

07-09-2007



103424328

To the Director of the U.S. Patent and 1

ments or the new address(es) below.

1. Name of conveying party(ies)

Jeffrey Smith

Rex O. Bare

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 28, 2007

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies)

Name: Omnica Corporation

Internal Address: 15560-D Rockfield

Irvine, California 92618

Street Address: same as above

City: _____

State: _____

Country: _____

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/784,797

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kit M. Stetina

Internal Address: c/o Stetina Brunda Garred & Brucker

Street Address: 75 Enterprise, Suite 250

City: Aliso Viejo

State: CA

Zip: 92656

Phone Number: (949) 855-1246

Fax Number: (949) 855-6371

Email Address: patent@stetinalaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 19-4330

Authorized User Name _____

9. Signature:

Signature

Date

Kit M. Stetina

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: ☐

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

07/09/2007 BUREAU 00000006 11784797

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40.00 US

ASSIGNMENT OF APPLICATION

Docket Number (Optional):
SAFES-012A

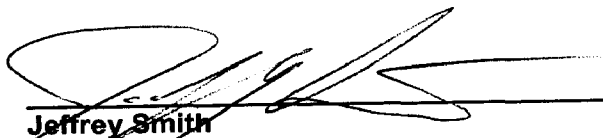
Whereas, I, **Jeffrey Smith** of **175 Giotto, Irvine, California 92614**, hereafter referred to as "Assignor", have invented certain new and useful improvements in an **VACUUM ACTUATED SMALL VOLUME SYRINGE**

☒ for which an application for a United States Patent was filed on **April 10, 2007**, and assigned application serial no. **11//784,797**; and

Whereas, **Omnica Corporation** of **Irvine, California**, herein referred to "Assignee" whose mailing address is **15560-D Rockfield Boulevard, Irvine, California 92618** is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the Assignor, by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said improvement in the United States, and by these presents do hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals, continuations, and continuations-in-part thereof, and all United States Letters patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the full right to claim for any such application the priority benefits of the International Convention for the Protection of Industrial Property and other priority-conferring treaties, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, divisions, continuations, continuations-in-part, renewals and reissues thereof; and Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument; I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said Assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 28 day of JUNE, 2007, at OMNICA CORPORATION, IRVINE, CA



Jeffrey Smith

State of California) SS:

County of Orange)

Before me personally appeared said **Jeffrey Smith** and acknowledged the foregoing instrument to be his free act and deed this _____ day of _____, 2007.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* Total of _____ forms are submitted.

Send to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

PATENT
REEL: 019533 FRAME: 0263

ASSIGNMENT OF APPLICATION

Docket Number (Optional):
SAFES-012A

Whereas, I, **Rex O. Bare** of **22467 Overlake Drive, Lake Forest, California 92630**, hereafter referred to as "Assignor", have invented certain new and useful improvements in an **VACUUM ACTUATED SMALL VOLUME SYRINGE**

☒ for which an application for a United States Patent was filed on **April 10, 2007**, and assigned application serial no. **11//784,797**; and

Whereas, **Omnica Corporation** of **Irvine, California**, herein referred to "Assignee" whose mailing address is **15560-D Rockfield Boulevard, Irvine, California 92618** is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the Assignor, by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said improvement in the United States, and by these presents do hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals, continuations, and continuations-in-part thereof, and all United States Letters patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the full right to claim for any such application the priority benefits of the International Convention for the Protection of Industrial Property and other priority-conferring treaties, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, divisions, continuations, continuations-in-part, renewals and reissues thereof; and Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument; I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said Assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 28th day of June, 2007, at Irvine, CA.


 Rex O. Bare

State of California) SS:

County of Orange)

Before me personally appeared said **Rex O. Bare** and acknowledged the foregoing instrument to be his free act and deed this _____ day of _____, 2007.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

~ Total of _____ forms are submitted.

Send to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

PATENT
REEL: 019533 FRAME: 0264

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

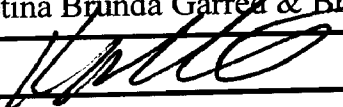
Total Number of Pages in This Submission

Application Number	11/784,797
Filing Date	April 10, 2007
First Named Inventor	Jeffrey Smith
Art Unit	3763
Examiner Name	Unknown
Attorney Docket Number	SAFES-012A

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | SEE REMARKS BELOW |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | - Fee Transmittal (in duplicate) | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | - Patents Only Cover Sheet | |
| | - Assignment | |
| | - Return postcard | |

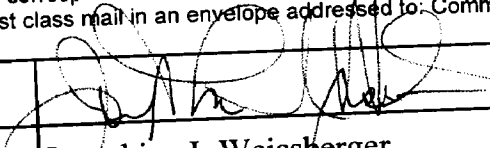
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Stetina Brunda Garred & Brucker
Signature	
Printed name	Kit M. Stetina
Date	July 2, 2007

Reg. No. 29,445

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	
Typed or printed name	Josephine I. Weissberger

Date July 2, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT
REEL: 019533 FRAME: 0265

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2006☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **40.00****Complete if Known**

Application Number	11/784,797
Filing Date	April 10, 2007
First Named Inventor	Jeffrey Smith
Examiner Name	Unknown
Art Unit	3763
Attorney Docket No.	SAFES-012A

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 19-4330 Deposit Account Name: Stetina Brunda Garred & Brucker

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

<u>Fee Description</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>		
Each claim over 20 (including Reissues)	50	25		
Each independent claim over 3 (including Reissues)	200	100		
Multiple dependent claims	360	180		
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
_____ - 20 or HP = _____ x _____ = _____			<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
HP = highest number of total claims paid for, if greater than 20.				
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	
_____ - 3 or HP = _____ x _____ = _____				
HP = highest number of independent claims paid for, if greater than 3.				

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____	0.00	0.00
- 100 = _____ / 50 = _____ (round up to a whole number) x				

Fees Paid (\$)**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Assignment

40.00**SUBMITTED BY**

Signature

Registration No.
(Attorney/Agent)

29,445

Telephone 949-855-1246

Date July 2, 2007

Name (Print/Type) Kit M. Stetina

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**RECORDED: 07/06/2007****REEL: 019533 FRAME: 0266**