# Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

## **CONVEYING PARTY DATA**

| Name                    | Execution Date |  |
|-------------------------|----------------|--|
| Nancy J. HALAS          | 07/09/2007     |  |
| Don H. JOHNSON          | 06/27/2007     |  |
| Sandra Whaley BISHNOI   | 06/21/2007     |  |
| Carly S. LEVIN          | 06/20/2007     |  |
| Christopher John ROZELL | 06/26/2007     |  |
| Bruce R. JOHNSON        | 06/25/2007     |  |

#### **RECEIVING PARTY DATA**

| Name:           | William Marsh Rice University |  |
|-----------------|-------------------------------|--|
| Street Address: | 100 Main Street               |  |
| City:           | Houston                       |  |
| State/Country:  | TEXAS                         |  |
| Postal Code:    | 77005                         |  |

#### PROPERTY NUMBERS Total: 1

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 11762430 |

## **CORRESPONDENCE DATA**

Fax Number: (972)731-2289

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (972) 731-2288

Email: rcarroll@dfw.conleyrose.com

Correspondent Name: Rodney B. Carroll

Address Line 1: 5700 Granite Parkway, Suite 330

Address Line 4: Plano, TEXAS 75024

| ATTORNEY DOCKET NUMBER: | 26071 (1789-17201) |
|-------------------------|--------------------|
| NAME OF SUBMITTER:      | Rodney B. Carroll  |
|                         | PATENT             |

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Total Attachments: 7
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PATENT REEL: 019557 FRAME: 0531

### **ASSIGNMENT**

WHEREAS, we, Nancy J. Halas, Don H. Johnson, Sandra Whaley Bishnoi, Carly S. Levin, Christopher John Rozell and Bruce R. Johnson, are joint inventors of An All Optical Nanoscale Sensor, which is the subject of United States Patent Application Serial No. 11/762,430, filed on June 13, 2007, Attorney File No. 26071 (1789-17201), and

WHEREAS, William Marsh Rice University, a university doing business at 6100 Main Street, Houston, TX 77005, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to William Marsh Rice University, all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, provisional, non-provisional, regular, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by William Marsh Rice University for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, provisional, non-provisional, regular, and reissues thereof, to William Marsh Rice University, as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted therefor, to William Marsh Rice University, its successors, assigns, or other legal representatives and that if William Marsh Rice University, its successors, assigns or other legal representatives shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to William Marsh Rice University, its successors, assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when

requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

EXECUTED on the date indicated below, opposite my signature.

| ASSIGNOR  |    |
|---|----|
| Date: 7/4/17 Nancy J. Halas   |    |
| THE STATE OF TEXAS §  |    |
| COUNTY OF HARRIS §  |    |
| BEFORE ME, the undersigned authority, on this day personally appeared Nancy J. Halknown to me to be the person whose name is subscribed to the foregoing instrument, a acknowledged to me that he executed the same for the purposes and consideration therein expressed. |    |
| GIVEN UNDER MY HAND AND SEAL OF OFFICE, this the 9th day day  | of |
| CAROL L. HERNANDEZ Notary Public, State of Texas My Commission Expires May 01, 2011  Carol J. Hernande Notary Public in and for the State of T E X A S  |    |
| My Commission Expires:  |    |
|   |    |

| Date: 27, 2007   | Don H. Johnson   |
|--|--|
| THE STATE OF TEXAS   | §  |
| COUNTY OF HARRIS   | §<br>§   |
| known to me to be the person w   | ed authority, on this day personally appeared <b>Don H. Johnson</b> , whose name is subscribed to the foregoing instrument, and the same for the purposes and consideration therein expressed. |
| GIVEN UNDER MY HAND<br>June  | AND SEAL OF OFFICE, this the 27th day of day of, 2007.   |
| SEAL]  CAROL L. HERRI Notary Public, Stat My Commission May 01, 20  My Commission Expires: | ne of Texas Notary Public in and for   |
|  |  |

**ASSIGNOR** 

Au in Oo

Sandra Whaley Bishnoi

**ASSIGNOR** 

Date: 4/21/07

BEFORE ME, the undersigned authority, on this day personally appeared Sandra Whaley Bishnoi, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE, this the \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2007.

"OFFICIAL SEAL"

ISE PUBLIC VICKIE KAY TOLBERT COMMISSION EXPIRES 07/29/07

My Commission Expires:

Notary Public in and for the State of ILLINOIS

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**ASSIGNOR** 

| Date: 06/20            | 0/07  |                              | Carly S. Lev                  | y K        |               | -        |
|------------------------|---|------------------------------|-------------------------------|------------|---------------|----------|
| THE STATE OF           |   | &<br>&<br>&                  |                               |            |               |          |
| known to me to         | ME, the undersign to be the person we me that he executed | vhose name is                | s subscribed to               | the forego | oing instrume | ent, and |
| SUN.C.                 | UNDER MY HANI   | <del>2007</del> .<br>cantu 8 | OF OFFICE,                    | this the   | 20            | day of   |
| [SEAL]                 | Notary Pu<br>STATE OF My Comm. Exp.                       | TEXAS 8                      | Notary Public<br>the State of |            | t             |          |
| My Commission 1 4-13-1 | Expires:  |                              | ino State Of                  | 12/11/10   |               |          |

| ASSIGNOR   |
|--|
| Date: 6/26/07 Christopher John Rozell  |
| THE STATE OF TEXAS §   |
| COUNTY OF HARRIS §   |
| BEFORE ME, the undersigned authority, on this day personally appeared Christopher Joh Rozell, known to me to be the person whose name is subscribed to the foregoing instrument, an acknowledged to me that he executed the same for the purposes and consideration therein expressed. |
| GIVEN UNDER MY HAND AND SEAL OF OFFICE, this the 26 th day of the 2007.  |
| [S E A L]  CAROL L. HERNANDEZ Notary Public, State of Texas My Commission Expires May 01, 2011  Notary Public in and for the State of T E X A S  |
| My Commission Expires:   |
|  |

| Date: 6/25/07 Bruce R. Johnson Bruce R. Johnson   |
|---|
| THE STATE OF TEXAS §  |
| COUNTY OF HARRIS §  |
| BEFORE ME, the undersigned authority, on this day personally appeared <b>Bruce R. Johnson</b> known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed. |
| GIVEN UNDER MY HAND AND SEAL OF OFFICE, this the 25th day o   |
| [SEAL]  CAROL L. HERNANDEZ Notary Public, State of Texas My Commission Expires May 01, 2011  My Commission Expires:  My Commission Expires:   |
|   |

**ASSIGNOR** 

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**RECORDED: 07/13/2007** 

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**PATENT REEL: 019557 FRAME: 0538**