

06-07-2007



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DRM COVER SHEET
IS ONLY

5-21-07

To the Direct

Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Katsumi Furuya (9/4/2006), Kazuhiro Komori (9/4/2006), Noritsugu Yamamoto (9/4/2006), and Yoshinori Watanabe (9/4/2006)

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

(1) Japan Science and Technology Agency
Name: (2) National Institute of Advanced Industrial Science & Technology

Internal Address: _____

Street Address: _____

(1) 4-1-8, Honcho, Kawaguchi-shi,
Saitama 332-0012 JAPAN

(2) 1-3-1, Kasumigaseki, Chiyoda-ku,
Tokyo 100-8921

City: _____

State: _____

Country: _____ Zip: _____

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor's name.

Assignment Merger Change of Name

Security Agreement Joint Research Agreement

Government Interest Assignment

Executive Order 9424, Confirmatory License

Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

10/591,471

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: RADER, FISHMAN & GRAUER PLLC

Internal Address: Atty. Dkt.: HZA-0003

Street Address: 1233 20th Street, N.W.
Suite 501

City: Washington

State: DC Zip: 20036

Phone Number: (202) 955-3750

Fax Number: (202) 955-3751

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

Authorized to be charged by credit card

Authorized to be charged to deposit account

Enclosed

None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 18-0013
Authorized User Name _____

9. Signature:

May 21, 2007

Signature

Date

Christopher J. Wickstrom

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

PHOTONIC CRYSTAL COUPLING DEFECT WAVEGUIDE AND PHOTONIC CRYSTAL DEVICE

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Japan Science and Technology Agency, a Japanese corporation with offices at 4-1-8, Honcho, Kawaguchi-shi, Saitama 332-0012 JAPAN, and National Institute of Advanced Industrial Science and Technology, a Japanese corporation with offices at 1-3-1, Kasumigaseki, Chiyoda-ku, Tokyo 100-8921 JAPAN, (hereinafter referenced as ASSIGNEES) are desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of Ten Dollar (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present

to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow:

Serial Number: _____, Filing Date: _____

This assignment executed on the dates indicated below.

Katsumi FURUYA

古屋克己
Signature

September 4, 2006
Date

Kazuhiro KOMORI

小森和弘
Signature

September 4, 2006
Date

Noritsugu YAMAMOTO

山本宗継
Signature

September 4, 2006
Date

Yoshinori WATANABE

渡辺 康規
Signature

September 4, 2006
Date