

05-18-2007

IEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



103407674

To the Honorable Commissioner of Patents and Trademarks

Attached original documents or copy thereof.

## 1. Name of conveying party(ies):

David E. Goldberg (4/24/2007)  
Kumara Sastry (4/5/2007)  
Fernando G. Lobo (4/11/2007)  
Claudio F. Lima (4/11/2007)

5.14.07

Additional name(s) of conveying party(ies) attached? ( ) Yes (X) No

## 3. Nature of conveyance:

- (X) Assignment ( ) Merger  
( ) Security Agreement ( ) Change of Name  
( ) Other \_\_\_\_\_

Execution Date: April 24, 5, 11, 2007

## 2. Name and address of receiving party(ies):

Name: The Board of Trustees of the University of Illinois

Internal Address: 352 Henry Administration Building

Street Address: 506 South Wright Street

City: Urbana State: IL ZIP: 61801

Additional name(s) &amp; address(es) attached: ( ) Yes (X) No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

11/701,066

B. Patent No.(s)

Additional numbers attached? ( ) Yes (X) No.

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greer, Burns &amp; Crain, Ltd.

Internal Address:

05/17/2007 DBYRNE 00000044 11701066

01 FC:8021

(40.00 0P)

Street Address: 300 South Wacker Drive, Suite 2500

City: Chicago State: IL ZIP: 60606

Additional name(s) &amp; address(es) attached: ( ) Yes ( ) No

## 6. Total number of applications and patents involved: ( 1 )

7. Total fee (37 CFR 3.41) ..... \$ 40.00

(X) Enclosed

( ) Authorized to be charged to deposit account only in the event proper payment is not enclosed.

## 8. Deposit account number:

07-2069

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Steven P. Fallon

May 10, 2007

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: ( 3 )

1201.74586

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 019326 FRAME: 0304

**ASSIGNMENT**

WHEREAS, David E. Goldberg, a citizen of the United States, residing at 511 S. Ridgeway Avenue, Champaign, IL, ZIP CODE 61821, Kumara Sastry, a citizen of India, residing at 604 E. Clark Street, #4, Champaign, IL ZIP CODE 61820, Fernando G. Lobo, a citizen of Portugal, residing at Urb. Vale da Telha, Lote M-70, CX 1984, 8670-156 Aljezur, Portugal, and Claudio F. Lima, a citizen of Portugal, residing at Atalaia Pequena, 1, 8800-378 Tavira, Portugal, hereinafter referred to as ASSIGNORS, have invented and own a certain invention entitled:

**ADAPTIVE OPTIMIZATION METHODS**

for which application for Letters Patent of the United States has been filed on January 31, 2007, and accorded Serial No. 11/701,066 ;

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, a not-for-profit corporation of the State of Illinois having a place of business at 352 Henry Administration Building, 506 South Wright Street, Urbana, Illinois 61801, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire interest in, to and under said invention and any United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

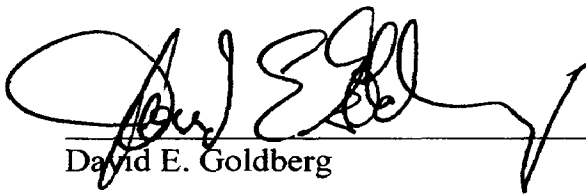
Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNORS also assign all of its right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

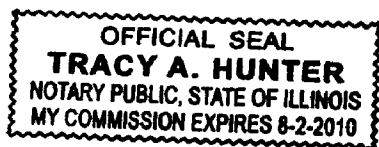
ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and ASSIGNORS will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date 4-24-07

  
David E. Goldberg

I hereby certify that DAVID E. GOLDBERG before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this 24<sup>th</sup> day of April, 2007.

(Notarial Seal)



  
Notary Public - My Commission

Expires: August 2, 2010

Date April 05, 2007

Kumara Sastry  
Kumara Sastry

I hereby certify that KUMARA SASTRY before me personally appeared and acknowledged that the foregoing Assignment was duly signed, sealed and delivered by him as his free and voluntary act on this 5<sup>th</sup> day of April, 2007.

(Notarial Seal)



Tracy A Hunter  
Notary Public - My Commission

Expires: August 2, 2010

Date April 11, 2007

Fernando Lobo  
Fernando G. Lobo

On April 11, 2007, before me, appeared FERNANDO G. LOBO to me personally acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS the day and year last above given.

Antônio dos Anjos  
Witness: Antônio dos Anjos

Date April 11, 2007

Claudio F. Lima  
Claudio F. Lima

On April 11, 2007, before me, appeared CLAUDIO F. LIMA to me personally acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS the day and year last above given.

Antônio dos Anjos  
Witness: Antônio dos Anjos