

Form PTO-1595 (Rev. 08/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

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**1. Name of conveying party(ies):**

Kenneth L. ROCK (October 11, 2000)  
Dancella FERNANDES (October 12, 2000)

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: UNIVERSITY OF MASSACHUSETTS

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

225 Franklin Street  
12th Floor

City: Boston

State: Massachusetts

Country: United States of America Zip: 02110

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s): in parentheses after inventor name

Assignment  Merger  Change of Name

Security Agreement  Joint Research Agreement

Government Interest Assignment

Executive Order 9424, Confirmatory License

Other \_\_\_\_\_

**4. Application or patent number(s):**

A. U.S. Patent Application No. 11/491,500

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Debra J. Milasincic, Esq.  
LAHIVE & COCKFIELD, LLP

Internal Address: Atty. Dkt.: UMY-089CN3

Street Address: One Post Office Square

City: Boston

State: MA Zip: 02109-2127

Phone Number: (617) 227-7400

Fax Number: (617) 742-4214

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

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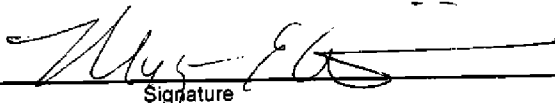
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**9. Signature:**

  
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June 5, 2007

Date

Megan E. Williams - 43,270

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-0140 at MS Assignment Recordation Services, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 5, 2007

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CH \$40.00 120080 11491500

Attorney Docket No. UMY-089CN3

ASSIGNMENT

For valuable consideration, we, KENNETH L. ROCK of Chestnut Hill, MA, and Dancella Fernandes of Framingham, MA, hereby assign to UNIVERSITY OF MASSACHUSETTS, a Massachusetts corporation having a place of business at One Beacon Street, 26th Floor, Boston, MA 02108; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled ANTITUMOR ANTIBODIES, PROTEINS, AND USES THEREOF, filed July 18, 2000, and assigned U.S. Serial Number 09/618,421, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Attorney Docket No. UMY-089CN3

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
 this 11 day of October, 2000  
 \_\_\_\_\_ L.S.  
 KENNETH L. ROCK

State of \_\_\_\_\_ :

: ss.

County of \_\_\_\_\_ :

Before me this 11<sup>th</sup> day of October, 2000, personally  
 appeared KENNETH L. ROCK known to me to be the person whose name is subscribed to the  
 foregoing Assignment and acknowledged that he executed the same as his free act and deed for  
 the purposes therein contained.

Clair L. Moore  
 Notary Public

My Commission Expires: 4.12.2007

[Notary's Seal Here]

Attorney Docket No. UMY-089CN3

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this 12 day of October, 2000

Dancelle Fernandes L.S.  
DANCELLA FERNANDES

State of \_\_\_\_\_ :  
: ss.

County of \_\_\_\_\_ :

Before me this 12 day of October, 2000, personally  
appeared DANCELLA FERNANDES known to me to be the person whose name is subscribed  
to the foregoing Assignment and acknowledged that he executed the same as his free act and  
deed for the purposes therein contained.

Paul Gattling  
Notary Public

My Commission Expires: Nov 8, 2006

[Notary's Seal Here]

**FAX TRANSMISSION****DATE:** June 5, 2007**PTO IDENTIFIER:** Application Number 11/491,500-Conf. #3510

Patent Number

**Inventor:** Kenneth L. ROCK et al.**MESSAGE TO:** USPTO PTAS System**FAX NUMBER:** (571) 273-0140**FROM:** LAHIVE & COCKFIELD, LLP

Megan E. Williams

**PHONE:** (617) 227-7400**Attorney Dkt. #:** UMY-089CN3**PAGES (Including Cover Sheet):** 6**CONTENTS:**

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PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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Application No. (if known): 11/491,500

Attorney Docket No.: UMY-089CN3

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