# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** 

NATURE OF CONVEYANCE: SECURITY AGREEMENT

## **CONVEYING PARTY DATA**

| Name                     | Execution Date |
|--------------------------|----------------|
| NanoProducts Corporation | 06/27/2007     |

## **RECEIVING PARTY DATA**

| Name:           | Koch Nanomaterials, LLC, by Koch Genesis Company, LLC |  |  |  |
|-----------------|---|--|--|--|
| Street Address: | 11 East 37th Street North                             |  |  |  |
| City:           | ichita  |  |  |  |
| State/Country:  | ANSAS   |  |  |  |
| Postal Code:    | 67220   |  |  |  |

#### PROPERTY NUMBERS Total: 50

500304159

| Property Type  | Number  |
|----------------|---------|
| Patent Number: | 5788738 |
| Patent Number: | 5851507 |
| Patent Number: | 5905000 |
| Patent Number: | 5952040 |
| Patent Number: | 5984997 |
| Patent Number: | 6202471 |
| Patent Number: | 6214195 |
| Patent Number: | 6228904 |
| Patent Number: | 6267864 |
| Patent Number: | 6344271 |
| Patent Number: | 6387560 |
| Patent Number: | 6513362 |
| Patent Number: | 6514453 |
| Patent Number: | 6531704 |
| Patent Number: | 6554609 |
|                | DATENT  |

PATENT

**REEL: 019477 FRAME: 0836** 

| Patent Number: | 6562495 |
|----------------|---------|
| Patent Number: | 6569397 |
| Patent Number: | 6569490 |
| Patent Number: | 6569518 |
| Patent Number: | 6572672 |
| Patent Number: | 6576355 |
| Patent Number: | 6602543 |
| Patent Number: | 6602595 |
| Patent Number: | 6607779 |
| Patent Number: | 6607821 |
| Patent Number: | 6610355 |
| Patent Number: | 6641775 |
| Patent Number: | 6652967 |
| Patent Number: | 6705152 |
| Patent Number: | 6713176 |
| Patent Number: | 6716525 |
| Patent Number: | 6719821 |
| Patent Number: | 6726992 |
| Patent Number: | 6737463 |
| Patent Number: | 6746791 |
| Patent Number: | 6786950 |
| Patent Number: | 6813931 |
| Patent Number: | 6830822 |
| Patent Number: | 6832735 |
| Patent Number: | 6849109 |
| Patent Number: | 6855426 |
| Patent Number: | 6855749 |
| Patent Number: | 6916872 |
| Patent Number: | 6933331 |
| Patent Number: | 6946197 |
| Patent Number: | 7007872 |
| Patent Number: | 7029507 |
| Patent Number: | 7081267 |
| Patent Number: | 7178747 |
| Patent Number: | 7183337 |
|                | PATENT  |

**REEL: 019477 FRAME: 0837** 

#### **CORRESPONDENCE DATA**

Fax Number: (816)412-9392

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 816-842-8600

Email: patent@stinson.com

Correspondent Name: Penny R. Slicer

Address Line 1: Stinson Morrison Hecker LLP
Address Line 2: 1201 Walnut Street, 25th floor

Address Line 4: Kansas City, MISSOURI 64106-2150

ATTORNEY DOCKET NUMBER: 801915-0013

NAME OF SUBMITTER: Penny R. Slicer

#### Total Attachments: 9

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source=Koch Nanomaterials - Nanoproducts Corp - Security Agreement, Patents#page9.tif

#### NOTICE

OF

#### GRANT OF SECURITY INTEREST

IN

#### **PATENTS**

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Security Agreement, dated as of June 27, 2007 (the "Security Agreement"), by and among the Grantors party thereto (each a "Grantor" and collectively, the "Grantors") and Koch Nanomaterials, LLC, as Collateral Agent (the "Collateral Agent") for the holders of the Obligations referenced therein, the undersigned Grantor has granted a continuing security interest in and continuing lien upon, the patents and patent applications set forth on Schedule 1 attached hereto to the Collateral Agent for the benefit of the holders of the Obligations.

The Grantors and the Collateral Agent, on behalf of the holders of the Obligations, hereby acknowledge and agree that the security interest in the patents and patent applications set forth on Schedule 1 attached hereto (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

[SIGNATURES ON FOLLOWING PAGE]

Very truly yours,

NanoProducts Corporation

Name: RICK ST/Andlund

Title: PRESIDENT

Grantor's Address:

NanoProducts Corporation 14330 Longs Peak Ct. Longmont, CO 80504

Acknowledged and Accepted:

# KOCH NANOMATERIALS, LLC,

a Delaware limited liability company

By: Koch Genesis Company, LLC

a Delaware limited liability company,

its sole Member

Name: Timothy J. Cesarek

Title: President

NanoProducts Corporation NOTICE OF GRANT OF SECURITY INTEREST IN PATENTS

DB03/801915.0013/7666562.2

| Very truly yours,        |  |
|--------------------------|--|
| NanoProducts Corporation |  |
| Ву:                      |  |
| Name:                    |  |
| Title:                   |  |
|                          |  |
| Grantor's Address:       |  |
| NanoProducts Corporation |  |
| 14330 Longs Peak Ct.     |  |
| Longmont, CO 80504       |  |

Acknowledged and Accepted:

KOCH NANOMATERIALS, LLC,

a Delaware limited liability company

By:

Koch Genesis Company, LLC a Delaware limited liability company,

its sole Member

By: Name: Timothy J. Cesarek

Title: President



## Schedule 1

# NanoProducts Corporation (Delaware corporation)

## **U.S.** Patents

# **U.S. Issued Patents**

| Patent No. | Issue Date | Application No. | Title  |
|------------|------------|-----------------|--|
| 5,788,738  | 08/04/98   | 08/707,341      | Method of Producing Nanoscale Powders by Quenching of Vapors (previously Boundary Layer Joule - Thompson Nozzle)                                   |
| 5,851,507  | 12/22/98   | 08/706,819      | Integrated Thermal Process for the Continuous Synthesis of Nanoscale Powders   |
| 5,905,000  | 05/18/99   | 08/739,257      | Nanostructured Ion Conducting Solid Electrolytes   |
| 5,952,040  | 09/14/99   | 08/730,661      | Passive Electronic Components from Nano-Precision Engineered Materials   |
| 5,984,997  | 11/16/99   | 09/046,465      | Combustion of Emulsions: A Method and Process for Producing Fine Powders (Polycomponent Nanostructured Powders incorporated into this application) |
| 6,202,471  | 03/20/01   | 09/074,534      | Low-Cost Multilaminate Sensors   |
| 6,214,195  | 04/10/01   | 09/165,439      | A Method and Device for Transforming Chemical Compositions   |
| 6,228,904  | 05/08/01   | 09/083,893      | Nanostructured Fillers and Carriers  |

NanoProducts Corporation NOTICE OF GRANT OF SECURITY INTEREST IN PATENTS

| Patent No. | Issue Date | Application No. | Title  |
|------------|------------|-----------------|--|
| 6,267,864  | 07/31/01   | 09/286,698      | Field Assisted Transformation of Chemical and Material Compositions                  |
| 6,344,271  | 02/05/02   | 09/274,517      | Materials and Products Using Nanostructured Non-<br>Stoichiometric Substances        |
| 6,387,560  | 05/14/02   | 09/251,313      | Nanostructured Solid Electrolytes and Devices  |
| 6,513,362  | 02/04/03   | 09/753,806      | Low-Cost Multilaminate Sensors   |
| 6,514,453  | 02/04/03   | 09/024,837      | Thermal Sensors Prepared from Nanostructured Powders                                 |
| 6,531,704  | 03/11/03   | 09/730,053      | Nanotechnology for Engineering the Performance of Substances                         |
| 6,554,609  | 04/29/03   | 10/147,837      | Nanotechnology for Electrical Devices  |
| 6,562,495  | 05/13/03   | 10/150,140      | Nanoscale Catalyst Compositions from Complex and Non-<br>Stoichiometric Compositions |
| 6,569,397  | 05/27/03   | 09/638,977      | Very High Purity Fine Powders and Methods to Produce Such Powders                    |
| 6,569,490  | 05/27/03   | 10/147,636      | Nanotechnology for Chemical Radiation and Biotechnology<br>Sensors                   |
| 6,569,518  | 05/27/03   | 10/147,599      | Nanotechnology for Electrochemical and Energy Devices                                |
| 6,572,672  | 06/03/03   | 10/147,829      | Nanotechnology for Biomedical Products   |
| 6,576,355  | 06/10/03   | 10/147,954      | Nanotechnology for Electronic and Opto-Electronic Devices                            |
| 6,602,543  | 08/05/03   | 10/147,835      | Nanotechnology for Magnetic Components   |
| 6,602,595  | 08/05/03   | 10/150,722      | Nanotechnology for Inks and Dopants  |
| 6,607,779  | 08/19/03   | 10/150,201      | Nanotechnology for Photonic and Optical Components                                   |
| 6,607,821  | 08/19/03   | 09/996,500      | Applications and Devices Based on Nanostructured Non-<br>Stoichiometric Substances   |
| 6,610,355  | 08/26/03   | 09/988,901      | Nanostructured Deposition and Devices  |

| Patent No. | Issue Date | Application No. | Title  |
|------------|------------|-----------------|--|
| 6,641,775  | 11/04/03   | 10/001,660      | Reducing Manufacturing and Raw Material Costs for Device Manufacture with Nanostructured Powders |
| 6,652,967  | 11/25/03   | 10/004,387      | Nano-Dispersed Powders & Methods for Their Manufacture   |
| 6,705,152  | 03/16/04   | 10/004,258      | Nanostructured Ceramic Platform for Micromachined Devices and Device Arrays                      |
| 6,713,176  | 03/30/04   | 09/996,471      | Processing and Manufacturing Methods Enabled Using Non-<br>Stoichiometric Nanomaterials          |
| 6,716,525  | 04/06/04   | 10/464,242      | Nano-Dispersed Catalysts Particles   |
| 6,719,821  | 04/13/04   | 10/071,027      | Precursors of Engineered Powders   |
| 6,726,992  | 04/27/04   | 10/464,208      | Nano-Engineered Phosphors and Related Nanotechnology   |
| 6,737,463  | 05/18/04   | 10/144,013      | Nanomaterials and Magnetic Media with Coated<br>Nanostructured Fillers and Carriers (as amended) |
| 6,746,791  | 06/08/04   | 10/153,236      | Nano-Ionic Products and Devices and Related Applications   |
| 6,786,950  | 09/07/04   | 10/315,271      | High Purity Fine Metal Powders and Methods to Produce Such Powders                               |
| 6,813,931  | 11/09/04   | 10/001,423      | Nanocomposite Devices and Related Nanotechnology   |
| 6,830,822  | 12/14/04   | 10/449,278      | Inorganic Colors and Related Nanotechnology  |
| 6,832,735  | 12/21/04   | 10/113,315      | Post-Processed Nanoscale Powders and Method for Such<br>Post-Processing                          |
| 6,849,109  | 02/01/05   | 10/455,874      | Inorganic Dopants, Inks and Related Nanotechnology   |
| 6,855,426  | 02/15/05   | 10/143,995      | Methods for Producing Composite Nanoparticles  |

| Patent No. | Issue Date | Application No. | Title   |
|------------|------------|-----------------|---|
| 6,855,749  | 02/15/05   | 10/449,281      | Polymer Nanocomposite Implants with Enhanced<br>Transparency and Mechanical Properties for Administration<br>within Humans or Animals |
| 6,916,872  | 07/12/05   | 10/449,282      | Non-Spherical Nanopowder Derived Nanocomposites   |
| 6,933,331  | 08/23/05   | 09/790,036      | Nanotechnology for Drug Delivery, Contrast Agents and Biomedical Implants   |
| 6,946,197  | 09/20/05   | 10/783,629      | Semiconductor and Device Nanotechnology and Methods for Their Manufacture   |
| 7,007,872  | 6/7/06     | 10/898,852      | Methods for Modifying the Surface Area of Nanomaterials   |
| 7,029,507  | 4/18/06    | 10/292,263      | Polishing Using Multi-Metal Oxide Nanopowders   |
| 7,081,267  | 7/25/06    | 10/614,845      | Nanostructured Powders and Related Nanotechnology   |
| 7,178,747  | 2/20/07    | 10/898,847      | Shape Engineering of Nanoparticles  |
| 7,183,337  | 2/27/07    | 10/426,414      | Optically Clear Nanocomposites and Products Using Nanoscale Fillers   |

# **U.S. Pending Patent Applications**

| Application No. | Filing Date | Publication No. | Title   |
|-----------------|-------------|-----------------|---|
| 10/315,272      | 12/10/02    | 20030124043     | High Purity Nanoscale Metal Oxide Powders and Methods to Produce Such Powders |
| 10/354,352      | 01/30/03    | 20030138368     | High Purity Nanomaterials   |
| 10/424,395      | 04/28/03    | 20030207975     | Nanotechnology for Biomedical Implants  |
| 10/434,828      | 05/09/03    | 20030199624     | Conductive Nanocomposite Films  |
| 10/435,222      | 05/09/03    | 20030207976     | Thermal Nanocomposites  |
| 10/435,287      | 05/09/03    | 20030207977     | Optical Filters from Nanocomposites   |
| 10/441,501      | 05/20/03    | 20030209057     | Color Pigment Nanotechnology  |
| 10/679,611      | 10/06/03    | 20040139888     | Printing Inks and Reagents for Nanoelectronics and Consumer Products          |

NanoProducts Corporation NOTICE OF GRANT OF SECURITY INTEREST IN PATENTS

| Application No. | Filing Date | Publication No. | Title  |
|-----------------|-------------|-----------------|--|
| 10/698,564      | 10/31/03    | 20040178530     | High Volume Manufacturing of Nanoparticles and Nano-Dispersed Particles at Low Cost                    |
| 10/698,577      | 10/31/03    |                 | Nanomaterials with Unusual Properties  |
| 10/702,484      | 11/07/03    | 20040091417     | Nanotechnology for Agriculture, Horticulture, and Pet Care   |
| 10/724,158      | 12/01/03    | 20040156986     | Color Pigments Nanotechnology  |
| 10/726,512      | 12/04/03    | 20070104629     | Nanoparticles of Rare Earth Oxides   |
| 10/755,024      | 01/09/04    | 20040139821     | Solution-Based Manufacturing of Nanomaterials  |
| 10/780,671      | 02/19/04    | 20050126338     | Zinc Comprising Nanoparticles and Related Nanotechnology   |
| 10/790,147      | 03/02/04    |                 | Copper Comprising Nanoparticles and Related Nanotechnology   |
| 10/804,091      | 06/06/03    |                 | Zirconium Comprising Nanoparticles & Related Nanotechnology  |
| 10/811,628      | 03/29/04    | 20040180203     | Nanomaterial Compositions with Distinctive Sha and Morphology  |
| 10/811,674      | 03/29/04    |                 | Shape-Controlled Nanomaterials and Methods of Manufacturing These Using Surfactants and Synthesis Aids |
| 10/854,446      | 05/26/04    | 20040218345     | Products Comprising Nano-Precision Engineered Electronic Components                                    |
| 10/898,849      | 07/26/04    | 20050084608     | Surface Engineering of Nanoparticles   |
| 10/899,595      | 07/27/04    | 20050008557     | Surface Functionalization of Nanomaterials for Improved Processing into Devices and Products           |
| 10/948,650      | 09/24/04    | 20050191492     | Titanium Comprising Nanoparticles and Related Nanotechnology   |
| 10/969,353      | 10/21/04    | 20060035087     | Adhesives & Sealants Nanotechnology  |
| 11/054,786      | 02/10/05    | 20050147747     | Polymer Nanotechnology   |
| 11/068,714      | 03/01/05    | 20060068080     | Combinatorial Discovery of Nanomaterials   |
| 11/113,320      | 04/25/05    | 20060248982     | Nanomaterials Manufacturing Methods and Products Thereof   |
| 11/125,316      | 05/10/05    | 20050271566     | Tungsten Comprising Nanomaterials and Related Nanotechnology   |
| 11/146,083      | 06/07/05    | 20060079410     | Molybdenum Comprising Nanomaterials and Related Nanotechnology   |
| 11/157,164      | 06/21/05    | 20060016371     | Manufacturing Methods for Nanomaterial Dispersions and Products Thereof                                |
| 11/491,484      | 7/24/06     |                 | Nanostructured Powders and Related<br>Nanotechnology   |
| 11/641,048      | 12/18/06    |                 | Shape Engineering of Nanoparticles   |
| 11/702,664      | 02/06/07    |                 | Optically Clear Nanocomposites and Products Using Nanoscale Fillers                                    |
| 11/802,256      | 5/21/07     |                 | Nanoparticles of Rare Earth Oxides   |

| Application No. | Filing Date | Publication No. | Title                      |
|-----------------|-------------|-----------------|----------------------------|
| 60/857,115      | 11/07/06    |                 | 1R absorbing Nanoparticles |
| 60/907,050      | 03/19/07    |                 | Infrared Absorber          |

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**RECORDED: 06/27/2007**