## Electronic Version v1.1

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SUBMISSION TYPE: NEW ASSIGNMENT						
NATURE OF CONVEYANCE:			ASSIGNMENT			
CONVEYING PARTY	DATA					
		N	lame	Execution Date		
Zhimin Guo				06/29/2007		
Yingnian Lu				06/04/2007		
Donald Bellgrau				06/05/2007		
Alex Franzusoff				06/04/2007		
RECEIVING PARTY D	ATA					
Name:	GlobeImmun	e, Inc.				
Street Address:	1450 Infinite					
City:	Louisville					
State/Country:	COLORADO					
Postal Code:	80027					
PROPERTY NUMBERS Total: 1						
Property Type Number   Application Number: 11684290						
CORRESPONDENCE DATA						
Fax Number:   (303)863-0223     Correspondence will be sent via US Mail when the fax attempt is unsuccessful.						
Phone: Email:	303-863 cmendo		eridanross com			
Correspondent Name:						
Address Line 1: 1560 Broadway						
Address Line 2: Suite 1200						
Address Line 4: Denver, COLORADO 80202						
ATTORNEY DOCKET NUMBER: 3923-13			3923-13			
NAME OF SUBMITTER:			Angela Dallas Sebor			
Total Attachments: 5				PATENT		
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## ASSIGNMENT

WHEREAS, we, Zhimin Guo of 294 S. Taft Court, Louisville, Colorado 80027, Yingnian Lu of 2829 Akron Court, Denver, Colorado 80238, Donald Bellgrau of 1874 Ridgecrest Way Highlands Ranch, Colorado 80129 and Alex Franzusoff of 860 Ogden Street, Denver, Colorado 80218, have invented a certain new and useful invention entitled **RAS MUTATION AND** COMPOSITIONS AND METHODS RELATED THERETO, for which an application for Letters Patent of the United States has been prepared and filed on March 9, 2007, receiving Serial No. 11/684,290, and further identified as Attorney File No. 3923-13;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, GlobeImmune, Inc., a Colorado corporation having a place of business at 1450 Infinite Drive, Louisville, CO 80027, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than GlobeImmune, Inc. and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignce hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Globelmmune, Inc., its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date:

Date: June 4, 2007

Date:	Sw	r	5,	2007	1

Date: 4 June 2007

By:	
-	Zhimin Guy
By:	MUND
-	Yingnian Lu
By:	Dome Brance
-	Donald Bellgrau
By:	Hax I was
-	Alex Franzusoff

STATE OF	) ) ss.
COUNTY OF	)

Before me, a Notary Public in and for said County and State, personally appeared **Zhimin Guo**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_,

Notary Public

My Commission Expires:\_\_\_\_\_

STATE OF COLORADO ) SS. ) COUNTY OF BOULDER )

Before me, a Notary Public in and for said County and State, personally appeared **Yingnian** Lu, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this <u>4</u><sup>th</sup> day of <u>June</u>, <u>2007</u>.

Annetto C. Verrera Notary Public

My Commission Expires: 01/23/10

ANNETTE C. HERRERA
NOTARY PUBLIC
STATE OF COLORADO
My Commission Expires 01/23/10

## STATE OF COLORADO ) SS. COUNTY OF BOULDER )

Before me, a Notary Public in and for said County and State, personally appeared **Donald Bellgrau**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and sea	l of office this _	5 <sup>46</sup> day of <u>JU</u>	<u>12</u>	<u>, 2007</u> .
My Commi	ssion Expires:	Annette Notary Pul OI/23/10	C.	Herrera
STATE OF <b>COLORADO</b> COUNTY OF <b>BOULDER</b>		C. HERRERA Y PUBLIC COLORADO n Expires 01/23/10		

Before me, a Notary Public in and for said County and State, personally appeared Alex **Franzusoff**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office th	is <u> </u>	June, i	<u>1007</u> .
	annette	C. Nerr	ira
	Notary	Public	
My Commission Expire	es: 01/23/10		
NOT	TE C. HERRERA ARY PUBLIC OF COLORADO		• • •

My Commission Expires 01/23/10

## ASSIGNMENT

WHEREAS, we, Zhimin Guo of 294 S. Taft Court, Louisville, Colorado 80027, Yingnian Lu of 2829 Akron Court, Denver, Colorado 80238, Donald Bellgrau of 1874 Ridgecrest Way Highlands Ranch, Colorado 80129 and Alex Franzusoff of 860 Ogden Street, Denver, Colorado 80218, have invented a certain new and useful invention entitled **RAS MUTATION AND COMPOSITIONS AND METHODS RELATED THERETO**, for which an application for Letters Patent of the United States has been prepared and filed on March 9, 2007, receiving Serial No. 11/684,290, and further identified as Attorney File No. 3923-13;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Globelmmune, Inc., a Colorado corporation having a place of business at 1450 Infinite Drive, Louisville, CO 80027, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Globelmmune, Inc. and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

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IN WITNESS	WHEREOF, the parties	hereto	have exe	cuted :	this A	Assignmen	t as o	f the c	late
indicated hereunder.	· •	(	7			0			
			1	17					

Date:06/29/07	By:7	Zhiles
Date:	n	Yingnian Lu
Date:		Donald Bellgrau
Date:	P	Alex Franzusoff

STATE OF	OLORADO	)
COUNTY OF	BOULDER	) ss. )

Before me, a Notary Public in and for said County and State, personally appeared Zhimin Guo, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given unde	r my hand and seal of offic	e this <u>29</u> *	day of _	June	<u>, 2007</u> .
	My Commission Exp				Herrina
STATE OF COUNTY OF	) ) ss. )	NOTA	E C. HERF ARY PUBLI OF COLOR ion Expires (	C ADO	

Before me, a Notary Public in and for said County and State, personally appeared Yingnian Lu, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_,

Notary Public

My Commission Expires: