

06-05-2007

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HEET

To the Director of the U.S. Patent and

103412900

and documents or the new address(es) below.

1. Name of conveying party(ies)

Nanospectra Biosciences, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 15, 2007

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

The United States of America as represented by the Secretary of the Air Force

Internal Address: AFMCLO/JAZ

Bldg 11, Room 100

Street Address: 2240 B Street

City: Wright-Patterson Air Force Base

State: Ohio

Country: U.S.A.

Zip: 45433-7109

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/418,893

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Fredric L. Sinder

Internal Address: AFMCLO/JAZ

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Phone Number: (937) 255-2838

Fax Number: (937) 255-3733

Email Address: fredric.sinder@wpafb.af.mil

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 343

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit card Last 4 Numbers

Expiration Date:

b. Deposit Account Number

Authorized User Name

9. Signature:

Signature

May 31, 2007

Date

FREDRIC L. SINDER

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT
REEL: 019398 FRAME: 0512

CONFIRMATORY INSTRUMENT

NAME OF CONTRACTOR

Nanospectra Biosciences, Inc.

CONTRACT NO.

FA8650-05-M-6598

ADDRESS OF CONTRACTOR

8285 E1 Rio Street, Suite 150
Houston, TX 77054

TITLE OF INVENTION

Plasmon Resonant Based Eye Protection

NAME OF INVENTOR

J. Donald Payne and Joseph B. Jackson

SERIAL NO.

11/418,893

FILING DATE

May 5, 2006

The invention identified above is a "Subject Invention" under
Patent Rights Clause

Retention by the Contractor (Short Form) (June 1997)

(identify clause by title and date)

included in the above Contract No. with the United States
Department of the Air Force. This document is confirmatory of the
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BY (signature)



TITLE

President + CEO

DATE OF EXECUTION

5/15/07

ATTEST _____

TOTAL P.02

PATENT

RECORDED: 06/04/2007

REEL: 019398 FRAME: 0513