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S-100,125

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

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- ☐ Assignment ☐ Merger  
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☒ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**Name: United States Department of Energy

Internal Address: \_\_\_\_\_

Street Address: 1000 Independence Ave., S.W.City: WashingtonState: D.C.Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

10/532,556

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**Name: Mark P. DvorscakInternal Address: U. S. Dept. of Energy, IPLDStreet Address: 9800 S. Cass AveCity: ArgonneState: IL Zip: 60439Phone Number: 630-252-2393Fax Number: 630-252-2779

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$**

- ☐ Authorized to be charged by credit card  
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Total number of pages including cover sheet, attachments, and documents: **2**

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DOE Docket No.: S-100,125  
ANL Case No.: ANL-IN-02-035

### CONFIRMATORY LICENSE

Application for: IMPROVED METALWORKING AND MACHINING FLUIDS  
Inventors: Ali Erdemir, Frank Sykora, and Mark Dorbeck  
Serial No.: 10/532,556  
Filing Date: October 28, 2005  
Contract No.: W-31-109-ENG-38 and ANL-P-02094  
Contractor: The University of Chicago

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provisions of the above-numbered contract (certified on February 8, 1996) governing patent rights in the Subject Invention at the time the invention was made has been submitted to the U.S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed on September 28, 2006  
THE UNIVERSITY OF CHICAGO (Contractor)

By: 

Renee K. Carder, Ph.D.  
Assistant Vice President  
for Strategic Research Initiatives  
Office of the Vice President for Research