

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

Docket No. 66307-360-7
U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

University of Surrey

2. Name and address of receiving party(ies)

Name: Surrey Aquatechnology Limited

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 6, 2007

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other

Street Address: Senate House,

University of Surrey

City: Guildford

State: Surrey

Country: United Kingdom Zip: GU2 7XH

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/628,980 filed 3/6/2007 (066307-393-7)

10/566,389 filed 5/26/2006 (066307-360-7)

10/568,082 filed 2/13/2006 (066307-361-7)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard H. Tushin

Internal Address: Dykema Gossett PLLC

Franklin Square, Third Floor West

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City: Washington

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Fax Number: 202-906-8669

Email Address: ip@mail@dykema.com

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 120.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 04-2223

Authorized User Name Richard H. Tushin

9. Signature:



Signature

August 14, 2007

Date

Richard H. Tushin, Reg. No. 27,297
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$120.00 042223 11628980

PATENT ASSIGNMENT

THIS CONFIRMATION is made this *6th* day of *July* 2007

BETWEEN

UNIVERSITY OF SURREY
OF GUILDFORD, SURREY, GU2 7XH, UNITED KINGDOM
("the Assignor" which expression shall include its successors and assigns)

AND

SURREY AQUATECHNOLOGY LIMITED
OF SENATE HOUSE, UNIVERSITY OF SURREY, GUILDFORD, SURREY, GU2 7XH, UNITED
KINGDOM
("the Assignee" which expression shall include its successors and assigns)

RECITALS :

- (A) The Assignor is the proprietor of the patents and patent applications, details of which are set out in the Schedule hereto and form part of this assignment ("the Patents") in respect of the inventions disclosed in the Patents ("the Inventions"); and
- (B) The Assignor did on 30th November 2006, assign all rights, title, interest and property in the Patents and Inventions, together with all ancillary rights relating thereto to the Assignee and wishes to confirm that assignment and to assign any of the same which may not previously have been assigned upon the following terms; .

OPERATIVE PROVISIONS :

1. In consideration of the above the Assignor hereby confirms in the Assignee:
- i) all rights, title, interest and property in the Patents and all rights, title, interest and property in the Inventions in the United Kingdom and all other countries and territories, free from all licenses charges and other encumbrances, with full title guarantee;
 - ii) the full and exclusive benefit of the Patents and the full and exclusive benefit of the Inventions, including all forms of protection and all rights, privileges and advantages appertaining thereto, together with the right to obtain any extensions and supplementary protection certificates; and
 - iii) the right to recover and to bring proceedings to recover damages and/or to obtain other remedies in respect of infringement of the Patents and other forms of protection relating to the Inventions in the United Kingdom and all other countries and territories whether committed before or after the date of this Assignment;



and insofar as any of the above have not already been assigned by the Assignor to the Assignee then the Assignor hereby assigns such for the consideration stated above TO HOLD the same unto the Assignee absolutely.

2. At the request and cost of the Assignee, the Assignor will execute and sign all such instruments, applications, deeds, documents and do all such acts and things as may be reasonably required by the Assignee to enable the Assignee or its nominee to: (a) enjoy the full and exclusive benefit of the Inventions and the Patents and of the property rights hereby assigned; (b) fully and effectively vest the same in the Assignee; and (c) formally register the Assignee's title in the same at any relevant Patent Office.

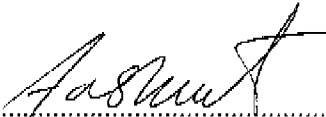
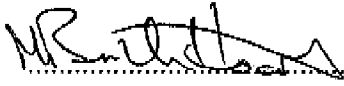
- 3. This Agreement shall be binding upon and shall inure to the benefit of the parties hereto, their respective successors and assigns as well as any subsidiary companies of the parties hereto.
- 4. The parties will co-operate and consult with one another in good faith, if necessary in the future to carry out the intent of this agreement.
- 5. This Agreement shall be governed by and construed in accordance with the laws of England & Wales and the parties for this purpose hereby submit to the exclusive jurisdiction of the Courts of England & Wales.

IN WITNESS whereof the parties have executed this document on the date first above written.

SIGNED, for and on behalf of
UNIVERSITY OF SURREY

) (Signatory) 
) Name: **Keith Robson**
) Title: **Director**
) **Research and Enterprise**
) **Support**
) (Witness) 
) Name: **RUSSELL NICHOLLS**

SIGNED, for and on behalf of
SURREY AQUATECHNOLOGY LIMITED

) (Signatory) 
) Name: **Adel Sharif** Title: **Director**
) (Witness) 
) Name: **M. BURTON-HEARE**

269203; REBC; ELL