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06/13/2007

06-25-2007



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Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**  
Ismail C. Bagci, Wilson Lee Currier, James O. Stuart, Donna A. Butler, Sairam M. Thota, and Karen Ramsey-Idem  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**  
Name: Cummins Filtration IP, Inc.  
Internal Address: \_\_\_\_\_  
Street Address: 1400 73rd Avenue NE  
City: Minneapolis  
State: Minnesota  
Country: U.S.A. Zip: 55432  
Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) June 9, 2007  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

**4. Application or patent number(s):**  This document is being filed together with a new application.  
A. Patent Application No.(s) \_\_\_\_\_  
B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: Arthur J. Usher, IV  
Internal Address: Krieg DeVault LLP  
Street Address: One Indiana Square, Suite 2800  
City: Indianapolis  
State: Indiana Zip: 46204  
Phone Number: (317) 238-5896  
Fax Number: (317) 636-1507  
Email Address: ipdocketing@kdlegal.com

**6. Total number of applications and patents involved:** 1  
**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40.00  
 Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**  
a. Credit Card Last 4 Numbers 1138  
Expiration Date 04/11  
b. Deposit Account Number \_\_\_\_\_  
Authorized User Name \_\_\_\_\_

**9. Signature:** Arthur J. Usher IV Signature Date June 13, 2007

Arthur J. Usher, IV  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## ASSIGNMENT

WHEREAS, Ismail C. Bagci of 600 Enclave Pt., Cookeville, TN 38506, Wilson Lee Currier of 495 Lone Oak Drive, Cookeville, TN 38501, James O. Stuart of 3911 Plantation Drive, Cookeville, TN 38506, Donna A. Butler of 299 Willow Brook Drive, Cookeville, TN 38501, Sairam M. Thota of 1105 East 10<sup>th</sup> Street, Apt. A-4, Cookeville, TN 38504, and Karen Ramsey-Idem of 5490 Mill Creek Road, Monterey, TN 38574, hereinafter referred to as Assignors, have made one or more new and useful inventions in a **SELF-ADJUSTING MINIMUM LOAD FILTER CARTRIDGE AND BYPASS VALVE** for which Assignors have made or will make application for Letters Patent of the United States (hereinafter the "Application"); and

WHEREAS, Cummins Filtration IP, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware, having a principal place of business at 1400 73<sup>rd</sup> Avenue NE, Minneapolis, Minnesota 55432, desires to acquire all of the entire right, title and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and in, to and under the Application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefore and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the

Application entitled **SELF-ADJUSTING MINIMUM LOAD FILTER CARTRIDGE AND BYPASS VALVE**, and any and all other applications thereon and arising therefrom, including any and all applications claiming the benefit thereof, and any and all divisions, continuations, and continuations-in-part thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors acknowledge that the Application was executed concurrently herewith unless a different execution date is indicated in item (a) below. Assignors hereby authorize Assignee, its counsel, or its agents to fill-in some or all of the following list of information items (a) through (c) at the discretion of the Assignee, its counsel, or its agents:

- (a) Execution Date of the Application: 9 JUNE 2007
- (b) Patent Office Number of the Application: \_\_\_\_\_
- (c) Filing Date of the Application: \_\_\_\_\_

Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner for Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hand this 9 day of June, 2007.



Ismail C. Bagci  
Ismail C. Bagci

STATE OF Tennessee )  
COUNTY OF Putnam ) : SS

Before me, a Notary Public, in and for said County and State, personally appeared Ismail C. Bagci, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

Jane Ann Bright  
Notary Public  
Printed: Jane Ann Bright  
Resident of Putnam County

My Commission Expires:  
2/24/2010

WITNESS Assignor's hand this 9 day of JUNE, 2007.



Wilson Lee Currier  
Wilson Lee Currier

STATE OF Tennessee )  
COUNTY OF Putnam ) : SS

Before me, a Notary Public, in and for said County and State, personally appeared Wilson Lee Currier, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

Jane Ann Bright  
Notary Public

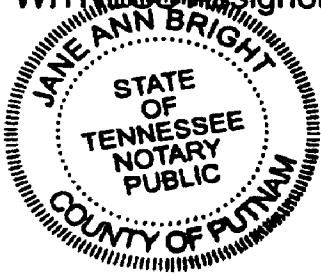
Printed: Jane Ann Bright

Resident of Putnam County

My Commission Expires:

2/24/2010

WITNESS Assignor's hand this 9 day of June, 2007.



James O. Stuart  
James O. Stuart

STATE OF Tennessee )  
COUNTY OF Putnam ) : SS

Before me, a Notary Public, in and for said County and State, personally appeared James O. Stuart, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

Jane Ann Bright  
Notary Public

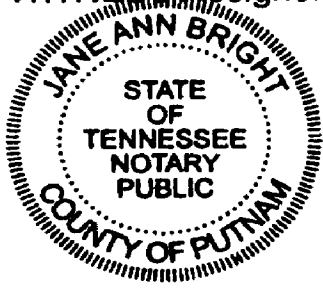
Printed: Jane Ann Bright

Resident of Putnam County

My Commission Expires:

2/24/2010

WITNESS Assignor's hand this 9 day of June, 2007.



Donna A. Butler  
Donna A. Butler

STATE OF Tennessee )  
 ) : SS  
COUNTY OF Putnam )

Before me, a Notary Public, in and for said County and State, personally appeared Donna A. Butler, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

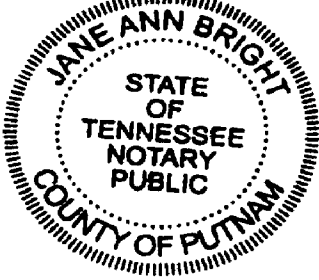
Jane Ann Bright  
Notary Public

Printed: Jane Ann Bright

Resident of Putnam County

My Commission Expires:  
2/24/2010

WITNESS Assignor's hand this 9<sup>th</sup> day of JUNE, 2007.



Sairam M. Thota

Sairam M. Thota

STATE OF Tennessee )  
 ) : SS  
COUNTY OF Putnam )

Before me, a Notary Public, in and for said County and State, personally appeared Sairam M. Thota, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9<sup>th</sup> day of June, 2007.

Jane Ann Bright

Notary Public

Printed: Jane Ann Bright

Resident of Putnam County

My Commission Expires:

2/24/2010



WITNESS ~~Assignment~~ hand this 9<sup>th</sup> day of JUNE, 2007.



Karen Ramsey-Idem  
Karen Ramsey-Idem

STATE OF Tennessee )  
 ) : SS  
COUNTY OF Putnam )

Before me, a Notary Public, in and for said County and State, personally appeared Karen Ramsey-Idem, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9<sup>th</sup> day of June, 2007.

Jane Ann Bright  
Notary Public  
Printed: Jane Ann Bright  
Resident of Putnam County

My Commission Expires:  
2/24/2010

## ASSIGNMENT

WHEREAS, Ismail C. Bagci of 600 Enclave Pt., Cookeville, TN 38506, Wilson Lee Currier of 495 Lone Oak Drive, Cookeville, TN 38501, James O. Stuart of 3911 Plantation Drive, Cookeville, TN 38506, Donna A. Butler of 299 Willow Brook Drive, Cookeville, TN 38501, Sairam M. Thota of 1105 East 10<sup>th</sup> Street, Apt. A-4, Cookeville, TN 38504, and Karen Ramsey-Idem of 5490 Mill Creek Road, Monterey, TN 38574, hereinafter referred to as Assignors, have made one or more new and useful inventions in a **SELF-ADJUSTING MINIMUM LOAD FILTER CARTRIDGE AND BYPASS VALVE** for which Assignors have made or will make application for Letters Patent of the United States (hereinafter the "Application"); and

WHEREAS, Cummins Filtration IP, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware, having a principal place of business at 1400 73<sup>rd</sup> Avenue NE, Minneapolis, Minnesota 55432, desires to acquire all of the entire right, title and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and in, to and under the Application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefore and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the

Application entitled **SELF-ADJUSTING MINIMUM LOAD FILTER CARTRIDGE AND BYPASS VALVE**, and any and all other applications thereon and arising therefrom, including any and all applications claiming the benefit thereof, and any and all divisions, continuations, and continuations-in-part thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

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- (b) Patent Office Number of the Application: \_\_\_\_\_
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Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hand this 9 day of June, 2007.



Ismail C. Bagci  
Ismail C. Bagci

STATE OF Tennessee )  
COUNTY OF Putnam ) : SS

Before me, a Notary Public, in and for said County and State, personally appeared Ismail C. Bagci, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

Jane Ann Bright  
Notary Public  
Printed: Jane Ann Bright  
Resident of Putnam County

My Commission Expires:  
2/24/2010

WITNESS Assignor's hand this 9 day of JUNE, 2007.



Wilson Lee Currier  
Wilson Lee Currier

STATE OF Tennessee )  
COUNTY OF Putnam ): SS

Before me, a Notary Public, in and for said County and State, personally appeared Wilson Lee Currier, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

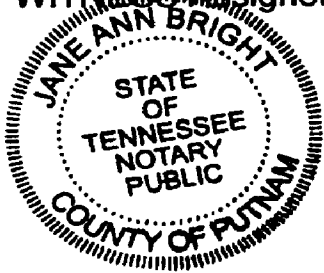
Jane Ann Bright  
Notary Public

Printed: Jane Ann Bright

Resident of Putnam County

My Commission Expires:  
2/24/2010

WITNESS Assignor's hand this 9 day of June, 2007.



James O. Stuart  
James O. Stuart

STATE OF Tennessee )  
 ) : SS  
COUNTY OF Putnam )

Before me, a Notary Public, in and for said County and State, personally appeared James O. Stuart, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

Jane Ann Bright  
Notary Public

Printed: Jane Ann Bright

Resident of Putnam County

My Commission Expires:  
2/24/2010

WITNESS Assignor's hand this 9 day of June, 2007.



Donna A. Butler  
Donna A. Butler

STATE OF Tennessee )  
 ) : SS  
COUNTY OF Putnam )

Before me, a Notary Public, in and for said County and State, personally appeared Donna A. Butler, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9th day of June, 2007.

Jane Ann Bright  
Notary Public

Printed: Jane Ann Bright

Resident of Putnam County

My Commission Expires:  
2/24/2010

WITNESS Assignor's hand this 9<sup>th</sup> day of JUNE, 2007.



Sairam M. Thota  
Sairam M. Thota

STATE OF Tennessee )  
 ) : SS  
COUNTY OF Putnam )

Before me, a Notary Public, in and for said County and State, personally appeared Sairam M. Thota, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9<sup>th</sup> day of June, 2007.

Jane Ann Bright  
Notary Public

Printed: Jane Ann Bright

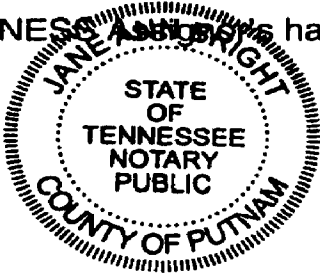
Resident of Putnam County

My Commission Expires:

2/24/2010



WITNESS my hand this 9<sup>th</sup> day of JUNE, 2007.



Karen Ramsey-Idem  
Karen Ramsey-Idem

STATE OF Tennessee )  
 ) : SS  
COUNTY OF Putnam )

Before me, a Notary Public, in and for said County and State, personally appeared Karen Ramsey-Idem, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 9<sup>th</sup> day of June, 2007.

Jane Ann Bright  
Notary Public  
Printed: Jane Ann Bright  
Resident of Putnam County

My Commission Expires:  
2/24/2010