

Atty Docket No.: 2962-001-0 FWC M

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeFORM PTO-1595  
06-04

To the Director of the United States Patent and Trademark Office. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kosé Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Shin-Etsu Chemical Co., Ltd.(50%)

Address: 2-6-1, Ohtemachi,  
Chiyoda-ku,  
Tokyo 100-0004  
JapanAdditional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: June 6, 2007

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application

A. Patent Application No.(s)

B. Patent No.(s)

5,266,321

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220

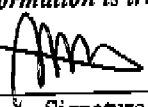
6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030  
(Attach duplicate copy of this page if paying by deposit account)**DO NOT USE THIS SPACE**

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Marvin J. Spivak  
Name of Person Signing  
Signature6/13/07  
Date

Registration Number: 24,913

Total number of pages including this cover sheet: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Director of the United States Patent and Trademark Office  
Mail Stop Assignment Recordation Services  
Alexandria, Virginia. 22313

700329471

**PATENT**  
**REEL: 019419 FRAME: 0805**

OP \$40.00 5266321

ASSIGNMENT

WHEREAS, KOSÉ Corporation of 3-6-2, Nihonbashi, Chuo-ku, Tokyo 103-8251, Japan, a corporation organized and existing under the laws of the Country of Japan, is the sole and exclusive owner, by assignment, of the Letters Patent of the United States of America No. 5,266,321, issued on November 30, 1993; and

WHEREAS, Shin-Etsu Chemical Co., Ltd. of 2-6-1, Ohtemachi, Chiyoda-ku, Tokyo 100-0004, Japan, a corporation organized and existing under the laws of the Country of Japan (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring an undivided one-half right, title and interest in and to said Letters Patent;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00) and other valuable consideration, the receipt of which is hereby acknowledged, KOSÉ Corporation by these presents do sell, assign, and transfer unto ASSIGNEE an undivided one-half right, title and interest for the territory of the United States of America, in and to the aforesaid Letters Patent and the invention described therein, and any reissue or reissues of said Letters Patent to the full end of the term for which said Letters Patent have been granted or reissues may be granted, said invention and Letters Patent to be held and enjoyed by the ASSIGNEE to the extent of the interest hereby assigned, for the use and behoof of said ASSIGNEE, and for the use and behoof of their successors, assigns or other legal representatives, and for claims for damage by reasons of infringement past and present, to the extent hereby assigned, as the same would have been held by us had this Assignment and sale not been made.

IN TESTIMONY WHEREOF, KOSÉ Corporation has caused these presents to be signed by its officer thereunto duly authorized.

KOSÉ Corporation

Date: June 6, 2007

By: 

Name: Yasukiyo Kobayashi

Title: President