

06-01-2007



Docket 4651

To the Director of the U.S. Patent

103411643

documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Trace CAWLEY

Execution Date(s): May 17, 2007

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: U.S. SPINAL TECHNOLOGIES, L.L.C.

Internal Address:

Street Address: 3600 FAU Blvd.

Suite 101

City: Boca Raton

State: Florida

Country: USA Zip: 33431

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Christopher L. Bernard

Internal Address:

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Suite 300

City: Charlotte

State: North Carolina Zip: 28211

Phone Number: (704) 366-6642

Fax Number: (704) 366-9744

Email Address: cbernard@worldpatents.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 6841
Expiration Date 02/09

b. Deposit Account Number 05/22/2007 MIRINN 00000003 11601545

Authorized User Name

48.88 01

9. Signature:

Signature

May 18, 2007

Date

Christopher L. Bernard

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

ASSIGNMENT

WHEREAS, TRACE CAWLEY (hereinafter referred to as "ASSIGNOR") has invented certain new and useful improvements in an invention entitled:

CERVICAL PLATE LOCKING MECHANISM
AND ASSOCIATED SURGICAL METHOD

_____ for which U.S. Patent Application No. _____ was filed on _____; or

X for which an application for a United States patent is being submitted to the United States Patent and Trademark Office herewith; and

WHEREAS, U.S. SPINAL TECHNOLOGIES, L.L.C., 3600 FAU Blvd., Suite 101, Boca Raton, Florida 33431 USA (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire right, title, and interest in and to said invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, in accordance with obligations entered into pursuant to an Employment Agreement or other agreement and/or for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby sells, transfers and assigns to said ASSIGNEE, and to ASSIGNEE'S successors and assigns, ASSIGNOR'S entire right, title, and interest in and to said invention and improvements invented and originated by ASSIGNOR in the United States and its territorial possessions and in any and all foreign countries and to all Letters Patent or similar legal protections in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any application claiming priority to/from the application, or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension, or reissue thereof or any legal equivalent thereof in a foreign country for the full term(s) for which the same may be granted; and authorize and request the Commissioner for Patents of the United States and any official of any foreign country whose duty it is to issue patents or legal equivalents thereof, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, said application, and said Letters Patent and legal equivalents thereof in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments, or affidavits required to apply for, obtain, maintain, issue, and/or enforce said invention, said application, and said Letters Patent and legal equivalents thereof in foreign countries which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, we have hereunto set hand and signed on the date indicated below:

INVENTOR #1 Name: TRACE CAWLEY

Signature: 

Date Signed: 5-17-07

Witness #1: 

Witness #2: 