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APR 8 Rec'd PCT/PTO 01 AUG 2007



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FORM COVER SHEET  
ENTS ONLYU.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office  
Docket No. 740709-576

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying parties:

- (1) Kimio NAKAYAMA  
(2) Tatsuya SHOJI  
(3) Yukihiro ASANO  
(4) Shigeru YAO

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

## Execution Date(s):

- 1<sup>st</sup> Inventor: May 25, 2007  
2<sup>nd</sup> Inventor: May 25, 2007  
3<sup>rd</sup> Inventor: May 25, 2007  
4<sup>th</sup> Inventor: May 28, 2007

## 2. Name and address of receiving party(ies)

Name: Ube Industries, Ltd.Street Address: 1978-96, O-Aza Kogushi,City: Ube-shi State: Yamaguchi Zip: \_\_\_\_\_Country: Japan Postal Code: 755-8633Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the filing date of the application is: August 1, 2007

A. Patent Application No.(s)

B. Patent No.(s)

08/03/2007 MKAYPAGH 00000084 192380 11883503

04 FC:8021

(40.00 DA)

Additional numbers attached? ☐ Yes ☐ No

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## 5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Jeffrey L. CostelliaFirm Name: Nixon Peabody LLPInternal Address: Suite 900Street Address: 401 9<sup>th</sup> Street, N.W.City: Washington State: D.C. Zip: 20004-21286. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

19-2380 (740709-576)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Tim L. Brackett, Jr.

Name of Person Signing

Signature

August 1, 2007

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450

For good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, as a below named inventor, I hereby sell and assign to UBE INDUSTRIES, LTD.

of 1978-96, O-Aza Kogushi, Ube-shi, Yamaguchi, 755-8633 Japan

its successors and assigns or other legal representatives all my rights, title and interest, in and for the United States of America, in and to

PROCESS FOR PRODUCING POROUS SPHERICAL POLYAMIDE PARTICLE

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefore, \_\_\_\_\_ executed on even date herewith and all United States Letters Patent which may be granted therefor, and all divisions, continuations, reissues, reexaminations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said \_\_\_\_\_, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said UBE INDUSTRIES, LTD.

- |  | (Full Name)                    | (Signature)           |
|--|--------------------------------|-----------------------|
| 1. Date <u>25/5/2007</u>   | Inventor <u>Kimio NAKAYAMA</u> | <u>Kimio Nakayama</u> |
| Residence <u>Chiba, Japan</u>  | Citizenship <u>Japan</u>       |                       |
| Post Office Address <u>c/o Polymer Laboratory, Ube Industries, Ltd.,<br/>8-1, Goiminamikaigan, Ichihara-shi, Chiba, 290-0045 Japan</u> |                                |                       |
| 2. Date <u>25/5/2007</u>   | Inventor <u>Tatsuya SHOJI</u>  | <u>Tatsuya Shoji</u>  |
| Residence <u>Chiba, Japan</u>  | Citizenship <u>Japan</u>       |                       |
| Post Office Address <u>c/o Polymer Laboratory, Ube Industries, Ltd.,<br/>8-1, Goiminamikaigan, Ichihara-shi, Chiba, 290-0045 Japan</u> |                                |                       |
| 3. Date <u>25/05/2007</u>  | Inventor <u>Yukihiko ASANO</u> | <u>Yukihiko Asano</u> |
| Residence <u>Chiba, Japan</u>  | Citizenship <u>Japan</u>       |                       |
| Post Office Address <u>c/o Polymer Laboratory, Ube Industries, Ltd.,<br/>8-1, Goiminamikaigan, Ichihara-shi, Chiba, 290-0045 Japan</u> |                                |                       |
| 4. Date <u>28/05/2007</u>  | Inventor <u>Shigeru YAO</u>    | <u>Shigeru Yao</u>    |
| Residence <u>Chiba, Japan</u>  | Citizenship <u>Japan</u>       |                       |
| Post Office Address <u>c/o Polymer Laboratory, Ube Industries, Ltd.,<br/>8-1, Goiminamikaigan, Ichihara-shi, Chiba, 290-0045 Japan</u> |                                |                       |
| 5. Date _____  | Inventor _____                 |                       |
| Residence _____  | Citizenship _____              |                       |
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Firm Name: Nixon Peabody LLP

Internal Address: Suite 900

Street Address: 401 9<sup>th</sup> Street, N.W.

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