

5-9.07

05-30-2007

U.S. PTO  
11/801289  
05/09/2007

Attorney Docket No. P911/20022.22



103409927

ON FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

**PATENTS ONLY**

Tab settings ⇌⇌⇌

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Xin Luo (May 8, 2007)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: May 8, 2007

2. Name and address of receiving party(ies)

Name: BOOKHAM TECHNOLOGY plc

Internal Address: \_\_\_\_\_

Street Address: Caswell, Towcester

City: Northhamptonshire Country: UK Zip: NN12 8EQ

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 9, 2007

A. Patent Application No.(s)

B. Patent No.(s)

11/801289

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven G. Roeder

The Law Office of Steven G. Roeder

Internal Address: \_\_\_\_\_

Street Address: 5560 Chelsea Avenue

City: La Jolla State: CA Zip: 92037

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41). . . . . \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1141

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Steven G. Roeder, Reg. No. 37,227

Name of Person Signing

[Signature]  
Signature

May 9, 2007

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

05/11/2007 EHAILE1 00000042 11801289

04 FC:8021

40.00 OP

**PATENT**  
**REEL: 019367 FRAME: 0356**

Certificate of Acknowledgment

State of California     )  
                                  ) ss.  
County of Santa Clara )

On May 8 , 2007, before me, Carol Davis, a Notary Public personally appeared Xin Luo, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



(Notary Seal)

Notary Signature: \_\_\_\_\_

*Carol Davis*  
Carol Davis

PATENT

RECORDED: 05/09/2007

REEL: 019367 FRAME: 0357