

05-17-2007



103407052

HEET

Docket No.: 037679-005

-Y

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Osamu KATOH
Wayne OGATA

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

Execution Date(s) April 29, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Retro Vascular, Inc.

Internal Address:

1121 Stoney Creek Drive

City: San Ramon

State: CA

Country: USA Zip: 94582

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/706,041

05/16/2007 DBYRNE 00000082 11706041

01 FC:8021

40.00 OP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Robert E. Krebs

Internal Address: THELEN REID BROWN RAYSMAN & STEINER LLP

P. O. Box 640640

Street Address: _____

City: San Jose

State: CA Zip: 95164-0640

Phone Number : (408) 292-5800

Fax Number: (408) 287-8040

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 21004
Expiration Date 05/10

b. Deposit Account Number 50-1698

Authorized User Name _____

9. Signature :

Signature

Robert E. Krebs, 25,885

Date

5-10-07

Total number of pages including cover sheet, attachments, and documents

3

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 019319 FRAME: 0479

ASSIGNMENT

WHEREAS, I, Osamu Katoh, a citizen of Japan, residing at 3-114-1102, Toyohashi, Aichi, Japan and Wayne Ogata, a citizen of the United States, residing at 1121 Stoney Creek Drive, San Ramon, CA 94582 have invented a “**Recanalizing Occluded Vessels Using Controlled Antegrade And Retrograde Tracking**” for which I have executed application papers for a U.S. patent thereon, which was filed on February 12, 2007, serial no. 11/706,041; and

WHEREAS, RetroVascular, Inc., a Delaware corporation, having a place of business located at 1121 Stoney Creek Drive, San Ramon, CA 94582, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

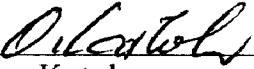
NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Osamu Katoh and Wayne Ogata, do sell, assign, transfer and set over unto the said RetroVascular, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said RetroVascular, Inc., its successors and assigns, in accordance with this Assignment.

[ASSIGNMENT CONTINUATION]

Re: U.S. Patent Application entitled: **Recanalizing Occluded Vessels Using Controlled Antegrade And Retrograde Tracking**

Inventor No. 1: Osamu Katoh

WITNESS MY HAND at TOYOHASHI, JAPAN, this
(city, state)
29TH day of APRIL, 2007.
(date) (month) (year)


Osamu Katoh

Inventor No. 2: Wayne Ogata

WITNESS MY HAND at TOYOHASHI, JAPAN, this
(city, state)
29TH day of APRIL, 2007.
(date) (month) (year)


Wayne Ogata

STATE OF CALIFORNIA)
) ss.
COUNTY OF _____)

On this _____ day of _____, before me,
_____, a Notary Public, personally appeared Wayne Ogata, personally
known to me (or proved to me on the basis of satisfactory evidence) to be the person/s whose
name is/are subscribed to the within instrument and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacities, and that by his/her/their signature/s on
the instrument the person/s or the entity upon behalf of which the person/s acted, executed the
instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

SV #267964 v1

RECORDED: 05/17/2007

PATENT
REEL: 019319 FRAME: 0481