

Form PTO-1595 (Rev. 08/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

<b>1. Name of conveying party(ies):</b> Lincoln Young (2/28/2007) and Peng Zhou (2/28/2007)  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: <u>Kionix Corporation</u> Internal Address: _____ Street Address: _____  36 Thornwood Drive  City: <u>Ithaca</u> State: <u>New York</u> Country: <u>United States of America</u> Zip: <u>14850</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s): in parentheses after inventor name <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name <input type="checkbox"/> Security Agreement <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	<b>4. Application or patent number(s):</b> <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>11/242,694</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Edward J. Kelly</u> <u>FISH &amp; NEAVE IP GROUP, ROPES &amp; GRAY</u> <u>LLP</u> Internal Address: Atty. Dkt.: <u>103310-P01-001</u> Street Address: <u>One International Place</u>  City: <u>Boston</u> State: <u>MA</u> Zip: <u>02110-2624</u> Phone Number: <u>(617) 951-7532</u> Fax Number: <u>(617) 951-7050</u> Email Address: <u>ekelly@ropesgray.com</u>	<b>6. Total number of applications and patents involved:</b> <span style="border: 1px solid black; padding: 2px 10px;">1</span>  <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41)</b> \$ <u>40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
<b>8. Payment Information</b> a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>18-1945</u> Authorized User Name <u>Edward J. Kelly</u>	
<b>9. Signature:</b> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">             _____            Signature  <u>Edward J. Kelly - 38,936</u>            Name of Person Signing         </div> <div style="text-align: right;"> <u>May 18, 2007</u>            Date            Total number of pages including cover sheet, attachments, and documents: <span style="border: 1px solid black; padding: 2px 10px;">4</span> </div> </div>	

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-0140, on the date shown below.

Dated: May 21, 2007

Signature:

(Lisa Ann Ruggeri)

103301-P01-001

**ASSIGNMENT**

WHEREAS, we, Lincoln Young and Peng Zhou, have invented a certain improvement in MICROFLUIDIC PUMP AND VALVE STRUCTURES AND FABRICATION METHODS described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith; and is about to be filed in the United States Patent Office;

☒ was filed on October 3, 2005 as Application No. 11/242694;

☐ was patented under U.S. Patent No \_\_\_\_\_ on \_\_\_\_\_.

WHEREAS, Kionix Corporation, (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of New York, having principal offices at 36 Thornwood Drive, Ithaca, New York 14850, desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon, and any reissue, continuation, divisional and foreign counterparts thereof and including the right to claim priority under any applicable statute, treaty or convention based on said application; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, all choses in action pertaining to the applications or Letters Patent including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of rights and registrations pertaining to the applications or Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure

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the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

**[FOR NOTARY OPTION]**

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seal(s) the date set forth below.

Inventor's Signature:

LINCOLN YOUNG

State of )  
County of )

On this 28<sup>th</sup> day of January, 2007 before me, the undersigned notary public, personally appeared LINCOLN YOUNG, proved to me through satisfactory evidence of identification, which was Driver's License, to be the person whose name is signed on the preceding or attached document in my presence.

(SEAL)

Priscilla Zamoiski  
Notary Public

My commission expires 11/27/10

PRISCILLA ZAMOISKI  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 01ZA6186450  
Qualified in Tioga County  
My Commission Expires November 27, 2010

103301-P01-001

Inventor's Signature: Peng Zhou

PENG ZHOU

State of )  
County of )

On this 28<sup>th</sup> day of Feb, 2007 before me, the undersigned notary public, personally appeared PENG ZHOU, proved to me through satisfactory evidence of identification, which was Driver's License, to be the person whose name is signed on the preceding or attached document in my presence.

(SEAL)

Priscilla Zamoiski  
Notary Public

My commission expires 11/27/10

PRISCILLA ZAMOISKI  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 012A6156450  
Qualified in Tioga County  
My Commission Expires November 27, 2010

PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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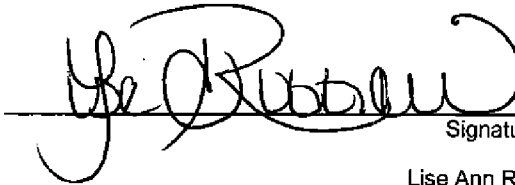
Application No. (if known): 11/242,694

Attorney Docket No.: 103310-P01-001

**Certificate of Transmission under 37 CFR 1.8**

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on May 11, 2007  
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Note: Assignment (3 pages)  
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# FAX TRANSMISSION

DATE: May 21, 2007

PTO IDENTIFIER: Application Number 11/242,694  
Patent Number

Inventor: Zhou, et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-0140

FROM: ROPES & GRAY LLP

Edward J. Kelly

PHONE: (617) 951-7532

Attorney Dkt. #: 103310-P01-001

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**ROPES & GRAY LLP**

One International Place, Boston, Massachusetts 02110-2624  
Telephone: (617) 951-7000 Facsimile: (617) 951-7050