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FORM PTO-1595

07/24/2007

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RECORDATION FORM COVER



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PATENTS ONLY Docket No. 07CR251/KE

> 2. Name and address of receiving party(ies): Rockwell Collins, Inc.

1. Name of conveying party(ies): Qizhou (Matthew) Yao Allen W. Jones Don L. Landt Gary E. Lehtola Additional name(s) of conveying party(ies) attached?

X YES NO 3. Nature of convoyance: 400 Collins Road NE M/S 124-323 Cedar Rapids, IA 52498

Additional name(s) and Address(es) attached:?

YES

 \mathbf{X} NO

o. Natale of conveyance.		
	Merger	☐ Security Agreemen
☐ Change of Name	Other	
Execution Date: July 18, 2007; July	y 18, 2007; July 18, 2007; July	/ 18, 2007
4. Application number(s) or pate	nt number(s):	
Serial №	Filed: July 24, 2007	Express Mail Label Nº: EM 005738305 US
If this document is being filed together w	ith a new application, the execut	tion date of the application is: July 24, 2007.

A. Patent Application No.(s)

correspondence concerning document should be

Additional numbers attached:

YES 5. Name and address of party to whom

6. Total number of applications and patents involved: **ONE**

mailed: Customer No. 26383

Rockwell Collins, Inc. Kyle Eppele 400 Collins Road, NE M/S 124-323 Cedar Rapids, IA 52498 7. Total fee (37 CFR 3.41)

the recordation fee to deposit account 19-4882. (Attach duplicate copy of this page if paying by deposit account).

B. Patent No.(s)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any affached copy is a true copy of the original document.

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Marianne R. Timm Reg. Nº 58085

DATE: \$\frac{1}{2}\text{ul}\frac{1}{2}\text{24, 2007} Total number of pages including cover sheet: ONE (1)

PATENT

REEL: 019664 FRAME: 0602

WHEREAS, Allen W. Jones, of Cedar Rapids, County of
and State of Iowa hereinafter sometimes referred to as "said inventor", has invented certain new and useful
improvements in
COMPOSITE PLATE COMPRISING CARBON NANOTUBE BUNDLES WITH HIGH THERMAL
CONDUCTIVITY AND METHOD FOR MAKING THE SAME
which invention or inventions (hereinafter referred to as "inventions") have been disclosed to and filed, under
Docket No. 07CR251/KE, in the patent files of the inventor's employer, ROCKWELL COLLINS, INC., and
which invention is covered by a patent application, executed and filed concurrently herewith.
It is now agreed that, for good and valuable consideration, the receipt and sufficiency of which are
hereby acknowledged, the said inventor has sold, assigned and transferred and by these presents does sell,
assign and transfer unto ROCKWELL COLLINS, INC., the entire right, title and interest in and to said
invention in and to said application, for the territory of the United States of America and for all foreign
countries; said invention and application to be held and enjoyed by the said ROCKWELL COLLINS, INC.,
for its own use and for the use of its successors and assigns, to the full end of the term for which Letters
Patent on same will be granted, as fully and entirely as the same would have been held by said inventor had
this Assignment and sale not been made.
FURTHER, said inventor hereby agrees to sign all lawful papers necessary or helpful in obtaining
patents, whether U.S. or foreign, on said invention or filing any divisional, continuation, substitution, or
reissue application on said invention. Executed at Cedar Rapids, County of Linn and State of Lowa, this 18th day of July, 2007.
State of County
On this
personally appeared ALLEN JONES to me known to be the person whose name is subscribed to the

SUSAN E. CAIRY
COMMISSION NUMBER 739156
MY COMMISSION EXPIRES
FEBRUARY 15, 2009
(SORT)

foregoing instrument, and acknowledged to me that he executed the same.

PATENT

REEL: 019664 FRAME: 0603

WHEREAS, Don L. Landt, of Palo, County of, an
State of Iowa hereinafter sometimes referred to as "said inventor", has invented certain new and useful
improvements in
COMPOSITE PLATE COMPRISING CARBON NANOTUBE BUNDLES WITH HIGH THERMAL
CONDUCTIVITY AND METHOD FOR MAKING THE SAME
which invention or inventions (hereinafter referred to as "inventions") have been disclosed to and filed, under
Docket No. 07CR251/KE, in the patent files of the inventor's employer, ROCKWELL COLLINS, INC., an
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assign and transfer unto ROCKWELL COLLINS, INC., the entire right, title and interest in and to sai
invention in and to said application, for the territory of the United States of America and for all foreig
countries; said invention and application to be held and enjoyed by the said ROCKWELL COLLINS, INC
for its own use and for the use of its successors and assigns, to the full end of the term for which Letter
Patent on same will be granted, as fully and entirely as the same would have been held by said inventor ha
this Assignment and sale not been made.
FURTHER, said inventor hereby agrees to sign all lawful papers necessary or helpful in obtaining
patents, whether U.S. or foreign, on said invention or filing any divisional, continuation, substitution, or
reissue application on said invention.
Executed at Cedan Rapi County of Linu and State of
Executed at Cadar Rapi County of Linu and State of LA, this Aday of July 2007
Don & Land
DON L. LANDT State of L. LANDT
County of Line)

On this & day of ______, 20_7 before me personally appeared DON L. LANDT to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same.



Commission Number: 739/50

PATENT REEL: 019664 FRAME: 0604

WHEREAS, Gary E. Lehtola, of Alburnett, County of Linn
and State of Iowa hereinafter sometimes referred to as "said inventor", has invented certain new and usefu
improvements in
COMPOSITE PLATE COMPRISING CARBON NANOTUBE BUNDLES WITH HIGH THERMAL
CONDUCTIVITY AND METHOD FOR MAKING THE SAME
which invention or inventions (hereinafter referred to as "inventions") have been disclosed to and filed, unde
Docket No. 07CR251/KE, in the patent files of the inventor's employer, ROCKWELL COLLINS, INC., and
which invention is covered by a patent application, executed and filed concurrently herewith.
It is now agreed that, for good and valuable consideration, the receipt and sufficiency of which an
hereby acknowledged, the said inventor has sold, assigned and transferred and by these presents does sell
assign and transfer unto ROCKWELL COLLINS, INC., the entire right, title and interest in and to said
invention in and to said application, for the territory of the United States of America and for all foreign
countries; said invention and application to be held and enjoyed by the said ROCKWELL COLLINS, INC.
for its own use and for the use of its successors and assigns, to the full end of the term for which Letter
Patent on same will be granted, as fully and entirely as the same would have been held by said inventor had
this Assignment and sale not been made.
FURTHER, said inventor hereby agrees to sign all lawful papers necessary or helpful in obtaining
patents, whether U.S. or foreign, on said invention or filing any divisional, continuation, substitution, o
reissue application on said invention.
Executed at <u>Cedu-Rupids</u> County of <u>Linn</u> and State of <u>Jour</u> , this <u>18</u> day of <u>July</u> , 2007.
Foun, this 18 day of Jes 14, 2007.
State of 2009) County of 2009)
On this 8 day of, 20, before me personally appeared GARY E
LEHTOLA to me known to be the person whose name is subscribed to the foregoing instrument, and
acknowledged to me that he executed the same.
(Seal) Notary Public: Dupol Caury
SUSAN E. CAIRY COMMISSION NUMBER 739156 MY COMMISSION EXPIRES FEBRUARY 15, 2009 My Commission Expires: 2-15-09 Commission Number: 789/56

PATENT REEL: 019664 FRAME: 0605

WHEREAS, Qizhou Yao, of Coralville, County of Johnson
and State of Iowa hereinafter sometimes referred to as "said inventor", has invented certain new and useful
improvements in
COMPOSITE PLATE COMPRISING CARBON NANOTUBE BUNDLES WITH HIGH THERMAL
CONDUCTIVITY AND METHOD FOR MAKING THE SAME
which invention or inventions (hereinafter referred to as "inventions") have been disclosed to and filed, under
Docket No. 07CR251/KE, in the patent files of the inventor's employer, ROCKWELL COLLINS, INC., and
which invention is covered by a patent application, executed and filed concurrently herewith.
It is now agreed that, for good and valuable consideration, the receipt and sufficiency of which are
hereby acknowledged, the said inventor has sold, assigned and transferred and by these presents does sell,
assign and transfer unto ROCKWELL COLLINS, INC., the entire right, title and interest in and to said
invention in and to said application, for the territory of the United States of America and for all foreign
countries; said invention and application to be held and enjoyed by the said ROCKWELL COLLINS, INC.,
for its own use and for the use of its successors and assigns, to the full end of the term for which Letters
Patent on same will be granted, as fully and entirely as the same would have been held by said inventor had
this Assignment and sale not been made.
FURTHER, said inventor hereby agrees to sign all lawful papers necessary or helpful in obtaining
patents, whether U.S. or foreign, on said invention or filing any divisional, continuation, substitution, or
reissue application on said invention.
Executed at <u>Cedar Rapids</u> , County of <u>Linn</u> and State of <u>Iowa</u> , this <u>18 th</u> day of <u>July</u> , 2007
,,,
1950
QIZHOU YAO
State of Line)
County of Links)
·
On this 8 day of, 20 Defore me personally appeared QIZHOU YAO to
me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me
that he executed the same.
SUSAN E. CAIRY COMMISSION NUMBER 739156 MY COMMISSION EXPIRES FEBRUARY 15, 2009

(Seal)

RECORDED: 07/24/2007

Notary Public: Wal C C My Commission Expires: 2/5 Commission Number: 739/56

PATENT

REEL: 019664 FRAME: 0606