

10-5216

07-30-2007

RECORDA



103431261

To the Honorable  
Please record the attached original

:  
f.

1. Name of conveying party(ies):

1. Yoshikazu NAKAYAMA
2. Toshikazu NOSAKA
3. Osamu SUEKANE
4. Takeshi NAGASAKA
5. Toshiki GOTO
6. Hiroyuki TSUCHIYA
7. Keisuke SHIONO

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

3. Nature of conveyance:

- ☒ Assignment ☐ Merger ☐ Security  
☐ Change of Name ☐ Other:

Execution Date: 1. 11/16/2006; 2. 11/21/2006;  
3. 11/17/2006; 4. 11/23/2006; 5. 11/17/2006; 6. 11/16/2006;  
and 7. 11/20/2006; respectively.

2. Name and address of receiving party(ies)

- Name: **Japan Science and Technology Agency**  
1-8, Hon-cho 4-chome, Kawaguchi-shi, Saitama 332-0012 JAPAN
- Name: **Osaka Prefectural Government**  
1-22, Otemae 2-chome, Chuo-ku, Osaka-shi, Osaka 540-8571 JAPAN
- Name: **Taiyo Nippon Sanso Corporation**  
TOYO Bldg., 1-3-26, Koyama, Shinagawa-ku, Tokyo 142-8558 JAPAN
- Name: **Otsuka Chemical Co., Ltd.**  
2-27, Otedori 3-chome, Chuo-ku, Osaka-shi, Osaka 540-0021 JAPAN
- Name: **Nissin Electric Co., Ltd.**  
47, Umezu Takase-cho, Ukyo-ku, Kyoto-shi, Kyoto 615-8686 JAPAN
- Name: **Public University Coporation, Osaka Prefecture University**  
1-1, Gakuen-cho Naka-ku Sakai-city Osaka 599-8531 JAPAN

Additional name(s) & address(es) attached? ☐ YES ☒ NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

11/578,782

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **HARNESS, DICKEY & PIERCE, P.L.C.**  
Street Address: **P.O. BOX 8910**  
City: **RESTON** State: **VA** ZIP: **20195**  
Country: **USA**

6. Total No. of applications/patents involved: **One (1)**

7. Total fee (37 C.F.R. § 3.41): **\$40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account,  
if no fee attached.

8. Deposit account number: **08-0750**

(Attach triplicate copy of this page  
if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Donald J. Daley/34,313

Name of Person Signing/Reg. No.

Signature

July 25, 2007

Date

Total number of pages including cover sheet, attachments, and document: 15

07/27/2007 DBYRNE 00000012 115/8782

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DJD/EGH:ald

# ASSIGNMENT

WHEREAS, the undersigned, hereinafter referred to collectively as Assignor, has invented:

CARBON-BASED FINE STRUCTURE ARRAY, AGGREGATE OF CARBON-BASED FINE STRUCTURES, USE THEREOF AND METHOD FOR PREPARATION THEREOF

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- (c) ☒ filed on April 19, 2005, and assigned Serial No. \_\_\_\_\_ or PCT International Application No. PCT/JP2005/007480;
- (d) ☐ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_; and

WHEREAS, ① JAPAN SCIENCE AND TECHNOLOGY AGENCY, ② OSAKA PREFECTURAL GOVERNMENT, ③ TAIYO NIPPON SANSO CORPORATION, ④ OTSUKA CHEMICAL CO., LTD., ⑤ NISSIN ELECTRIC CO., LTD., ⑥ Public University Corporation, Osaka Prefecture University hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy whereof is hereby acknowledged, Assignor by these presents does sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefor to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made, and the right to recover for past infringements of, or liabilities for, any of the rights relating to any of said applications or patents resulting therefrom;

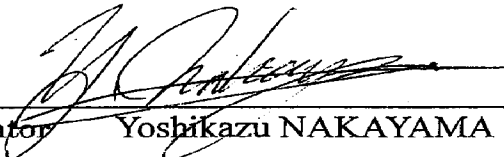
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# ASSIGNMENT

  
First Inventor Yoshikazu NAKAYAMA  
Nov. 16, 2006

Dated

Second Inventor

Dated

Third Inventor

Dated

Fourth Inventor

Dated

Fifth Inventor

Dated

Sixth Inventor

Dated

Seventh Inventor

Dated

Junko Marue

Witness

Nov. 20, 2006

Dated

Witness

Dated

Witness

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Dated

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# ASSIGNMENT

Toshikazu Nosaka  
First Inventor Toshikazu NOSAKA  
November 21, 2006  
Dated

\_\_\_\_\_  
Second Inventor  
  
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Third Inventor  
  
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Fourth Inventor  
  
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Fifth Inventor  
  
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Sixth Inventor  
  
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Seventh Inventor  
  
\_\_\_\_\_  
Dated

Yukio Hanetate  
Witness  
November 21, 2006  
Dated

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Witness  
  
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# ASSIGNMENT

*Osamu Suekane*

First Inventor Osamu SUEKANE

Nov. 17. ~~2004~~ 2006

Dated

*Shigem Igarashi*

Witness

Nov. 17. 2006

Dated

Second Inventor

Dated

Witness

Dated

Third Inventor

Dated

Witness

Dated

Fourth Inventor

Dated

Witness

Dated

Fifth Inventor

Dated

Witness

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Witness

Dated

Seventh Inventor

Dated

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# ASSIGNMENT

Takeshi NAGASAKA

First Inventor Takeshi NAGASAKA

Nov. 23, 2006

Dated

Toru Sakai

Witness

Nov. 24, 2006.

Dated

Second Inventor

Witness

Dated

Dated

Third Inventor

Witness

Dated

Dated

Fourth Inventor

Witness

Dated

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Fifth Inventor

Witness

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Witness

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Seventh Inventor

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Toshiki Goto  
First Inventor Toshiki GOTO

November 17, 2006  
Dated

Second Inventor

Dated

Third Inventor

Dated

Fourth Inventor

Dated

Fifth Inventor

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# ASSIGNMENT

*Hiroyuki Tsuchiya*

First Inventor      Hiroyuki TSUCHIYA

*November 16, 2006*

Dated

*Hisao Kawakami*

Witness

*November 20, 2006*

Dated

Second Inventor

Witness

Dated

Dated

Third Inventor

Witness

Dated

Dated

Fourth Inventor

Witness

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WHEREAS, ① JAPAN SCIENCE AND TECHNOLOGY AGENCY, ② OSAKA PREFECTURAL GOVERNMENT, ③ TAIYO NIPPON SANSO CORPORATION, ④ OTSUKA CHEMICAL CO., LTD., ⑤ NISSIN ELECTRIC CO., LTD., ⑥ Public University Corporation, Osaka Prefecture University hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy whereof is hereby acknowledged, Assignor by these presents does sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefor to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made, and the right to recover for past infringements of, or liabilities for, any of the rights relating to any of said applications or patents resulting therefrom;

Assignor hereby covenants and agrees to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent in the United States and in all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to letters patent any reissue, re-examination, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefor, and to testify in support thereof, for the benefit of Assignee without further or other compensation than that above set forth;

Assignor hereby covenants that no assignment, sale or agreement or encumbrance has been or will be entered into which would conflict with this Assignment; and

Assignor hereby requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States of America to Assignee, and requests that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to issue said Letters Patent, Utility Model Registration or Inventor's Certificate to Assignee.

The undersigned hereby grant(s) the law firm of Harness, Dickey & Pierce, P.L.C. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

# ASSIGNMENT

Keisuke SHIONO  
First Inventor Keisuke SHIONO

Nov, 20. 2006  
Dated

Second Inventor

Dated

Third Inventor

Dated

Fourth Inventor

Dated

Fifth Inventor

Dated

Sixth Inventor

Dated

Seventh Inventor

Dated

Takashi Okawa  
Witness

Nov, 20. 2006  
Dated

Witness

Dated

Witness

Dated

Witness

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