

06-13-2007

U.S. PTO

11/810377

06/05/2007

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



ER SHEET

ONLY

To the Director of the U.S.

103415734

the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Sheldon Davis  
Andrew D. Flockhart  
Robert C. Steiner

Execution Date(s) 05/29/2007, 05/30/2007, 05/31/2007

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Avaya Technology LLC

Internal Address: \_\_\_\_\_

Street Address: 211 Mount Airy Road

City: Basking Ridge

State: NJ

Country: United States Zip: 07920

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/810377

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John C. Moran

Internal Address: \_\_\_\_\_

Street Address: 4120 E. 115 Place

City: Thornton

State: CO Zip: 80233

Phone Number: 303-450-9926

Fax Number: 303-920-9113

Email Address: jmoran18819@earthlink.net

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 501602

Authorized User Name John C. Moran

9. Signature:

John C. Moran

Signature

Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

**ASSIGNMENT AND AGREEMENT**

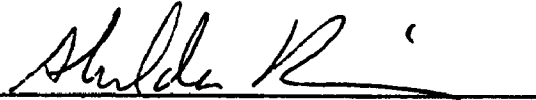
For value received, we, Sheldon Davis of Aptos in the State of California and Country of United States of America, Andrew D. Flockhart of Thornton in the State of Colorado and Country of United States of America, and Robert C. Steiner of Broomfield in the State of Colorado and Country of United States of America, hereby sell, assign and transfer to Avaya Technology LLC, a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **APPARATUS AND METHOD FOR CALL CENTER CALL-BACK** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and We also concurrently hereby sell, assign and transfer to Avaya Technology LLC the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology LLC to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology LLC to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Technology LLC in the United States and in all countries foreign to the United States, or to such nominees as Avaya Technology LLC may designate.

We agree that, when requested, We shall, without charge to Avaya Technology LLC but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

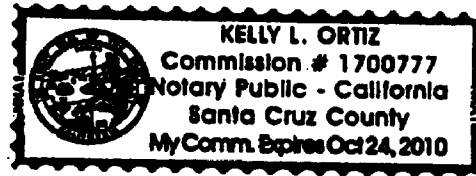
  
Sheldon Davis

Date: May 30, 2007

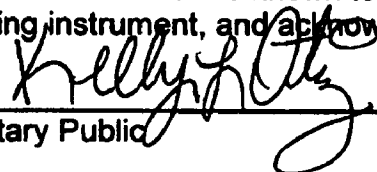
United States of America )

State of California ) ss.:

County of Santa Cruz ,



On this 30<sup>th</sup> day of May, 2007, before me personally came, Sheldon Davis to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

\_\_\_\_\_  
Andrew D. Flockhart

Date: \_\_\_\_\_

United States of America )

State of \_\_\_\_\_ ) ss.:

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2007, before me personally came, Andrew D. Flockhart to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

We agree that, when requested, We shall, without charge to Avaya Technology LLC but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

\_\_\_\_\_  
Sheldon Davis

Date: \_\_\_\_\_

United States of America )

State of \_\_\_\_\_ ) ss.:

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2007, before me personally came, Sheldon Davis to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

Andrew D. Flockhart  
Andrew D. Flockhart

Date: 5/31/07

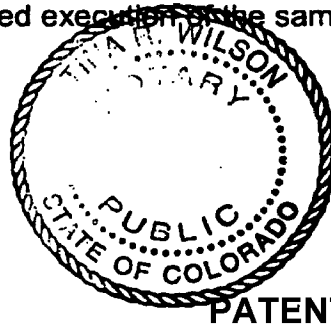
United States of America )

State of Colorado ) ss.:

County of Adams )

On this 31<sup>st</sup> day of May, 2007, before me personally came, Andrew D. Flockhart to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

[Signature]  
Notary Public



  
Robert C. Steiner

Date: 5/29/07

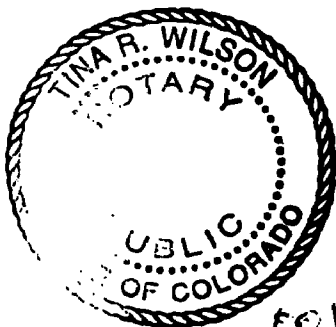
United States of America )

State of Colorado ) ss.:

County of Adams )

On this 29th day of May, 2007, before me personally came, Robert C. Steiner to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public



My Commission Expires

08/04/08