

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Shenda Baker  
Shannon Ryan  
William Wiesmann

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Hawaii Chitopure, Inc.

Internal Address: #104

Street Address: 4429 Mala'ai Street

City: Honolulu

State: HI

Country: USA Zip: 96818

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 04/12/2007; 04/16/2007; 04/16/2007

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)  
11/657,382

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Ms. Abanti Bhattacharyya, Esc.

Internal Address: Bartunek & Bhattacharyya, Ltd.

Suite 405

Street Address: 10420 Little Patuxent Parkway

City: Columbia

State: MD Zip: 21044

Phone Number: (410) 964-9553

Fax Number: (410) 964-2660

Email Address: abbyb@bbips.com

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

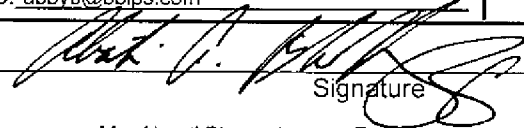
- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

June 14, 2007

Date

Ms. Abanti Bhattacharyya, Esc.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 11657382

### Assignment

In exchange for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, Shenda Baker, hereby:

A. Sells, assigns and transfers to Assignee, Hawaii Chitopure, Inc., a company of Hawaii, having its place of business at 4429 Mala'ai St., #104, Honolulu, HI 96818, its successors, assigns and legal representatives, the entire right, title and interest for all countries in and to any and all inventions that are disclosed and claimed, and, as possessed by the Assignor, any and all inventions that are disclosed but not claimed, in U.S. patent application number 11/657,382, entitled "Chitosan-Derivative Compounds and Methods of Controlling Microbial Populations," filed January 24, 2007, and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries that have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents that have been or shall be issued on said inventions;

B. Authorizes and requests the Commissioner of Patents of the United States to issue to said Assignee, the company above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

C. Agrees that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the Assignee or the Assignee's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignor, its successors, assigns and representatives, all facts known and documents available to the Assignee relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible that said Assignor, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

D. Covenants with said Assignee, its successors, assigns and legal representatives, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the Assignor, and that full right to convey the same as herein expressed is possessed by the Assignor.

4/16/07  
\_\_\_\_\_  
Date

ASSIGNOR



Shenda Baker  
2521 Wildrose Lane  
Upland, CA 91784

Assignment

In exchange for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, Shannon Ryan, hereby:

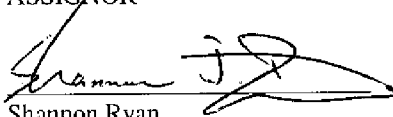
A. Sells, assigns and transfers to Assignee, Hawaii Chitopure, Inc., a company of Hawaii, having its place of business at 4429 Mala'ai St., #104, Honolulu, HI 96818, its successors, assigns and legal representatives, the entire right, title and interest for all countries in and to any and all inventions that are disclosed and claimed, and, as possessed by the Assignor, any and all inventions that are disclosed but not claimed, in U.S. patent application number 11/657,382, entitled "Chitosan-Derivative Compounds and Methods of Controlling Microbial Populations," filed January 24, 2007, and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries that have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents that have been or shall be issued on said inventions;

B. Authorizes and requests the Commissioner of Patents of the United States to issue to said Assignee, the company above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

C. Agrees that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the Assignee or the Assignee's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignor, its successors, assigns and representatives, all facts known and documents available to the Assignee relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible that said Assignor, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

D. Covenants with said Assignee, its successors, assigns and legal representatives, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the Assignor, and that full right to convey the same as herein expressed is possessed by the Assignor.

04/12/07  
Date

ASSIGNOR  
  
Shannon Ryan  
745 Danville Drive  
Claremont, CA 91711

### Assignment

In exchange for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, William P. Wiesmann, hereby:

A. Sells, assigns and transfers to Assignee, Hawaii Chitopure, Inc., a company of Hawaii, having its place of business at 4429 Mala'ai St., #104, Honolulu, HI 96818, its successors, assigns and legal representatives, the entire right, title and interest for all countries in and to any and all inventions that are disclosed and claimed, and, as possessed by the Assignor, any and all inventions that are disclosed but not claimed, in U.S. patent application number 11/657,382, entitled "Chitosan-Derivative Compounds and Methods of Controlling Microbial Populations," filed January 24, 2007, and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries that have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents that have been or shall be issued on said inventions;

B. Authorizes and requests the Commissioner of Patents of the United States to issue to said Assignee, the company above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

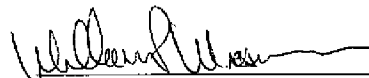
C. Agrees that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the Assignee or the Assignee's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignor, its successors, assigns and representatives, all facts known and documents available to the Assignee relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible that said Assignor, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

D. Covenants with said Assignee, its successors, assigns and legal representatives, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the Assignor, and that full right to convey the same as herein expressed is possessed by the Assignor.

ASSIGNOR

06-14-07

Date



William P. Wiesmann  
1231 28<sup>th</sup> Street NW  
Washington, DC 20007