

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TATSUO ITOH et al.
Serial No.: 11/737,088
Filed: APRIL 18, 2007
For: ZEROETH-ORDER RESONATOR
Group:
Examiner:
Docket No.: UC03-472-3

MAIL STOP ASSIGNMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT RECORDATION COVER LETTER
PATENT OR PATENT APPLICATION

1. NAMES OF PARTY(IES) MAKING TRANSFER

Name 1: TATSUO ITOH
Name 2: ATSUSHI SANADA
Name 3: CHRISTOPHE CALOZ

2. IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE

This transfer is being made to:

Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Address: 1111 FRANKLIN STREET, 12TH FLOOR
OAKLAND, CA 94607-5200

3. INTENTION OF DOCUMENT

A brief description of what the accompanying document intends to accomplish is that it is an:

- Assignment
- License
- Security Interest
- Other

CH \$40.00 071137 11737088

4. IDENTIFICATION OF PATENT OR APPLICATION

Particulars of the patent or application to which the accompanying document applies are:

Inventor(s): TATSUO ITOH; ATSUSHI SANADA; CHRISTOPHE CALOZ

For (title): ZEROETH-ORDER RESONATOR

- (a) U.S. patent application filed herewith.
- (b) U.S. patent application serial no. 11/737,088 filed on APRIL 18, 2007 .
- (c) U.S. patent no. _____ issued _____ .

5. NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED

Please address all correspondence and return the recorded document to:

John P. O'Banion
 O'BANION & RITCHEY LLP
 400 Capitol Mall, Suite 1550
 Sacramento, CA 95814

6. NUMBER OF APPLICATIONS OR PATENTS IDENTIFIED AND TOTAL FEE DUE

The number of applications or patents identified herein is: 1

Total Fee Due \$ 40.00

7. DATE DOCUMENT WAS EXECUTED

The accompanying document was executed on: June 23, 2007 .

8. FEE PAYMENT

Fee payment is provided for as follows:

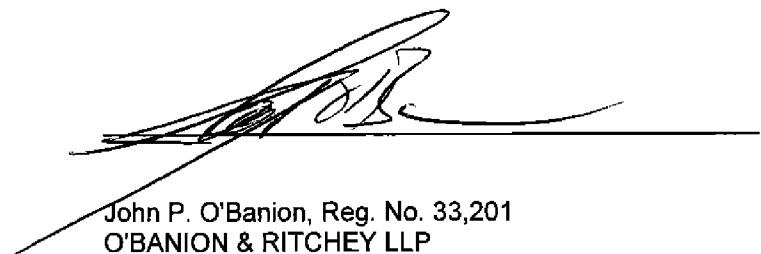
- Attached is a check in the sum of _____
 Charge Account No. _____ if any additional fee is due.
- Charge Account No. 07-1137 in the sum of \$40 .

9. **STATEMENT OF AUTHENTICITY**

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

10. **SIGNATURE**

Dated: 7/10/07



John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010

PATENT

Attorney Docket No. UC03-472-3

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Name: TATSUO ITOH
Address: 12 EASTFIELD DRIVE
ROLLING HILLS, CALIFORNIA 90274

Nationality: U.S. CITIZEN

Name: ATSUSHI SANADA
Address: 1-11-210 HIGASHI-SHINKAWA, UBE
YAMAGUCHI 755-0019, JAPAN

Nationality: JAPANESE CITIZEN

Name: CHRISTOPHE CALOZ
Address: 4750 MACMAHON
MONTREAL, QC, H4B 2B4
CANADA

Nationality: SWISS CITIZEN

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, A CALIFORNIA CORPORATION, 1111
FRANKLIN STREET, 12th Floor, OAKLAND, CA 94607-5200,

and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

ZEROETH-ORDER RESONATOR

and which is found in

- (a) U.S. provisional patent application filed herewith and listing the above named person(s) as inventor(s).
- (b) U.S. patent application filed herewith listing the above named person(s) as inventor(s).
- (c) U.S. patent application serial no. 11/737,088, filed on April 18, 2007.
- (d) U.S. Patent No.: _____, issued _____.


and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known;

ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, the Regents of the University of California;

And ASSIGNOR(S) request(s) and hereby authorize their attorney(s) of record in this application to insert the execution date, serial number and filing date of this application in this Assignment.

Date:	<u>May 29, 2007</u>	Inventor:	<u></u> TATSUO ITOH
Date:	_____	Inventor:	_____ ATSUSHI SANADA
Date:	_____	Inventor:	_____ CHRISTOPHE CALOZ

05/29/2007 08:38 IFAX LC730I@INTELLECTUAL.COM

→ SCAN AND E-MAIL 005/005

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known;

ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, the Regents of the University of California;

And ASSIGNOR(S) request(s) and hereby authorize their attorney(s) of record in this application to insert the execution date, serial number and filing date of this application in this Assignment.

Date: _____

Inventor:

TATSUO ITOH

Date: 6/1/2007

Inventor:


ATSUSHI SANADA

Date: _____

Inventor:

CHRISTOPHE CALOZ

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known;

ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, the Regents of the University of California;

And ASSIGNOR(S) request(s) and hereby authorize their attorney(s) of record in this application to insert the execution date, serial number and filing date of this application in this Assignment.

Date: _____

Inventor:

TATSUO ITOH

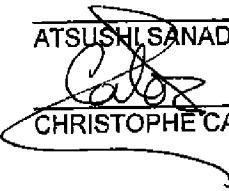
Date: _____

Inventor:

ATSUSHI SANADA

Date: June 23, 2007

Inventor:


CHRISTOPHE CALOZ