

06-07-2007

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

103414041

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Yuji Shishido (02/28/2007); Makoto Chiyoda
(02/28/2007); Ichiro Nakamura (04/12/07)Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): Noted Above☒ Assignment ☐ Merger ☐ Change of Name☐ Security Agreement ☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other _____

2. Name and address of receiving party(ies)

Name: Sony Corporation

Internal Address: _____

Street Address: _____

1-7-1 Konan
Minato-Ku, Tokyo
108-0075
JAPAN

City: _____

State: _____

Country: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/650,954

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen
RADER, FISHMAN & GRAUER PLLC

Internal Address: Atty. Dkt.: SON-3681

Street Address: 1233 20th Street, N.W.
Suite 501City: WashingtonState: DC Zip: 20036Phone Number: (202) 955-3750Fax Number: (202) 955-3751Email Address: rpk@raderfishman.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 18-0013Authorized User Name Ronald P. Kananen

9. Signature:

Signature

Ronald P. Kananen - 24,104

Name of Person Signing

May 22, 2007

Date

Total number of pages including cover
sheet, attachments, and documents:

3

06/06/2007 MJAMA1 00000055 11650954

01 FC:8021

40.00 DA

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

BEARING UNIT AND MOTOR USING THE SAME

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, **Sony Corporation**, located and doing business at 7-35 Kitashinagawa 6-Chome, Shinagawa-ku, Tokyo, JAPAN (hereinafter referenced as ASSIGNEE) are desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEES thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 11/650954, Filing Date: January 8, 2007.

This assignment executed on the dates indicated below.

Yuji Shishido

Name of first inventor

Execution date of U.S. Patent Application

Kanagawa, Japan

Residence of first inventor

Yuji Shishido

Signature of first inventor

28 Feb 2007

Date of this assignment

Makoto Chiyoda

Name of first inventor

Execution date of U.S. Patent Application

Kanagawa, Japan

Residence of first inventor

Makoto Chiyoda

Signature of first inventor

28 Feb 2007

Date of this assignment

Ichiro Nakamura

Name of first inventor

Execution date of U.S. Patent Application

Chiba, Japan

Residence of first inventor

Ichiro Nakamura

Signature of first inventor

12 Apr 2007

Date of this assignment



RECORDATION FORM COVER SHEET

PATENTS ONLY

Copy

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Yuji Shishido (02/28/2007); Makoto Chiyoda
(02/28/2007); Ichiro Nakamura (04/12/07)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Sony Corporation

Internal Address: _____

Street Address: _____

1-7-1 Konan
Minato-Ku, Tokyo
108-0075
JAPAN

City: _____

State: _____

Country: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): Noted Above

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

11/650,954

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen
RADER, FISHMAN & GRAUER PLLC

Internal Address: Atty. Dkt.: SON-3681

Street Address: 1233 20th Street, N.W.
Suite 501

City: Washington

State: DC Zip: 20036

Phone Number: (202) 955-3750

Fax Number: (202) 955-3751

Email Address: rpk@raderfishman.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 18-0013
Authorized User Name Ronald P. Kananen

9. Signature:

Signature

Ronald P. Kananen - 24,104

Name of Person Signing

May 22, 2007

Date

Total number of pages including cover sheet, attachments, and documents:

3

06/06/2007 HJANA1 0000055 180013 11650954

01-FC-8021 40.00 DA