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United States Patent and Trademark Office

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

M. LINDA LIN
WILLIAM H. SUN
JOHN M. BOYLE
PENELOPE STAMATAKIS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 6/12/2007 AND 6/13/2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: FUEL TECH, INC.

Internal Address: _____

Street Address: 512 KINGSLAND DRIVE

City: BATAVIA

State: ILLINOIS

Country: US Zip: 60510

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/695,971

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: THADDIUS J. CARVIS

Internal Address: LAW OFFICES OF THADDIUS J. CARVIS

Street Address: 102 NORTH KING STREET

City: LEESBURG

State: VIRGINIA Zip: 20176

Phone Number: (703) 737-7817

Fax Number: (703) 737-7813

Email Address: admin@tlcarvis.com

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 502735

Authorized User Name THADDIUS J. CARVIS

9. Signature:

Nancy S. Guth
Signature

June 19, 2007
Date

NANCY S. GUTH

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 019452 FRAME: 0390

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CH \$40.00 502735 11695971

(FT P3041A CIP US)

ASSIGNMENT

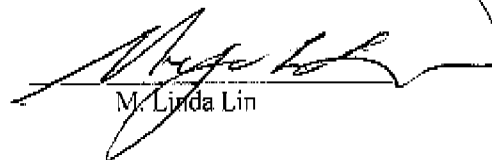
IN CONSIDERATION of One Dollar (\$1.00) to us in hand paid, receipt of which is hereby acknowledged, and for other good and valuable consideration, We, M. Linda Lin, residing at 8S325 Wehrli Road, Naperville, IL 60540; William H. Sun, residing at 2285 Pebble Creek Drive, Lisle, IL 60532; John M. Boyle, residing at 1047 N. Lombard Avenue, Oak Park, IL 60302 and Penelope Stamatakis, residing at 99 Briarcliff, Montgomery, IL 60538, being joint inventors of a certain Invention for a SELECTIVE CATALYTIC REDUCTION OF NOx ENABLED BY UREA DECOMPOSITION IN HEAT-EXCHANGER BYPASS (FT P3041A CIP US), as fully set forth and described in U.S. Patent Application Serial Number 11/695,971, filed April 3, 2007, a continuation-in-part of U.S. Serial No. 10/031,724, filed July 3, 2003, preparatory to obtaining Letters Patent of the United States therefor, being free from all prior assignments and having the right to convey the entire interest, both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto FUEL TECH. INC., a corporation organized and existing under and by virtue of the laws of the State of Massachusetts, and having an office and place of business at 512 Kingsland Drive, Batavia, IL 60510, (The Corporation) its successors and assigns, the entire right, title and interest in and to said Application and Invention together with all patents, either United States or foreign, which may be granted therefor, or on any other application claiming common priority thereto and all divisions, reissues, renewals, continuations, continuations-in-part, substitutes and extensions thereof.

AND, WE hereby covenant and agree that we will communicate to said Corporation, its successors and assigns, all facts known to us respecting said Invention, and will, upon its request at any time, testify in any legal proceedings, sign all lawful papers, execute all original, divisional, continuation, continuation-in-part, substitute and reissue applications and any other U.S. or international application claiming common priority to said Application, execute all assignments, make all rightful oaths and generally do everything reasonable and proper to aid said Corporation, its successors, assigns and nominees, to obtain and enforce proper protection for said Invention in all countries.

Assignment
Serial No. 11/695,971

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IN WITNESS WHEREOF, I, M. Linda Lin, have hereunto set my hand and affixed my seal this
13th day of June, 2007.

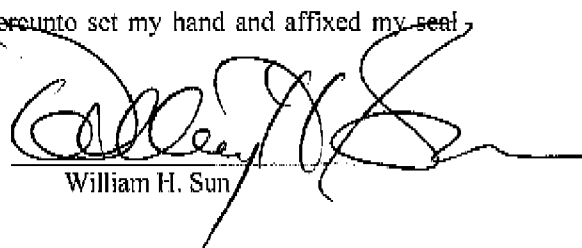

M. Linda Lin

Declaration of Witnesses

We declare that we were personally present and did see M. Linda Lin who is personally known to us
to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/13/2007
Deborah Greco Signature of Witness
DEBORAH GRECO Name of Witness
Suzanne Clements Signature of Witness
Suzanne Clements Name of Witness

IN WITNESS WHEREOF, I, William H. Sun, have hereunto set my hand and affixed my seal
this 12th day of June, 2007.


William H. Sun

Declaration of Witnesses

We declare that we were personally present and did see William H. Sun who is personally known to
us to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/12/07
Deborah Greco Signature of Witness
DEBORAH GRECO Name of Witness
Suzanne Clements Signature of Witness
Suzanne Clements Name of Witness

Assignment
Serial No. 11/695,971


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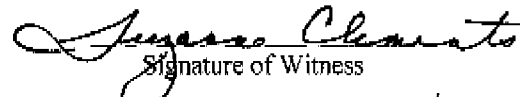
IN WITNESS WHEREOF, I, John M. Boyle, have hereunto set my hand and affixed my seal this
13th day of JUNE, 2007.


John M. Boyle

Declaration of Witnesses

We declare that we were personally present and did see John M. Boyle who is personally known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/13/07.

Signature of Witness
DEBORAH GRECO
Name of Witness



Signature of Witness
Suzanne Clements
Name of Witness

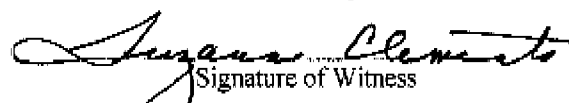
IN WITNESS WHEREOF, I, Penelope Stamatakis, have hereunto set my hand and affixed my seal this 13th day of June, 2007.


Penelope Stamatakis

Declaration of Witnesses

We declare that we were personally present and did see Penelope Stamatakis who is personally known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/13/07.

Signature of Witness
DEBORAH GRECO
Name of Witness


Signature of Witness
Suzanne Clements
Name of Witness