

5-31-07 U.S. PTO
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05/31/2007

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103415243

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 08350.6959
Attorney Customer Number: 58,98

To the Director of the U.S. Patent and Trademark Office:
Please record the attached original documents or copy thereof.

Mail Stop Assignment Recordation Service

1. Name of conveying parties: Mark Scaife (assignor 1) Trent Cleveland (assignor 2) Michael Lowe (assignor 3) Cornelius Opris (assignor 4) Evan Jacobson (assignor 5) Matthew R. Roth (assignor 6)		2. Name and address of receiving party: Name: Caterpillar Inc.	
Additional names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Internal Address:	
3. Nature of conveyance:		Street Address: 100 N.E. Adams Street	
<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	City: Peoria	
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	State: IL	Zip Code: 61629-6490
<input type="checkbox"/> Other:		Additional name & Address attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Execution Date: assignor 1: May 25, 2007 assignor 2: May 30, 2007 assignor 3: May 24, 2007 assignor 4: May 25, 2007 assignor 5: May 24, 2007 assignor 6: May 30, 2007			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date the application: May 25, 2007, May 31, 2007, May 24, 2007, May 25, 2007, May 24, 2007, and May 30, 2007.			
A. Patent Application Number:		B. Patent Number(s):	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed:		6. Total number of applications and registrations involved: 1	
Name: Christopher P. Isaac		7. Total fee (37 CFR 1.21(h) and 3.41): \$40.00	
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.		<input checked="" type="checkbox"/> Enclosed (Please charge deficiency to deposit account 06-0916)	
Street Address: 901 New York Avenue, N.W.		<input type="checkbox"/> Authorized to be charged to deposit account	
City: Washington, D.C.			
State: Zip: 20001-4413		8. Deposited with list No. 08350.6959 84 FC-8821 46-68 OP	
9. Statement and signature.			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Clifford D. Crowder Registration No. 56,639		Signature: <i>Clifford D. Crowder</i> Date: May 31, 2007	
Total number of pages including cover sheet, attachments and documents: 25			

PATENT

REEL: 019426 FRAME: 0163

ASSIGNMENT

WHEREAS I/We, the below named inventor(s) [hereinafter referred to as Assignor(s)], have made an invention entitled:

METHOD AND SYSTEM FOR MAINTAINING AFTERTREATMENT EFFICIENCY

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on _____ (Application No. _____); and


WHEREAS, **Caterpillar Inc.**, a corporation of **Delaware** whose post office address is 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;


NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. _____, filed _____ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;


AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Mark Scaife	ASSIGNOR'S SIGNATURE 	DATE 25 MAY 2007
ADDRESS 1306 Butternut Court, Metamora, IL 61548		CITIZENSHIP UK

1. WITNESS NAME Charles Menke	WITNESS SIGNATURE 	DATE 25 MAY 07
ADDRESS 525 S. Kelly Ct Dunlap, IL 61525		CITIZENSHIP US

2. WITNESS NAME MARTIN L WILLI	WITNESS SIGNATURE 	DATE 5/25/07
ADDRESS 2300 WEST BROOKLYN PLACE DUNLAP, IL 61525		CITIZENSHIP

2. FULL NAME OF SECOND ASSIGNOR, IF ANY Trent Cleveland	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 323 Arbor Vitae Drive, Metamora, IL 61548		CITIZENSHIP US

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Listing of Inventors Continued ☒ Yes ☐ No

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Michael Lowe	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 304 Combs Cove, Metamora, IL 61548		CITIZENSHIP US

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4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Cornelius Opris	ASSIGNOR'S SIGNATURE	DATE
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Listing of Inventors Continued ☒ Yes ☐ No

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Evan Jacobson	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1124 W. Orchard Lane, Peoria, IL 61615		CITIZENSHIP US

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ADDRESS 1306 Butternut Court, Metamora, IL 61548		CITIZENSHIP UK

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. FULL NAME OF SECOND ASSIGNOR, IF ANY Trent Cleveland	ASSIGNOR'S SIGNATURE <i>Trent Cleveland</i>	DATE 5/30/07
ADDRESS 323 Arbor Vitae Drive, Metamora, IL 61548		CITIZENSHIP US

1. WITNESS NAME Scott Snoble	WITNESS SIGNATURE <i>Scott Snoble</i>	DATE 5/30/2007
ADDRESS 1934 California Rd. Pekin, IL 61554		CITIZENSHIP US

2. WITNESS NAME Anna Resmussen	WITNESS SIGNATURE <i>Anna Resmussen</i>	DATE 5/30/2007
ADDRESS 147 Bayland Ave Hopedale IL 61565		CITIZENSHIP U.S.

Listing of Inventors Continued ☒ Yes ☐ No

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Michael Lowe	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 304 Combs Cove, Metamora, IL 61548		CITIZENSHIP US

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2. WITNESS NAME	WITNESS SIGNATURE	DATE
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Listing of Inventors Continued ☒ Yes ☐ No

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Evan Jacobson	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1124 W. Orchard Lane, Peoria, IL 61615		CITIZENSHIP US

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2. WITNESS NAME	WITNESS SIGNATURE	DATE
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6. FULL NAME OF FIFTH ASSIGNOR, IF ANY Matthew R. Roth	ASSIGNOR'S SIGNATURE	DATE
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ADDRESS 323 Arbor Vitae Drive, Metamora, IL 61548		CITIZENSHIP US

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ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Listing of Inventors Continued ☒ Yes ☐ No

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Michael Lowe	ASSIGNOR'S SIGNATURE <i>Michael Lowe</i>	DATE 3/4 MAY 107
ADDRESS 304 Combs Cove, Metamora, IL 61548		CITIZENSHIP US

1. WITNESS NAME <i>Jessica Crompton</i>	WITNESS SIGNATURE <i>Jessica Crompton</i>	DATE 5/24/07
ADDRESS 1312 Santa Fe Rd		CITIZENSHIP USA
Washington IL 61571		

2. WITNESS NAME ANTHONY RODMAN	WITNESS SIGNATURE <i>A Rodman</i>	DATE 5/24/07
ADDRESS 13707 WILD SPRUCE CT.		CITIZENSHIP USA
CHILLICOTHE IL 61523		

4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Cornelius Opris	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 5946 W. Ridgecrest Circle, Peoria, IL 61615		CITIZENSHIP Denmark

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Listing of Inventors Continued ☒ Yes ☐ No

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Evan Jacobson	ASSIGNOR'S SIGNATURE	DATE
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Listing of Inventors Continued ☒ Yes ☐ No

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Michael Lowe	ASSIGNOR'S SIGNATURE	DATE
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ADDRESS		CITIZENSHIP

4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Cornellus Opris	ASSIGNOR'S SIGNATURE <i>Cornellus Opris</i>	DATE 5-25-07
ADDRESS 5946 W. Ridgecrest Circle, Peoria, IL 61615		CITIZENSHIP Denmark

1. WITNESS NAME <i>Richard A. Crandell</i>	WITNESS SIGNATURE <i>Richard A. Crandell</i>	DATE 5/25/2007
ADDRESS 7413 N. Piccadilly Pl Peoria, IL 61614		CITIZENSHIP USA

2. WITNESS NAME <i>Matthew E. Leustek</i>	WITNESS SIGNATURE <i>Matthew E. Leustek</i>	DATE 5/25/07
ADDRESS 1309 N. Hickory Hills Rd Germantown IL 61548		CITIZENSHIP USA

Listing of Inventors Continued ☒ Yes ☐ No

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Evan Jacobson	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1124 W. Orchard Lane, Peoria, IL 61615		CITIZENSHIP US

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ADDRESS		CITIZENSHIP

Listing of Inventors Continued ☒ Yes ☐ No

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Evan Jacobson	ASSIGNOR'S SIGNATURE <i>Evan E. Jacobson</i>	DATE 24 MAY 07
ADDRESS 1124 W. Orchard Lane, Peoria, IL 61615		CITIZENSHIP US

1. WITNESS NAME <i>THOMAS A. MEAGHER</i>	WITNESS SIGNATURE <i>TX [Signature]</i>	DATE 24 May 07
ADDRESS <i>815 W. SCOTWOOD</i>		CITIZENSHIP U.S.A.
<i>PEORIA, IL 61615</i>		

2. WITNESS NAME CHRISTOPHER M KATINAS	WITNESS SIGNATURE <i>Chris Katinas</i>	DATE 24 MAY 07
ADDRESS 201 N CLOVERFIELD DRIVE		CITIZENSHIP USA
<i>CHILLICOTHE, IL 61523</i>		

6. FULL NAME OF FIFTH ASSIGNOR, IF ANY Matthew R. Roth	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1537 Deer Path, Metamora, IL 61548		CITIZENSHIP US

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Listing of Inventors Continued ☐ Yes ☒ No

ASSIGNMENT

WHEREAS I/We, the below named inventor(s) [hereinafter referred to as Assignor(s)], have made an invention entitled:

METHOD AND SYSTEM FOR MAINTAINING AFTERTREATMENT EFFICIENCY

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on _____ (Application No. _____); and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. _____, filed _____ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Mark Scaife	LEGAL REPRESENTATIVE SIGNATURE:	DATE
ADDRESS 1306 Butternut Court, Metamora, IL 61548		CITIZENSHIP UK

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. FULL NAME OF SECOND ASSIGNOR, IF ANY Trent Cleveland	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 323 Arbor Vitae Drive, Metamora, IL 61548		CITIZENSHIP US

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Listing of Inventors Continued ☒ Yes ☐ No

3. FULL NAME OF THIRD ASSIGNOR, IF ANY Michael Lowe	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 304 Combs Cove, Metamora, IL 61548		CITIZENSHIP US

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

4. FULL NAME OF FOURTH ASSIGNOR, IF ANY Cornelius Oprls	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 5946 W. Ridgecrest Circle, Peoria, IL 61615		CITIZENSHIP Denmark

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

Listing of Inventors Continued ☒ Yes ☐ No

5. FULL NAME OF FIFTH ASSIGNOR, IF ANY Evan Jacobson	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 1124 W. Orchard Lane, Peoria, IL 61615		CITIZENSHIP US

1. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

2. WITNESS NAME	WITNESS SIGNATURE	DATE
ADDRESS		CITIZENSHIP

6. FULL NAME OF FIFTH ASSIGNOR, IF ANY Matthew R. Roth	ASSIGNOR'S SIGNATURE <i>Matthew R. Roth</i>	DATE 30 MAY 07
ADDRESS 1537 Deer Path, Metamora, IL 61548		CITIZENSHIP US

1. WITNESS NAME RENGAD VENKATARAMAN	WITNESS SIGNATURE <i>V. Rengad</i>	DATE 30 MAY 07
ADDRESS 1523 W. CALLENDER AVE, IL 61601		CITIZENSHIP INDIA

2. WITNESS NAME UNNATI KINGI	WITNESS SIGNATURE <i>U. Kingi</i>	DATE 30 May 07
ADDRESS 1512 W. Candletree drive, Peoria IL - 61614		CITIZENSHIP INDIA

Listing of Inventors Continued ☐ Yes ☒ No