

FAX TO: ASSIGNMENT BRANCH, U.S.P.T.O.
FAX NO.: 571-273-0140

Attorney Docket No. 016285-005010US

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

OMB NO. 0691-0027 (exp. 6/30/2005)

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Yuk Ming Dennis LO

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 6/1/07; 6/6/07; 6/1/07; 6/1/07;
6/1/07; 6/14/07 and 6/1/07

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The Chinese University of Hong Kong

Internal Address: Technology and
Licensing Office

Street Address: Room 226, Pi Chiu Building

City: Shatin, N.T.

State: _____

Country: Hong Kong, SAR Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent /Application No.(s)

11/784,499

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Chuan Gao

Internal Address: _____

TOWNSEND AND TOWNSEND AND CREW LLP

Street Address: Two Embarcadero Center,
Eighth Floor

City: San Francisco

State: California Zip: 94111-3834

Phone Number: (415) 576-0200

Fax Number: (415) 576-0300

Email Address: cgao@townsend.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

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b. Deposit Account Number 20-1430

Authorized User Name Townsend and

Townsend and Crew LLP

9. Signature:



Signature

July 12, 2007

Date

Chuan Gao

Name of Person Signing

Atty. Reg. No. 54,111

Total number of pages including cover sheet, attachments, and documents: 5

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PATENT

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Form PTO-159E
Recordation Form Cover Sheet
Patents Only
Page 2

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Rossa Wai Kwun CHIU

Stephen SiuChung CHIM

Chunming DING

Shengnan JIN

Tracy Yuen Han LEE

Fiona Miu Fun LUN

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

61098899 v1

Attorney Docket No.: 016285-005010US

Client Reference No.: 05/MED/212

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, **Yuk Ming Dennis LO** of 4/F, 7 King Tak Street, Homantin, Kowloon, Hong Kong, SAR; **Rosa Wai Kwun CHIU** of Flat 1A, Block 1, Constellation Cove, 1 Hung Lam Drive, Tai Po, New Territories, Hong Kong, SAR; **Stephen Siu Chung CHIM** of Flat 2011, 20/F, Block A, Kornhill, Quarry Bay, Hong Kong, SAR; **Chunming DING** of Flat 6B, University Residence 11, The Chinese University of Hong Kong, Shatin, New Territories, Hong Kong, SAR; **Shengnan JIN** of Flat 6B, University Residence 11, The Chinese University of Hong Kong, Shatin, New Territories, Hong Kong, SAR; **Tracy Yuen Han LEE** of Flat 4G, Block 1, Phase 3, Belvedere Garden, Tsuen Wan, Hong Kong, SAR; and **Fiona Miu Fun LUN** of Rm. 1116, Yat King House, Lei King Estate, Kwai Chung, New Territories, Hong Kong, SAR, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **NOVEL MARKERS FOR PRENATAL DIAGNOSIS AND MONITORING**

Filing Date: **April 6, 2007**

Application No.: **11/784,499; and**

WHEREAS, **The Chinese University of Hong Kong**, a corporation of Hong Kong, located at Technology and Licensing Office, Room 226 Pi Chiu Building, Shatin, N.T. Hong Kong, SAR, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

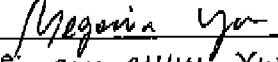
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Assignment of USSN 11/784,499
Attorney Docket No.: 016285-005010US
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Dated: 1 June 2007



Yuk Ming Dennis LO

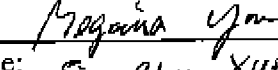
WITNESS Signature: 
WITNESS Printed Name: MOK WING YEE

WITNESS Signature: 
WITNESS Printed Name: SIU CHUN YUEN

Dated: 6 June 2007

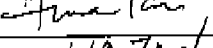

Rossa Wai Kwun CHIU

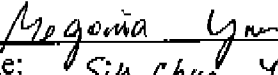
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WITNESS Printed Name: ANNA TAN

WITNESS Signature: 
WITNESS Printed Name: SIU CHUN YUEN

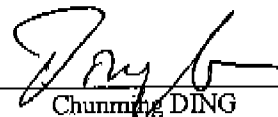
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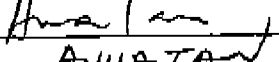

Stephen Siu Chung CHIM

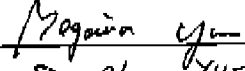
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Chunming DING

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Assignment of USSN 11/784,499
Attorney Docket No.: 016285-005010US
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Dated: June 1, 2007



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Fiona Miu Fun LUN

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WITNESS Signature: Megana Yuen
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