

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Dao Min Zhou, Ph.D.  
James Singleton Little  
Robert J. Greenberg, M.D., Ph.D.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) July 13, '07; July 13, '07; July 20, '07

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Second Sight Medical Products, Inc.

Internal Address: Building 3

Street Address: 12744 San Fernando Road

City: Sylmar

State: California

Country: USA Zip: 91342

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/821,237

B. Patent No.(s)

S457-USA

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Second Sight Medical Products, Inc.

Internal Address: Building 3

Street Address: 12744 San Fernando Road

City: Sylmar

State: California Zip: 91342

Phone Number: (818) 833-5072

Fax Number: (818) 833-5080

Email Address: tlendvai@2-sight.com

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-0922

Authorized User Name Tomas Lendvai, Ph.D.

**9. Signature:**

Tomas Lendvai  
Signature

JUL 25 2007

Date

Tomas Lendvai, Ph.D.  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500922 11821237

700335229

PATENT  
REEL: 019634 FRAME: 0959

**ASSIGNMENT OF PATENT APPLICATION**

FOR GOOD AND VALUABLE CONSIDERATION,

I, the undersigned have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto Second Sight Medical Products, Inc., a corporation organized under the laws of the state of California, as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

**BIOCOMPATIBLE ELECTROPLATED INTERCONNECTION BONDING METHOD AND ELECTRONICS PACKAGE SUITABLE FOR IMPLANTATION**

described in an application for Letters Patent of the United States, identified as Attorney Docket No. S457-USA, at Second Sight Medical Products, Inc., 12744 San Fernando Road, Building 3, Sylmar, CA 91342 and filed on June 21, 2007, as Application Serial No. 11/821,237, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefore in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. I request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

I covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

Inventor's Signature

DATE

\_\_\_\_\_  
Dao Min Zhou, Ph.D.  
Inventor's Printed Name

STATE OF CALIFORNIA )

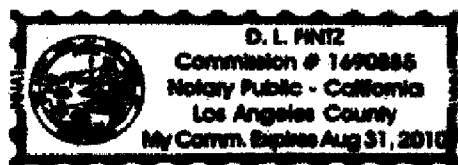
) SS

COUNTY OF LOS ANGELES )

On July 13, 2007, before me, D.L. Fintz, Notary Public, personally appeared Dao Min Zhou, Ph.D., ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person ~~(s)~~ whose name ~~(s)~~ is ~~(is)~~ subscribed to the within instrument and acknowledged to me that he ~~/she/they~~ executed the same in his ~~/her/their~~ authorized capacity ~~(ies)~~, and that by his ~~/her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public



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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

James Singleton Little  
Inventor's Signature

7.13.2007  
DATE

James Singleton Little  
Inventor's Printed Name

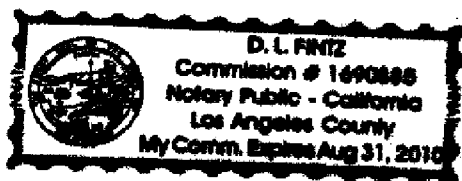
STATE OF CALIFORNIA )

) SS

COUNTY OF LOS ANGELES )

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WITNESS my hand and official seal.



[Signature]  
Signature of Notary Public

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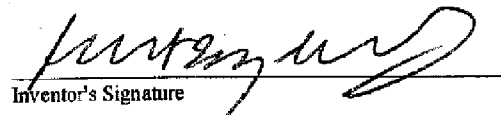
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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

  
Inventor's Signature

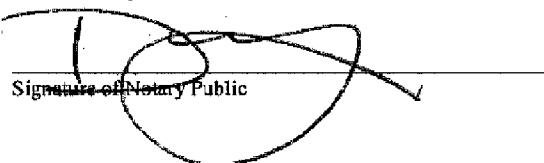
7/20/07  
DATE

Robert J. Greenberg, M.D., Ph.D.  
Inventor's Printed Name

STATE OF CALIFORNIA                    )  
  ) SS  
COUNTY OF LOS ANGELES            )

On July 20, 2007, before me, D.L. Fintz, Notary Public, personally appeared Robert J. Greenberg, M.D., Ph.D., personally known to me or proved to me on the basis of satisfactory evidence to be the person to whose name is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

  
Signature of Notary Public

