

# PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Gorman GONG	06/07/2007
Nishith CHASMAWALA	06/06/2007
Alex HSIA	06/08/2007
Reynaldo A. OSORIO	06/06/2007
Christopher U. PHAN	06/06/2007
Warren SAPIDA	05/29/2007
Bryan J. AU YOUNG	06/08/2007
RECEIVING PARTY DATA	
Name:	Kyphon Inc.
Street Address:	1221 Crossman Avenue
City:	Sunnyvale
State/Country:	CALIFORNIA
Postal Code:	94089
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11730348
CORRESPONDENCE DATA	
Fax Number:	(202)842-7899
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	202-842-7800
Email:	ctipton@cooley.com
Correspondent Name:	Cooley Godward Kronish LLP
Address Line 1:	1200 19th Street, NW, 5th Floor
Address Line 2:	ATTN: Patent Group
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20036
ATTORNEY DOCKET NUMBER:	KYPH-032/02US 305363-2127

CH \$40.00 11730348

**PATENT**

**500312392**

**REEL: 019543 FRAME: 0450**

NAME OF SUBMITTER:

John R. Mills/

**Total Attachments: 9**

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**PATENT**

**REEL: 019543 FRAME: 0451**

## ASSIGNMENT

Gorman Gong, residing at 3493 Emmett Place, Santa Clara, California 95051; Bryan J. Auyoung, residing at 4424 Laird Circle, Santa Clara, California 95054; Nishith Chasmawala, residing at 841 A Rhode Island Street, San Francisco, California 94107; Alex Hsia, residing at 6815 Taglio Ct., San Jose, California 95120; Reynaldo A. Osorio, residing at 3049 Geneva Avenue, Daly City, California 94014; Christopher U. Phan, residing at 16251 Miramar Place, San Leandro, California 94578; and Warren Sapida, residing at 3579 Agate Drive, #2, Santa Clara, California 95051 (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled APPARATUS AND METHODS FOR USE OF EXPANDABLE MEMBERS IN SURGICAL APPLICATIONS and which is a:

- (1) ☐ provisional application
  - (a) ☐ to be filed herewith; or
  - (b) ☐ bearing Application No. \_\_\_, and filed on \_\_\_; or
- (2) ☒ non-provisional application
  - (a) ☐ to be filed herewith; or
  - (b) ☒ bearing Application No. 11/730,348, and filed on March 30, 2007.

**WHEREAS**, Kyphon Inc., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 1221 Crossman Avenue, Sunnyvale, California, 94089 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to these application(s); any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent(s) of the United States or other countries that may be granted therefor or thereon.

**NOW, THEREFORE**, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application for patent identified in paragraph (1) or (2);

(c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Paris Convention for the Protection of Industrial Property and under the Patent Cooperation Treaty;

(d) any application(s) for patent of the United States or other countries claiming the Invention(s);

(e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part; and

(f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the preceding paragraphs (b)-(e); and

(g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) – (e), including any reissue(s) and extension(s) of said patent(s).

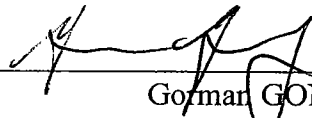
The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY GODWARD KRONISH L.L.P. to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

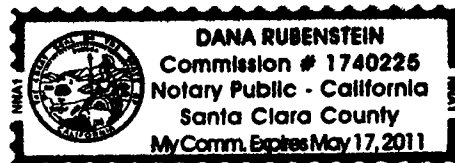
Date: 6/7/07By:   
Gorman GONGState of California )  
County of Santa Clara ) ss.

On June 7, 2007, before me, Dana Rubenstein, Notary Public, personally appeared Gorman Hong, personally known to me ~~or proved to me on the basis of satisfactory evidence~~, to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 5/17/2011

Date: <sup>BA</sup>  
<sup>8</sup>  
6/7/07

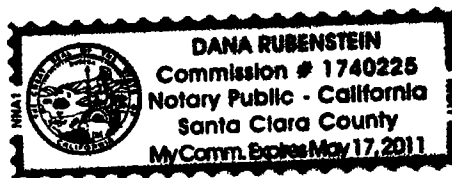
By: Bryan J. Auyoung  
Bryan J. AUYOUNG

State of California )  
County of Santa Clara ) ss.

On June 8, 2007, before me, Dana Rubenstein, Notary Public, personally appeared Bryan J. Auyoung, personally known to me ~~or proved to me on the basis of satisfactory evidence~~, to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

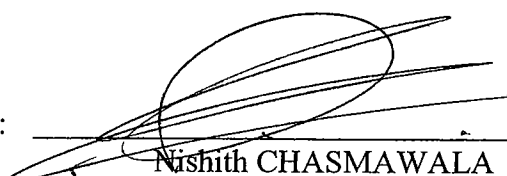
Dana Rubenstein  
Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 5/17/2011

Date: 06/06/07

By:   
Nishith CHASMAWALA

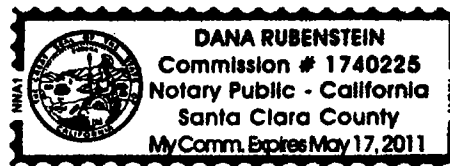
State of California )  
County of Santa Clara ) ss.

On June 6, 2007, before me, Dana Rubenstein, Notary Public, personally appeared Nishith ChasmaWala, personally known to me ~~or proved to me on the basis of satisfactory evidence~~, to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/~~her~~/their signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.



Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 5/17/2011

Date: 6/8/07

By:   
Alex HSIA

State of California )  
County of Santa Clara ) ss.

On June 8, 2007, before me, Dana Rubenstein, Notary Public, personally appeared Alex Hsia, personally known to me ~~or proved to me on the basis of satisfactory evidence~~, to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

  
Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 5/17/2011



Date: 6/6/07

By: Reynaldo A. Osorio  
Reynaldo A. OSORIO

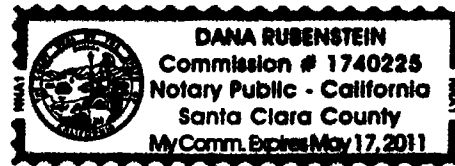
State of California )  
County of Santa Clara ) ss.

On June 6, 2007, before me, Dana Rubenstein, Notary Public, personally appeared Reynaldo A. Osorio, personally known to me ~~or proved to me on the basis of satisfactory evidence~~, to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Dana Rubenstein

Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 5/17/2011

Date: 06-06-07

By:   
Christopher U. PHAN

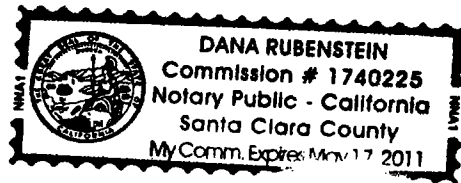
State of California )  
County of Santa Clara ) ss.

On June 6, 2007, before me, Dana Rubenstein, Notary Public, personally appeared Christopher U. Phan, personally known to me ~~or proved to me on the basis of satisfactory evidence~~, to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 5/17/2011

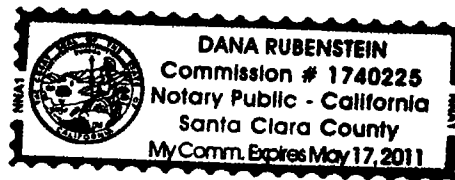
Date: 5-29-07By: Warren Sapida  
Warren SAPIDAState of California )  
County of Santa Clara ) ss.

On May 29, 2007, before me, Dana Rubenstein, Notary Public, personally appeared Warren Sapida, personally known to me ~~or proved to me on the basis of satisfactory evidence~~, to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Dana Rubenstein

Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 5/17/2011

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