

Form PTO-1595 (Rev. 03/05)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. Department of COMMERCE  
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

P-216-US1

PATENTS ONLY

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

- 1. YongQi Mu
- 2. Sean M. Dalziel
- 3. Yu-Hua Ji
- 4. Leticia Maria Preza

Additional name of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Theravance, Inc.

Internal Address: \_\_\_\_\_

Street Address: 901 Gateway Boulevard

City: South San Francisco

State: California

Country: USA Zip: 94080

Additional Name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

Execution Date(s) 1) 06/18/07; 2) 06/14/07; 3) 06/21/07;  
4) 06/27/07

- Assignment  Merger
- Security Agreement  Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

4. Application number(s) or patent number(s):

A. Patent Application No.(s)  
11/715,569

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Jeffrey A. Hagenah

Internal Address: \_\_\_\_\_

Street Address: 901 Gateway Boulevard

City: South San Francisco

State: CA Zip: 94080

Phone Number : (650) 808-6000

Fax Number: (650) 808-6078

Email Address: jhagenah@theravance.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-0344

Authorized User Name Shelley Eberle

9. Signature :

Shelley Eberle  
Signature

June 28, 2007

Date

Shelley Eberle, Reg.No. 31,411

Total number of pages including cover sheet, attachments, and documents 6

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 308-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

CH \$40.00 500344 11715569

## Assignment

This **Assignment**, by **YongQi Mu**, residing at 102 Lyell Street, Los Altos, CA 94022; **Sean M. Dalziel**, residing at 201 Harrison Street #921, San Francisco, CA 94105; **Yu-Hua Ji**, residing at 700 Freeport Lane, Redwood City, CA 94065; and **Leticia Maria Preza**, residing at 69 Nordhoff Street, San Francisco, CA 94131, (hereinafter referred to as "the **Assignors**"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in **Crystalline Forms of a Biphenyl Compound** set forth in an application for Letters Patent of the United States,

- which is a provisional application
  - to be filed herewith; or
  - bearing Application No. \_\_\_\_\_ and filed on \_\_\_\_\_; or
- which is a non-provisional application
  - to be filed herewith; or
  - bearing Application No. 11/715,569, and filed on March 8, 2007; and

WHEREAS, **Theravance, Inc.**, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 901 Gateway Boulevard, South San Francisco, California 94080 (hereinafter referred to as "the **Assignee**"), is desirous of acquiring the entire right, title and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and Assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owner of the entire right, title and interest in and to the inventions set forth in said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said inventions, without charge to the Assignors, its successors, legal representatives and assigns, but at the cost and expense of the Assignee, its successors, legal representatives and assigns;

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee, as the Assignee of said inventions and the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives and assigns.

Date June 18, 2007 Signature of Assignor YongQi Mu  
YongQi MU

State of California

County of San Mateo

On June 18, 2007 before me, MARIA CHUAKAY, Notary Public,  
personally appeared YongQi Mu

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies); and that by his/her/their signature(s) on the instrument the person(s); or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Maria Chuakay  
Signature of Notary Public



Date 14 JUN 2007

Signature of Assignor *Sean M. Dalziel*  
Sean M. DALZIEL

State of California

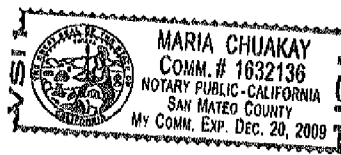
County of San Mateo

On June 14, 2007 before me, MARIA CHUAKAY, Notary Public,  
personally appeared Sean M. Dalziel

personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

*Maria Chuakay*  
Signature of Notary Public



Date 6/21/07

Signature of Assignor *Yu-Hua Ji*  
Yu-Hua Ji

State of California

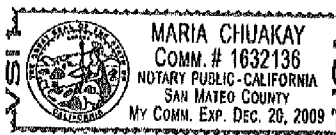
County of San Mateo

On June 21, 2007 before me, MARIA CHUAKAY, Notary Public,  
personally appeared Yu-Hua Ji

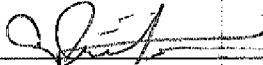
personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

*Maria Chuakay*  
Signature of Notary Public



Date 27 June 07

Signature of Assignor   
Leticia Maria PREZA


State of California

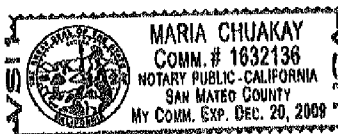
County of San Mateo

On June 27, 2007 before me, MARIA CHUAKAY, Notary Public,  
personally appeared Leticia Maria Preza

personally known to me ~~(or proved to me on the basis of satisfactory evidence)~~ to be the  
person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me  
that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by  
his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

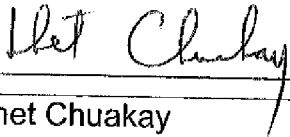
WITNESS my hand and official seal.

  
Signature of Notary Public



## Facsimile Cover Sheet

### For Transmission to the United States Patent and Trademark Office

<b>To:</b>	<b>Commissioner for Patents</b>	
	Fax. No.	(571) 273-0140
	Application Nos.	11/715,569
	Filing Dates:	March 8, 2007
	Confirmation Nos.:	6017
	Attorney Docket No.	P-216-US1
	<b>From:</b>	<b>Theravance, Inc.</b>
Attorney:		Shelley Eberle
Reg. No. :		31,411
Customer No.:		27038
Address:		901 Gateway Boulevard South San Francisco, California 94080
Telephone No.:		(650) 808-6000
Fax. No.:		(650) 808-6078
<b>Date:</b>	<b>June 28, 2007</b>	
<b>No. of Pages :</b>	<b>6 (including this page)</b>	
<b><u>Certificate of Facsimile Transmission</u></b>		
<i>I hereby certify that this correspondence is being transmitted via Facsimile Number (571) 273-0140 to the United States Patent and Trademark Office, on the date indicated above.</i>		
<b>Signature :</b>		
<b>Printed Name :</b>	Lhet Chuakay	

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