

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Robert Jay Greenberg, M.D., Ph.D.
Kelly Hobart McClure
James Singleton Little
Rongqing Dai

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 8/25/07; 6/20/07; 6/29/07; 7/12/07.

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Second Sight Medical Products, Inc.

Internal Address: Building 3

Street Address: 12744 San Fernando Road

City: Sylmar

State: California

Country: USA Zip: 91342

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.
A. Patent Application No.(s)
11/796,433
B. Patent No.(s)

S398-CIP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Second Sight Medical Products, Inc.

Internal Address: Building 3

Street Address: 12744 San Fernando Road

City: Sylmar

State: California Zip: 91342

Phone Number: (818) 833-5072

Fax Number: (818) 833-5080

Email Address: tlendvai@2-sight.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-0922

Authorized User Name Tomas Lendvai, Ph.D.

9. Signature:

Tomas Lendvai

Signature

AUG 13 2007

Date

Tomas Lendvai, Ph.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

14

Documents to be recorded (Including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500922 11796433

700337779

PATENT
REEL: 019688 FRAME: 0145

UNITED STATES PATENT AND TRADEMARK OFFICE
CONTINUATION OF PTO-1595 RECORDATION COVER SHEET

Docket No.: S398-CIP

Date: _____

1. Additional name(s) of conveying party(ies) / Execution Date(s):

Arup Roy; Richard Agustin Castro; John Reinhold;

Kea-Tiong Tang; Sumit Yadav; Chunhong Zhou, Ph.D.;

Dao Min Zhou, Ph.D.; Pishoy Maksy

Execution Date(s): 6/19/07; 6/22/07; 6/25/07; 7/31/07; 6/26/07; 6/26/07; 6/19/07; 6/22/07

2. Additional name(s) and address(es) of receiving party(ies):

4. Additional application(s) or patent number(s):

A. Patent Application No.(s)

B. Patent No.(s)

Signature of Attorney/Agent

Date

Tomas Lendvai, Ph.D.

Name of person signing

Page 1 of 1

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

I, the undersigned have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto Second Sight Medical Products, Inc., a corporation organized under the laws of the state of California, as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

METHOD AND APPARATUS TO PROVIDE SAFETY CHECKS FOR NEURAL STIMULATION

described in an application for Letters Patent of the United States, identified as Attorney Docket No. S398-CIP, at Second Sight Medical Products, Inc., 12744 San Fernando Road, Building 3, Sylmar, CA 91342 and filed on April 27, 2007, as Application Serial No. 11/796,433, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefore in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. I request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

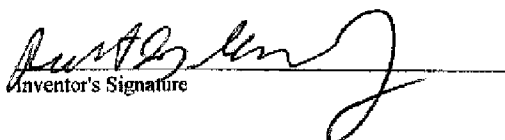
I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.


Inventor's Signature

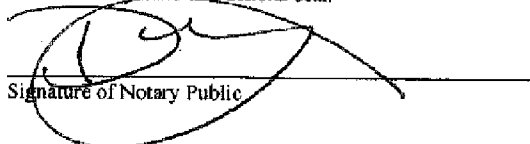
6/25/07
DATE

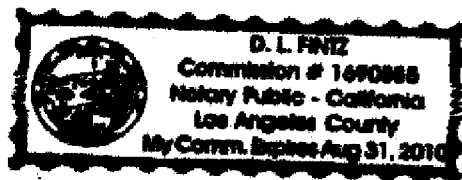
Robert Jay Greenberg, M.D., Ph.D.
Inventor's Printed Name

STATE OF CALIFORNIA)
) SS
COUNTY OF LOS ANGELES)

On June 25, 2007, before me, D.L. Fintz, Notary Public, personally appeared Robert Jay Greenberg, M.D., Ph.D., personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.


Signature of Notary Public



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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

Kelly H McClure
Inventor's Signature

6/20/07
DATE

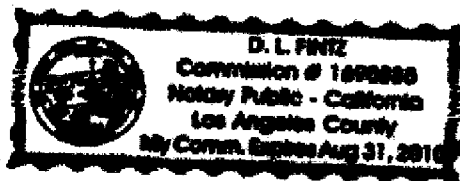
Kelly Hobart McClure
Inventor's Printed Name

STATE OF CALIFORNIA)
) SS
COUNTY OF LOS ANGELES)

On June 20, 2007, before me, D.L. Fintz, Notary Public, personally appeared Kelly Hobart McClure, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person (X) whose name (X) is ~~is~~ subscribed to the within instrument and acknowledged to me that he ~~she~~ he executed the same in his ~~her~~ his authorized capacity (SS), and that by his ~~her~~ his signature (X) on the instrument the person (X), or the entity upon behalf of which the person (X) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]
Signature of Notary Public



ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

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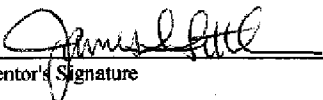
I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.


Inventor's Signature

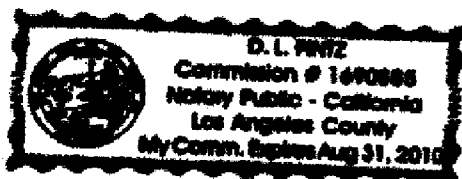
6-29-2007
DATE

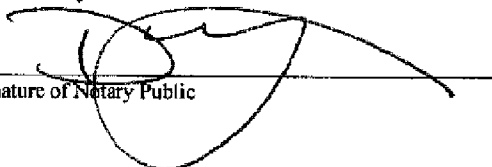
James Singleton Little
Inventor's Printed Name

STATE OF CALIFORNIA)
) SS
COUNTY OF LOS ANGELES)

On June 29, 2007, before me, D.L. Fintz, Notary Public, personally appeared James Singleton Little, personally known to me or proved to me on the basis of satisfactory evidence to be the person(~~s~~) whose name(~~s~~) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.




Signature of Notary Public

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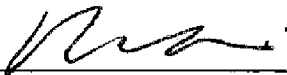
I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.



Inventor's Signature

7-12-2007

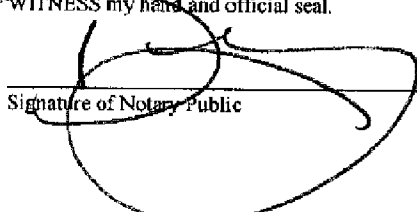
DATE

Rongqing Dai
Inventor's Printed Name

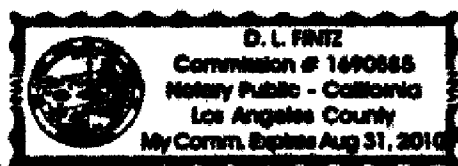
STATE OF CALIFORNIA)
) SS
COUNTY OF LOS ANGELES)

On July 12, 2007, before me, D.L. Fintz, Notary Public, personally appeared Rongqing Dai, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person ~~(s)~~ whose name ~~(s)~~ is ~~are~~ subscribed to the within instrument and acknowledged to me that he ~~she they~~ executed the same in his ~~her their~~ authorized capacity ~~(ies)~~, and that by his ~~her their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.



Signature of Notary Public



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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

Inventor's Signature

DATE

Arup Roy

Inventor's Printed Name

STATE OF CALIFORNIA

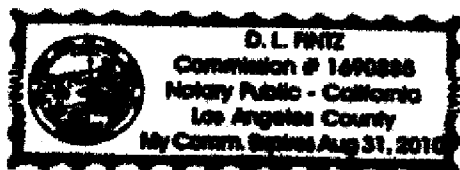
COUNTY OF LOS ANGELES

)
) SS

On June 19, 2007, before me, D.L. Fintz, Notary Public, personally appeared Arup Roy, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public



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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

Inventor's Signature

DATE

6/22/07

Richard Agustin Castro
Inventor's Printed Name

STATE OF CALIFORNIA)

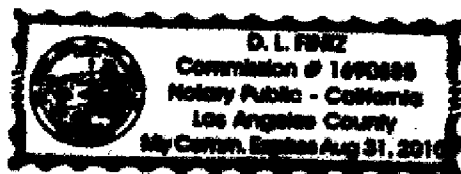
) SS

COUNTY OF LOS ANGELES)

On June 22, 2007, before me, D.L. Fintz, Notary Public, personally appeared Richard Agustin Castro, personally known to me or proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is/~~is~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public



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Inventor's Signature

June 25, 2007

DATE

John Reinhold
Inventor's Printed Name

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

I, the undersigned have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto Second Sight Medical Products, Inc., a corporation organized under the laws of the state of California, as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

METHOD AND APPARATUS TO PROVIDE SAFETY CHECKS FOR NEURAL STIMULATION

described in an application for Letters Patent of the United States, identified as Attorney Docket No. S398-CIP, at Second Sight Medical Products, Inc., 12744 San Fernando Road, Building 3, Sylmar, CA 91342 and filed on April 27, 2007, as Application Serial No. 11/796,433, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefore in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. I request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.


I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

I covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.



Inventor's Signature

7/31/2007 K.T.

DATE

Kea-Tiong Tang

Inventor's Printed Name

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Inventor's Signature

6/26/2007

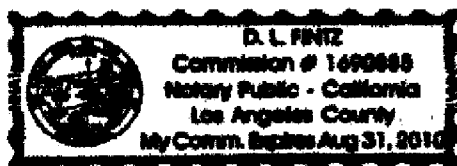
DATE

Sumit Yadav
Inventor's Printed Name

STATE OF CALIFORNIA)
) SS
COUNTY OF LOS ANGELES)

On June 26, 2007, before me, D.L. Fintz, Notary Public, personally appeared Sumit Yadav, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person ~~(s)~~ whose name ~~(s)~~ is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity ~~(i)~~ ~~(s)~~, and that by his/~~her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.





Signature of Notary Public

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Inventor's Signature

DATE

6/26/2007

Chunhong Zhou, Ph.D.
Inventor's Printed Name

STATE OF CALIFORNIA)

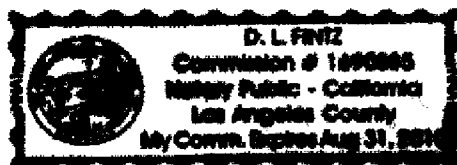
) SS

COUNTY OF LOS ANGELES)

On June 26, 2007, before me, D.L. Fintz, Notary Public, personally appeared Chunhong Zhou, Ph.D., ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public



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Inventor's Signature

DATE

Dao Min Zhou, Ph.D.

Inventor's Printed Name

STATE OF CALIFORNIA)

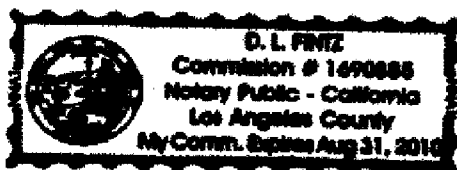
) SS

COUNTY OF LOS ANGELES)

On June 19, 2007, before me, D.L. Fintz, Notary Public, personally appeared Dao Min Zhou, Ph.D., personally known to me or proved to me on the basis of satisfactory evidence to be the person ~~whose name is~~ subscribed to the within instrument and acknowledged to me that he ~~she~~ executed the same in his ~~her~~ authorized capacity ~~(ies)~~, and that by his ~~her~~ signature ~~on~~ the instrument the person ~~or~~, or the entity upon behalf of which the person ~~acted~~, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public



ASSIGNMENT OF PATENT APPLICATION

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IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

Inventor's Signature

DATE

Pishov Maksy

Inventor's Printed Name

STATE OF CALIFORNIA

)

) SS

COUNTY OF LOS ANGELES

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On June 26, 2007, before me, D.L. Fintz, Notary Public, personally appeared Pishov Maksy, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person ~~(s)~~ whose name ~~(s)~~ is ~~is/are~~ subscribed to the within instrument and acknowledged to me that he ~~she/they~~ executed the same in his ~~her/their~~ authorized capacity ~~(ies)~~, and that by his ~~her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

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Signature of Notary Public

