

JUN-05-2007 13:42

JUN 05 2007

Form PTO-1595

06-11-2007

911-012.010-1(06JAB001)

R

EET



103415339

d the attached document

Commissioner for Patents, P.O. Box 1

1. Name of conveying party(ies):

- 1) David L. PHILLIPS
- 2) Ashfaq M. MIR
- 3) Rufino NAVAL, Jr.

Additional name(s) of conveying party(ies) attached?

- ☐ Yes
☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date:

- 1) 20 April 2007
- 2) 20 April 2007
- 3) 20 April 2007

2. Name and address of receiving party(ies):

Name: ITT Manufacturing Enterprises Inc.

Address: 1105 N. Market Street
Suite 1217

City: Wilmington
State: Delaware

ZIP Code: 19801

Country: USA

Additional name(s) & address(es) attached?

- ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):
11/728,031

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William J. Barber
Address: Ware, Fressola, Van Der Sluys &
Adolphson LLP
Bradford Green, Bldg. Five
755 Main St., P.O. Box 224

City: Monroe

State: CT

ZIP Code: 06468

6. Total number of applications and/or patents involved:

.....1.....

7. Total fee (37 CFR 3.41):\$40.00

☐ Enclosed

☒ Charge deposit account

☒ Please charge any fee deficiency to deposit account

8. Deposit account number: 23-0442

DO NOT USE THIS SPACE

9. Statement And Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and the attached document is either an original document or a true copy of the original document.

William J. Barber
Name of Person Signing

Signature

4 June 2007
Date

Customer No.: 4955

Total number of pages including cover sheet(s):

Page of.

06/08/2007 MJAMA1 00000009 230442 11728031

01 FC:8021

40.00 DA

PAGE 3/7 * RCVD AT 6/5/2007 1:39:58 PM [Eastern Daylight Time] * SVR:USPTO-EFAXF-1/14 * DNIS:2738300 * CSID: * DURATION (mm-ss):02-10

JUN-05-2007 13:42

JUN 05 2007

Docket No. 911-012.010-1(06JAB001US)
Serial Number: 11/728,031

ASSIGNMENT AND AGREEMENT

FOR VALUE RECEIVED, We, David L. PHILLIPS, a citizen of the United States of America, and residing at 2601 W. Aurora #4, Santa Ana, California 92704; **Ashfaq MIR**, a citizen of India; and residing 11899 Mansa Court, Fountain Valley, California 92708, and **Rufino NAVAL, Jr.**, a citizen of the Philippines, and residing at 32971 Calle San Marcos, San Juan Capistrano, California 92675, hereby sell, assign and transfer to **ITT MANUFACTURING ENTERPRISES, INC.**, a corporation duly organized and existing under the laws of United States of America, and having a principal place of business at 1105 N. Market Street, Suite 1217, Wilmington, Delaware 19801, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to improvements in a **COMPARTMENTALIZED ELECTRIC/MANUAL GATE VALVE** which is described in an application for Letters Patent of the United States, Serial Number 11/728,031 filed 22 March 2007 and all the rights and privileges under any and all Letters Patent that may be granted therefor.

We request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by: (a) the International Convention for the Protection of Industrial property, as amended, or by convention which may henceforth be substituted for it; and (b) the Patent Cooperation Treaty, as amended, or by any treaty which may henceforth be substituted for it; and to invoke and claim such right of priority without further written or oral authorization from us.

- 1 -

Docket No. 911-012.010-1(06JAB001US)

Serial Number: 11/728,031

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by: (a) the International Convention for the protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it; and (b) the Patent Cooperation Treaty, as amended, or by any treaty which may henceforth be substituted for it.

We covenant with said assignee, its successors and assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at ITT MARINE + LEISURE SANTA ANA, CA this 20th day of April, in the year 2007.




David L. PHILLIPS

Docket No. 911-012.010-1(06JAB001US)

Serial Number: 11/728,031

We covenant with said assignee, it successors and assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at ITT Marine & Leisure Santa Ana this 20th day of April, in the year 2007.



Ashfaq M. Mir

JUN-05-2007 13:43

P.07/07

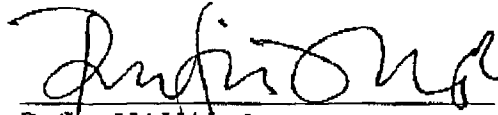
JUN 05 2007

Docket No. 911-012.010-1(06JAB001US)

Serial Number: 11/728,031

We covenant with said assignee, it successors and assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at ITT MEL this 20th day of April, in the year 2007.


Rufino NAVA, Jr.