

Docket No.: C06-014A

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
P06/REV04

## RECORDATION FORM COVER SHEET

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**SWIFT; Jonathan C.**  
**EYSTER; Todd A.**  
**KEAST; Geoffrey R.**  
**GILBREATH; Donald R.**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: March 14, 2007

## 2. Name and address of receiving party(ies):

Name: The Gates CorporationInternal Address: Mail Stop: IP Law Dept. 10-A3Street Address: 1551 Wewatta StreetCity: Denver State: CO ZIP: 80202Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: February 23, 2007

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

11/710,369February 23, 2007Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jerry L. MahurinInternal Address: Mail Stop: IP Law Dept. 10-A3Street Address: The Gates Corporation1551 Wewatta StreetCity: Denver State: CO ZIP: 80202

## 6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-0475

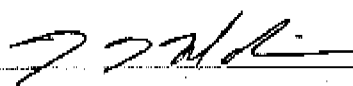
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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jerry L. Mahurin, Reg. No. 34,661

Name of Person Signing



Signature

May 22, 2007

Date

Total number of pages including cover sheet, attachments, and document: 99**PATENT**

700326099

Mail documents to be recorded with required cover sheet information to:  
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CH \$40.00 070475 11710369

**ASSIGNMENT**

In consideration of the sum of Twenty-Five (\$25.00) Dollars and other good and valuable consideration paid to the undersigned (hereinafter designated as "undersigned"),

Name of Inventors: Jonathan C. Swift  
103 Oxford Road  
Cambridge, Cambridgeshire CB4 3PJ  
UK

Todd A. Eyster  
412 East Street  
Iola, KS 66749  
US

Geoffrey R. Keast  
Bridge House, Molesworth  
Cambs, England PE28 0QF  
UK

Donald R. Gilbreath  
4944 N. Wildflowers Way  
Castle Rock, CO 80109  
US

the undersigned agrees to assign, and hereby does assign, transfer, and set over unto:

Name of Assignee THE GATES CORPORATION  
  
Address of principal place of business 1551 Wewatta Street  
Denver, CO 80202  
  
State of a corporation of Delaware  
Incorporation

(hereinafter designated as the "ASSIGNEE") the entire right, title, and interest for the United States and all foreign countries (including protection in foreign countries via the Patent Cooperation Treaty), in the invention, and all applications for patent including provisionals, non-provisionals, counterparts, and PCT applications and any Letters Patent which may be granted therefor, the application (hereinafter designated as "application") being known by the Title:

"Crimped/Swaged-on Tubing Termination Apparatus, Systems and Methods"

Attorney Docket No. C06-014A

which is ☐ attached or ☐ referenced in:

☒ **U.S. Utility Non-provisional Patent Application Serial No.: 11/710,369 Filing Date: 23/02/2007**  
(Day/Month/Year)

**Executed On:** \_\_\_\_\_  
(Day/Month/Year)

☐ **PCT Application Serial No.: \_\_\_\_\_ Filing Date: \_\_\_\_\_**  
(Day/Month/Year)

☐ and which claims priority from one or more United States provisional patent applications, the earliest of which is:

**Provisional Application No.: \_\_\_\_\_ Filing Date: \_\_\_\_\_**  
(Day/Month/Year)

(☐ See Annex for additional Provisional Application Nos. and Filing Dates)

1. The undersigned agrees to execute all papers necessary in connection with the application and any counterpart application(s) in the United States and foreign countries, including but not limited to continuing prosecution applications (CPAs), continuations, continuations-in-part, divisions, reexaminations and reissues or extensions thereof, and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

2. The undersigned agrees to execute all papers necessary in connection with any interference which may be declared or litigation concerning this application and any counterpart application(s), or in connection with any opposition or nullity proceeding, and to cooperate with the ASSIGNEE in every way possible in obtaining evidence and in conducting such interference, litigation, opposition or nullity proceeding.

3. The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4. The undersigned agrees to do all other acts which, in the opinion of ASSIGNEE, may be necessary or desirable to secure the grant of Letters Patent to ASSIGNEE or its nominees, in the United States and in all other countries where ASSIGNEE may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in ASSIGNEE or its nominees the full and complete legal and equitable title to all such Letters Patent.

5. The undersigned hereby authorizes and requests the Commissioner of Patents in the United States and the appropriate national or regional authority in each foreign country to issue any and all Letters Patents resulting from said application or any counterpart application(s) thereof and any reexaminations, reissues and extensions to the said ASSIGNEE, as ASSIGNEE of the entire interest, and hereby covenants that the undersigned has full right to convey the entire interest herein assigned, and that the undersigned has not executed and will not execute, any agreement in conflict herewith.

6. The undersigned hereby grants ASSIGNEE the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, I have executed this assignment at Denver this 14 day of MARCH, 2007.

Jonathan C. Swift  
(Signature)

JONATHAN SWIFT  
(Printed Name)

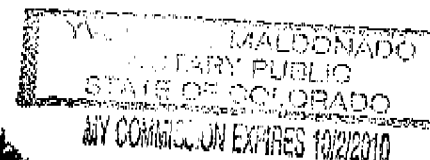
Witness \_\_\_\_\_ Date \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

STATE OF Colorado }  
COUNTY OF Arapahoe }

## ACKNOWLEDGMENT

SS:



Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this 14<sup>th</sup> day of MARCH, 2007.

Yvette M. Maldonado  
Notary Public  
Yvette M. Maldonado  
Printed Name

Outside the USA:  
Two witnesses are  
required when  
acknowledgment  
before a Notary  
Public is not  
feasible.

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Date

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Date

IN WITNESS WHEREOF, I have executed this assignment at DENVER this 14 day of MARCH, 2007.

Todd A. Eyster

(Signature)

TODD A. EYSTER

Todd A. Eyster

(Printed Name)

Witness \_\_\_\_\_ Date \_\_\_\_\_

Witness \_\_\_\_\_

Date \_\_\_\_\_

# ACKNOWLEDGMENT

STATE OF Colorado }

SS:

COUNTY OF Arapahoe }

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this 14<sup>th</sup> day of March, 2007.

Yvette M. Maldonado

Notary Public

Yvette M. Maldonado

Printed Name

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Public is not  
feasible.

Witness \_\_\_\_\_

Date \_\_\_\_\_

Witness \_\_\_\_\_

Date \_\_\_\_\_



IN WITNESS WHEREOF, I have executed this assignment at Danver. this 14<sup>th</sup> day of March, 2007.

[Signature]  
Geoffrey R. Keast (Signature)

G. R. KEAST.  
Geoffrey R. Keast (Printed Name)

Witness \_\_\_\_\_ Date \_\_\_\_\_

## ACKNOWLEDGMENT

STATE OF Colorado }  
COUNTY OF Arapahoe }

SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this 14<sup>th</sup> day of March, 2007.

Yvette M. Maldonado  
Notary Public  
Yvette M. Maldonado  
Printed Name

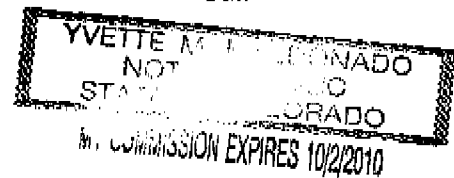
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Public is not  
feasible.

Witness

Date

Witness

Date



IN WITNESS WHEREOF, I have executed this assignment at Denver this 14<sup>th</sup> day of March, 2007.

Donald R. Gilbreath  
Donald R. Gilbreath (Signature)

Donald R. Gilbreath  
Donald R. Gilbreath (Printed Name)

Witness \_\_\_\_\_ Date \_\_\_\_\_ Witness \_\_\_\_\_ Date \_\_\_\_\_

## ACKNOWLEDGMENT

STATE OF Florida }  
COUNTY OF Arapahoe }

SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this 14<sup>th</sup> day of March, 2007.

Yvette M. Maldonado  
Notary Public  
Yvette M. Maldonado  
Printed Name

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