

05-16-2007



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To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the following address(es) below.

**1. Name of conveying party(ies)**

1. Stephan Beat WARTMANN  
2. Hanspeter KAUFMANN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 1. 04202007, 2. 04242007

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: FATZER AG

Internal Address: \_\_\_\_\_

Street Address: Salmsacherstrasse 9

City: Romanshorn

State: \_\_\_\_\_

Country: Switzerland Zip: CH-8590

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)  
11/668,124

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Brian Roffe

Internal Address: 155.106

Street Address: 11 Sunrise Plaza, Suite 303

City: Valley Stream

State: NY Zip: 11580

Phone Number: (516) 256-5636

Fax Number: (516) 256-5638

Email Address: broffe@optonline.net

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40**

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers 3564

Expiration Date 10/2008

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

*Brian Roffe*  
Signature

May 10, 2007

Date

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40 00 00  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**ASSIGNMENT OF U.S. PATENT APPLICATION**

We, WHEREAS, Stephan Beat WARTMANN, residing at, Sonnenbergstrasse 14, CH-8594 Güttingen, Switzerland and Hanspeter KAUFMANN, residing at Route des Rangiers 10, CH-2952 Cornol, Switzerland (hereinafter referred to as the "Assignors") have invented certain new and useful improvements in

DEVICE FOR DEFENSE FROM PROJECTILES, PARTICULARLY SHAPED CHARGE PROJECTILES

(designated attorney docket no. 155.106) and

for which I am about to file a non-provisional application in the U.S. Patent and Trademark Office; or

for which a non-provisional application has been filed in the U.S. Patent and Trademark Office on 29 January 2007 and assigned Ser. No. 11/668,124 (I hereby authorize and request my attorney to insert herein the filing date and serial number of the application when known); and

WHEREAS, FATZER AG of Salmsacherstrasse 9, CH-8590 Romanshorn, Switzerland, ("Assignee"), is desirous of obtaining the entire right, title and interest in, to and under the invention and the application;

NOW, THEREFORE, in consideration of the sum of ONE DOLLAR to me in hand paid, and/or other good and valuable consideration, the receipt of which is hereby acknowledged, I, the Assignor, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto the Assignee, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the invention and the U.S. nonprovisional application, and all divisionals, reissues, renewals and continuations thereof, and all patents of the United States which may be granted thereon and all reissues and extensions thereof; and

We HEREBY authorize and request the Commissioner for Patents of the United States to issue any patent granted from the application to the Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

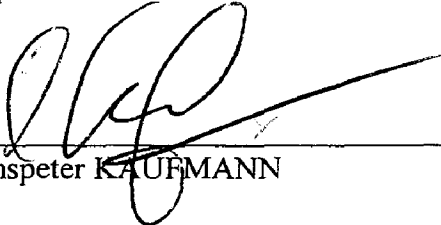
We HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith; and

We HEREBY further covenant and agree that I will communicate to the Assignee, its successors, legal representatives and assigns, any facts known to me respecting the invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue and continuation applications, make all rightful oaths, and generally do everything possible to aid the Assignee, its successors, legal representatives and assigns, to obtain and enforce proper protection for the invention.

IN TESTIMONY WHEREOF, we hereunto set my hand the day and year set forth opposite my signature.

  
Stephan Beat WARTMANN

April 20, 2007  
Date

  
Hanspeter KAUFMANN

April 24, 2007  
Date