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HEET

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To the Honorable Commissioner of Patents and

103415055

1. Name of conveying party(ies): (1) <u>Adrian PONCE</u> (2) <u>Gregory H. BEARMAN</u> Additional name(s) of conveying parties attached <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	2. Name and address of receiving parties: Name: <u>CALIFORNIA INSTITUTE OF TECHNOLOGY</u> Internal address: Street Address: <u>1200 E. California Blvd., M/C 201-85</u> City: <u>Pasadena</u> State: <u>CA</u> Zip: <u>91125</u> Country: <u>U.S.A.</u> Additional Name(s) & Address(es) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: <u>March 3, 2003 by Gregory H. BEARMAN, and March 4, 2003 by Adrian PONCE.</u>	

4. Application number (s) or patent number (s):

If this document is being filed together with a new application, the execution date of the application is March 3, 2003 by Gregory H. BEARMAN, and March 4, 2003 by Adrian PONCE.

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed: Alessandro Steinfel Name: <u>Ladas & Parry</u> Internal Address: Street Address: <u>5607 Wilshire Boulevard, #2100</u> City: <u>Los Angeles</u> State: <u>CA</u> Zip: <u>90036</u>	6. Total number of application and patents involved: <u>[1]</u> 7. Total Fee 937 CFR 3.41) \$40.00 <input checked="" type="checkbox"/> Enclosed Any additional fees which may be required are <input checked="" type="checkbox"/> Authorized to be charged to deposit account no. <u>12-0415.</u> 8. Deposit Account number: (Attach duplicate copy of this page if paying by deposit account)
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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alessandro Steinfel
Name of person signing
Reg. No. 56,448

Al Steinfel
Signature

June 4 2007
Date

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PATENT
REEL: 019425 FRAME: 0355

CIT.PAU.25

ASSIGNMENT

CIT Case No. 3596

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Adrian Ponce

hereby sells, assigns and transfers to ASSIGNEE, California Institute of Technology, having its statewide administrative offices located at 1200 East California Boulevard, Pasadena, California 91125, and the successors, assigns and legal representatives of the ASSIGNEE, all of its rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Methods and Apparatus for Assays of Bacterial Spores

and which is found in

(a) ____ U.S. provisional application filed herewith and listing the above named persons as inventors

(b) ____ U.S. patent application filed herewith and listing the above named persons as inventors

(c) X U.S. application serial no. 10/355,462, filed on 01/31/03

(d) ____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, California Institute of Technology.

executed this

4 day of March, 2003

Signature of Inventor(s)

Adrian Ponce

PATENT**REEL: 019425 FRAME: 0356**

ASSIGNMENT

CIT Case No. 3596

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Gregory H. Bearman

hereby sells, assigns and transfers to ASSIGNEE, California Institute of Technology, having its statewide administrative offices located at 1200 East California Boulevard, Pasadena, California 91125, and the successors, assigns and legal representatives of the ASSIGNEE, all of its rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Methods and Apparatus for Assays of Bacterial Spores

and which is found in

- (a) ____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) ____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/355,462, filed on 01/31/03
- (d) ____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

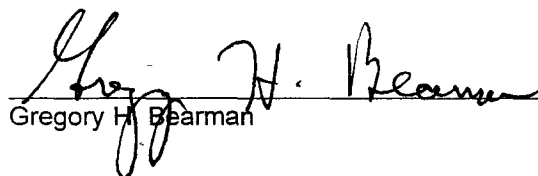
ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, California Institute of Technology.

executed this

Signature of Inventor(s)

3 day of MARCH, 2003


Gregory H. Bearman

PATENT**RECORDED: 06/04/2007****REEL: 019425 FRAME: 0357**