



Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

PFS TRADERTOOLS LLC, A DELAWARE LIMITED LIABILITY COMPANY

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) FEBRUARY 27, 2007

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: VENCORE SOLUTIONS LLC, A DELAWARE LLC

Internal Address: _____

Street Address: 4500 SW KRUSE WAY SUITE 350

City: LAKE OSWEGO

State: OR

Country: USA Zip: 97035

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,823,359
11/101,186
2,276,523

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: VENCORE SOLUTIONS LLC, A DELAWARE LLC

Internal Address: _____

Street Address: 4500 SW KRUSE WAY SUITE 350

City: LAKE OSWEGO

State: OR Zip: 97035

Phone Number: 503-699-4997

Fax Number: 503-675-3136

Email Address: CINDY@VENCORE-SOLUTIONS.COM

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 120.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 9998
Expiration Date 11/07

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

August 10, 2007

Date

CINDY SPRINKLE

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$120.00 11101186

700338034

PATENT
REEL: 019699 FRAME: 0837

INTELLECTUAL PROPERTY SECURITY AGREEMENT

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT is entered into as of February **27**, 2007 by and between VENCORE SOLUTIONS LLC, a Delaware Limited Liability Company ("Lender") and PFS TRADERTOOLS LLC, a Delaware Limited Liability Company ("Grantor").

RECTALS

Lender has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Lender and Grantor (as amended from time to time, the "Loan Agreement"). Capitalized terms used herein have the meaning assigned in the Loan Agreement. Lender is willing to make the credit extensions to Grantor, but only upon the condition, among others, that Grantor shall grant to Lender a security interest in all of Grantor's right title, and interest in, to and under all of the Collateral whether presently existing or hereafter acquired

NOW, THEREFORE, Grantor agrees as follows:

AGREEMENT

To secure performance of Grantor's obligations under the Loan Agreement, Grantor grants to Lender a security interest in all of Grantor's right, title and interest in Grantor's intellectual property (including without limitation those copyrights, patents and trademarks listed on Exhibits A, B and C hereto), including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits). This security interest is granted in conjunction with the security interest granted to Lender under the Loan Agreement. Each right, power and remedy of Lender provided for herein shall not preclude the simultaneous or later exercise by Lender of any or all other rights, powers or remedies.

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed as of the first date written above.

Address of Borrower:

30 Rockefeller Plaza, Suite 4244
New York, NY 10112
Attention: Yaacov Heidingsfeld

PFS TRADERTOOLS LLC

By: 

Title: MEMBER

Address of Lender:

4500 SW Kruse Way, Suite 350
Lake Oswego, OR 97035
Attention: Jim Johnson

VENCORE SOLUTIONS LLC

By: 

Title: SVP

Feb 27 2007

EXHIBIT A**Copyrights**

| <u>Title</u> | <u>Registration Number</u> | <u>Registration Date</u> |
|--------------|----------------------------|--------------------------|
|--------------|----------------------------|--------------------------|

EXHIBIT B**Patents**

| <u>Title</u> | <u>Serial/ Patent Number</u> | <u>Application/ Issue Date</u> |
|---|------------------------------|--------------------------------|
| System and method for continually updating dynamic data | 6,823,359 | 11/21/00 |
| Customized automation of financial asset trading | 11/101,186 | 04/07/05 |

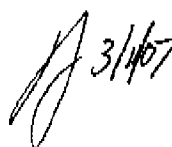
3/1/07

EXHIBIT C**Trademarks**

| <u>Description</u> | <u>Serial / Registration Number</u> | <u>Application / Registration Date</u> |
|---------------------------|-------------------------------------|--|
| TraderTools+ (and design) | 2,276,523 | 09/07/99 |

JF 3/1/07

gmc