day of _____, 2007,
personally appeared Thomas F. Kordis, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL

NOTARY PUBLIC

My commission expires: _____

State of _____)
County of _____) ss.

Before me this _____ day of _____, 2007,
personally appeared Jonathan Rourke, who is to me personally
known, and acknowledged the foregoing instrument to be his/her
free act and deed.

SEAL

NOTARY PUBLIC

My commission expires: _____

JC2/VIA31.ASN2

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