08-09-2007

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U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

103435348

₹ SHEET

Docket No: 07-146D PAIENIS UNLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies) Gary C. Bryant Kolin J. Kirschenmann John J. Maas Robert W. Briggs Caterpillar Inc. 100 N.E. Adams Street Andrew M. Rummer Peoria IL 61629-6490 Larry T. Ryterski **USA** Additional name(s) of conveying party(ies) attached? [__] Yes [X] No 3. Nature of conveyance/Execution Date(s): Execution Date(s) 07/23/2007 07/24/2007 04/10/2007 04/15/2007 X Assignment Meraer 04/10/2007 08/01/2007 Security Agreement Change of Name Joint Research Agreement Government Interest Assignment Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? Yes X No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) 29/285,498 08/08/2007 DBYRNE ___ 00000143 031129 Additional numbers attached? Yes X No 01 FC: Ab21 40.00 DA 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: Michael D. Braunstein Name: 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00 Internal Address: Caterpillar Inc. Authorized to be charged by credit card Intellectual Property Dept, AB6490 X Authorized to be charged to deposit account Street Address: 100 N. E. Adams Street **Enclosed** None required (government interest not affecting title) 8. Payment Information City: ____ Peoria a. Credit Card Last 4 Numbers Zip: 61629-6490 State:__ Expiration Date _ Phone Number: (309) 494-5979 b. Deposit Account Number 03-1129 (309) 675-1236 Fax Number: Authorized User Name Michael D. Braunstein Email Address: 9. Signature: August 6, 2007 Signature Date Michael D. Braunstein Total number of pages including cover 10 sheet, attachments, and documents: Name of Person Signing

> Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

> > PATENT

REEL: 019694 FRAME: 0672

WHEREAS, GARY C. BRYANT, residing at Chillicothe, Illinois, hereinafter referred to as ASSIGNOR has invented together with John J. Maas, Andrew M. Rummer, Larry T. Ryterski, Kolin J. Kirschenmann and Robert W. Briggs a new and useful

PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on _______; and WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc..
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Cam & Bugans 07/23/07

GARY C. BRYANT		Date
IN WITNESS OF the exec	eution hereof by GA	RY C. BRYANT we have hereunto set our hands.
	7.23.207	7/27/07
Witness (1)	Date	Witness (2) Date
Address: Dox	JOIA	Aldress: 1620 Country cares
- lalan	L 6483	DUNINO 1 61525

WHEREAS, JOHN J. MAAS, residing at Mount Zion, Illinois, hereinafter referred to as ASSIGNOR has invented together with Gary C. Bryant, Andrew M. Rummer, Larry T. Ryterski, Kolin J. Kirschenmann and Robert W. Briggs a new and useful

PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 4/10/2007; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc..
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

IN WITNESS OF the execution hereof by JOHN J. MAAS we have hereunto set our hands.

Andrew Rammer 04/10/07

Witness (1)

Address: 345 Long Dive

Forsyth, TZ 62535

Date

DECATUR, JL 62526

WHEREAS, ANDREW M. RUMMER, residing at Forsyth, Illinois, hereinafter referred to as ASSIGNOR has invented together with Gary C. Bryant, John J. Maas, Larry T. Ryterski, Kolin J. Kirschenmann and Robert W. Briggs a new and useful

PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an internal Design Patent in the United States of America, said application having an attached International Declaration and Power of Attorney executed by WHEREAS, Caterpillar Inc., a Delaward State of Illinois, United States of America, here acquiring the entire right, title and interest in an thereon by the United States of America and an NOW, THEREFORE, in consideration good and valuable consideration received by ASSIGNOR; 1. ASSIGNOR hereby sells, assigns, the right, title and interest in and to said invention, a granted thereon by the United States of America continuing application, reissue or extension there obtain patents for said invention in any foreign of full right to do so. 2. At the request and expense of ASSIGNEE any facts known respecting said inventions.	such invention being al Application Request ASSIGNOR on re corporation having inafter referred to as a d to said invention any foreign country; of the sum of One DossiGNOR from ASSI ansfers and conveys using application therefore and any foreign country, and covenant country, and covenant GNEE, ASSIGNOR avention, to execute all	described and identified by and/or an attached 4/10/2007; and a place of business at Peoria, ASSIGNEE, is desirous of and any Design Patent granted ollar (\$1.00) and for other IGNEE, the receipt of which anto ASSIGNEE the entire for, and any Design Patent entry, including any eright to file applications and at that ASSIGNOR has the agrees to communicate to a papers necessary to file any
application on said invention necessary or desiration proper protection for said invention in the Unite 3. ASSIGNOR hereby authorizes and rAND TRADEMARKS to issue the United States	able to aid ASSIGNE ed States of America a equests the COMMIS	E in obtaining and enforcing and any foreign country. SSIONER OF PATENTS
to Caterpillar Inc 4. This assignment shall inure to the be other legal representatives, and shall be binding representatives.	mefit of ASSIGNEE, upon ASSIGNOR, A	its successors, assigns and SSIGNOR'S heirs and legal
IN TESTIMONY WHEREOF, ASSIGN	NOR has executed thi	s instrument.
ANDREW M. RUMMER	<u>04/10/07</u> Date	
IN WITNESS OF the execution hereof by AND hands.		
Witness (1) Date Address: 775 S whichail Ci-	_ Pawan Arrora	04/10/07
Witness (1) Date Address: 775 (whichail Co-	Witness (2) Address: 375	Date N. WOODFORD ST
MT Zion, IL 6 >549	DECATUR	IL 62526

WHEREAS, LARRY T. RYTERSKI, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Gary C. Bryant, John J. Maas, Andrew M. Rummer, Kolin J. Kirschenmann and Robert W. Briggs a new and useful

PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international applicatio	n and/or make applica	tion for
Design Patent in the United States of America, such invention be	eing described and idea	ntified by
said application having an attached International Application Re-	quest and/or an attache	ed
Declaration and Power of Attorney executed by ASSIGNOR on	8/1/2007	; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc..
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

WHEREAS, KOLIN J. KIRSCHENMANN, residing at East Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Gary C. Bryant, John J. Maas, Andrew M. Rummer, Larry T. Ryterski and Robert W. Briggs a new and useful

PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 7/24/2007

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc..
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

Lolen Marken -	Z4 JU101	
KOLIN J. KIRSCHENMANN	Date	
IN WITNESS OF the execution hereof by K	OLIN J. KIRSCHENMANN we hav	e hereunto set
our hands.	/ .	
1/24/0	7 Sur Schrift	7/24/07
Witness (1) Date	Witness (2)	Date
Address: 372 E Maywood	Address: 17555 E. Ma	iskleri
MORTON TI 6155		<i></i>

WHEREAS, ROBERT W. BRIGGS, residing at Lexington, Kentucky, hereinafter referred to as ASSIGNOR has invented together with Gary C. Bryant, John J. Maas, Andrew M. Rummer, Larry T. Ryterski and Kolin J. Kirschenmann a new and useful

PORTION OF AN OFF-HIGHWAY TRUCK

for which ASSIGNOR is about to file an international application and/or make application for Design
Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 4/15/2007; and
WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State
of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the
entire right, title and interest in and to said invention and any Design Patent granted thereon by the United
States of America and any foreign country;
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and
valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby
acknowledged by ASSIGNOR;
1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by
the United States of America and any foreign country, including any continuing application, reissue or
extension thereof, together with the right to file applications and obtain patents for said invention in any
foreign country, and covenant that ASSIGNOR has the full right to do so.
2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE
any facts known respecting said invention, to execute all papers necessary to file any application on said
invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said
invention in the United States of America and any foreign country.
3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND
TRADEMARKS to issue the United States Design Patent on said invention, when granted, to Caterpillar Inc
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other
legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal
representatives.
IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.
Mobile 1-ngg 4.15.01
ROBERT W. BRIGGS Date
IN WITNESS OF the execution hereof by ROBERT W. BRIGGS we have hereunto set our hands.
101018 4/15/07 Ding 8 - 4/15/07
Witness (1) Date Witness (2)
Address: \\ \\ \Address: \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\
1929 LAKES STOS DR. LEXIALTON KY 40502
1000 01 1/01 (1000)

RECORDED: 08/08/2007