

# PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Gonzalo ALVA	05/15/2007
Seshadri NEERVANNAN	05/20/2007
RECEIVING PARTY DATA	
Name:	Amgen Inc.
Street Address:	One Amgen Center Drive
Internal Address:	Patent Operations, M/S 28-2-C
City:	Thousand oaks
State/Country:	CALIFORNIA
Postal Code:	91320-1799
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11627803
CORRESPONDENCE DATA	
Fax Number:	(805)499-8011
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	805/447-7966
Email:	annd@amgen.com
Correspondent Name:	Amgen Inc., Joseph W. Bulock
Address Line 1:	One Amgen Center Drive
Address Line 2:	Patent Operations, M/S 28-2-C
Address Line 4:	Thousand Oaks, CALIFORNIA 91320-1799
ATTORNEY DOCKET NUMBER:	A-1094-US-NP/JWB
NAME OF SUBMITTER:	Anne Hosier

Total Attachments: 6

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**PATENT**

**REEL: 019665 FRAME: 0341**

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source=A-1094-US-NP Assignment#page6.tif

# ASSIGNMENT

Whereas, we, Gonzalo ALVA, 11168 Terraceridge Road, Moorpark, California, 93021 US

Seshadri NEERVANNAN, 620 Quail Meadow, Irvine, CA 92603, US

have made an invention which is the subject of an application for Letters Patent of the United States ("Application")  
entitled

HYDRATE FORMS OF AMG706

which is found in:

- ☐ (a) the US Patent Application executed on even date herewith; which claims the benefit of US  
Provisional Application No. \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ (b) the US Patent Application executed on \_\_\_\_\_ [which claims the benefit  
of US Provisional Application No. \_\_\_\_\_ filed \_\_\_\_\_.]
- ☒ (c) US Application Serial No.: 11/627,803 filed on 26 Jan 2007  
which claims the benefit of U.S. Provisional Application No. 60/772,397 filed February 10, 2006.
- ☐ (d) US Patent No.: \_\_\_\_\_

Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California 91320-1799, wishes to acquire the entire interest in all inventions disclosed in such Application:

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto AMGEN INC., its successors and assigns (collectively "AMGEN") our entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, and/or continuation-in-part applications based on the above-identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by AMGEN for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

Further, we hereby sell, assign, transfer, and set over unto AMGEN our entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited by us or at our direction or deposited on our behalf by Amgen, its affiliates, employees or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, our unreserved and irrevocable consent and authorization to AMGEN to refer to the deposited microorganisms(s) or other biological materials(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

We authorize and request the Commissioner of Patents and Trademarks of the United States to issue any such Letters Patent which may be granted on the Application to AMGEN as assignee of the entire right, title and interest therein and thereto.

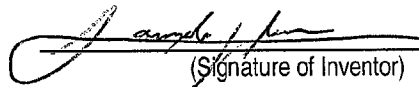
For ourselves and for our legal representatives, we covenant and agree with AMGEN that we have not granted to any others any license to make, use or sell any of such inventions, that our right title and interest in such inventions has not been encumbered, that we have good right and title to sell and assign the same, and that we will not execute any instrument in conflict herewith.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with AMGEN that upon request we and they will: (i) execute continuing, divisional or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to AMGEN any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigations; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for AMGEN, may be necessary or desirable to secure the grant of Letters Patent to AMGEN or its nominees, in the United States and in all other countries where AMGEN may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for AMGEN and to vest and confirm in AMGEN or its nominees, the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid but at the expense of AMGEN.

In Witness Whereof I have executed this assignment on the 15 day of MAY 20 07

Gonzalo ALVA

(Name of Inventor)

  
(Signature of Inventor)

# UNITED STATES OF AMERICA

State of California }  
County of Ventura } ss:

May 15 2007

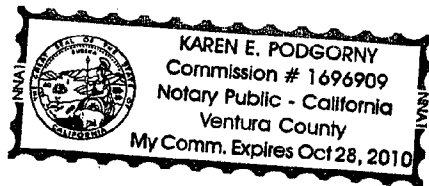
Before me, a Notary Public for Ventura County, State of California, personally appeared

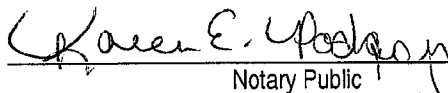
Gonzalo ALVA

☒ personally known to me - OR - ☐

proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



  
Notary Public

My commission expires

October 28 2010

In Witness Whereof I have executed this assignment on the \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Seshadri NEERVANNAN

(Name of Inventor)

(Signature of Inventor)

**UNITED STATES OF AMERICA**

State of

County of

}

ss:

20\_\_\_\_

Before me, a Notary Public for \_\_\_\_\_ County, State of \_\_\_\_\_, personally appeared

Seshadri NEERVANNAN

☐ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

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Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California 91320-1799, wishes to acquire the entire interest in all inventions disclosed in such Application:

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We authorize and request the Commissioner of Patents and Trademarks of the United States to issue any such Letters Patent which may be granted on the Application to AMGEN as assignee of the entire right, title and interest therein and thereto.

For ourselves and for our legal representatives, we covenant and agree with AMGEN that we have not granted to any others any license to make, use or sell any of such inventions, that our right title and interest in such inventions has not been encumbered, that we have good right and title to sell and assign the same, and that we will not execute any instrument in conflict herewith.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with AMGEN that upon request we and they will: (i) execute continuing, divisional or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to AMGEN any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigations; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for AMGEN, may be necessary or desirable to secure the grant of Letters Patent to AMGEN or its nominees, in the United States and in all other countries where AMGEN may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for AMGEN and to vest and confirm in AMGEN or its nominees, the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid but at the expense of AMGEN.

In Witness Whereof I have executed this assignment on the \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Gonzalo ALVA

(Name of Inventor)

(Signature of Inventor)

# UNITED STATES OF AMERICA

State of California

County of Ventura

ss:

20\_\_\_\_

Before me, a Notary Public for Ventura County, State of California, personally appeared

Gonzalo ALVA

☐ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

My commission expires

20\_\_\_\_

In Witness Whereof I have executed this assignment on the 20 day of May 2007

Seshadri NEERVANNAN  
(Name of Inventor)

*Seshadri*  
(Signature of Inventor)

UNITED STATES OF AMERICA

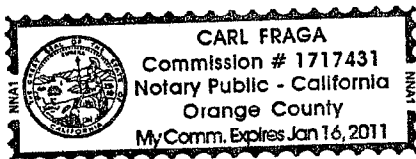
State of California  
County of Orange ss:

May 20<sup>th</sup>, 2007

Before me, a Notary Public for Orange County, State of California, personally appeared  
Seshadri NEERVANNAN

- ☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



*Carl Fraga*  
Notary Public

My commission expires

January 16<sup>th</sup> 2011