

ASSIGNMENT RECORD A

08-10-2007



Patents Only

U.S. PTO
11/888445
08/01/2007

To: Commissioner for Patents:

103435817

Date: August 1, 2007
Attorney Docket No. 5576-199

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- [1.] Takafumi Hoshino
- [2.] Fumie Kusaki
- [3.] Naosuke Maruyama
- [4.] Yuichi Nishiyama
- [5.] Ikuo Fukui
- [6.] Hiroshi Umezawa

2. Name and address of receiving party(ies):

Shin-Etsu Chemical Co., Ltd.
6-1, Otemachi 2-chome
Chiyoda-ku
Tokyo, Japan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- Execution Date(s) [1.] 7/25/2007; [2.] 7/25/2007;
[3.] 7/25/2007 [4.] 7/25/2007 [5.] 7/25/2007 [6.] 7/25/2007
- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmation License
☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

11/888445

4. Application Serial No. _____ Patent No. _____

If this document is being filed together with a new application, the execution date of the application is: August 1, 2007

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

F. Michael Sajovec
Myers Bigel Sibley & Sajovec
P. O. Box 37428
Raleigh NC 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

F. Michael Sajovec Reg. No. 31,793

Name of Person Signing

Signature

August 1, 2007

Date

Total number of pages including cover sheet, attachments and document: 2

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Joyce Paoli

PATENT

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8.1.07

ASSIGNMENT

WHEREAS: Takafumi HOSHINO, Fumie KUSAKI, Naosuke MARUYAMA, Yuichi NISHIYAMA, Ikuo FUKUI and Hiroshi UMEZAWA of c/o Specialty Chemicals Research Center, SHIN-ETSU CHEMICAL CO., LTD., 28-1, Nishi-Fukushima, Kubiki-ku, Joetsu-shi, Niigata-ken, Japan (same for all of the inventors) (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled:

SOLID DOSAGE FORM COMPRISING SOLID DISPERSION AND METHOD FOR PRODUCING THE SAME

for which application for United States Letters Patent identified as _____
_____ has been executed on the _____ day of _____, 2007, and,

WHEREAS: SHIN-ETSU CHEMICAL CO., LTD., a corporation duly organized under and pursuant to the laws of JAPAN and having its principal place of business at 6-1, Otemachi 2-chome, Chiyoda-ku, Tokyo, JAPAN (hereinafter referred to as "ASSIGNEE"), has equitable rights in and to this invention and desires to confirm such rights and to acquire all right, title and interest in and to this invention, including any and all United States Letters Patent or similar legal protection to be obtained therefor.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, and transfers to said ASSIGNEE, its successors and assigns, all right, title and interest in and to said invention, including any and all United States Letters Patent which may be granted therefor and any and all extensions, continuations, divisions, renewals, substitutes or reissues thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property.

ASSIGNOR hereby covenants that no assignment, sale, agreement of encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants and agrees, upon request, to execute any instrument which said ASSIGNEE desires to carry this assignment in effect, and perfect the title transferred hereby, or to file or prosecute any of the above-mentioned United States applications.

And the Commissioner of Patents of the United States is hereby authorized to issue Letters Patent on said above-named application and on any continuation, substitute or division thereof to said ASSIGNEE.

IN TESTIMONY WHEREOF, I/We have hereunto set hand and seal this 25th day of July, 2007

Witnesses:

Jun Watanabe

Okum Park

ASSIGNOR:

Takafumi Hoshino
Takafumi HOSHINO

Fumie Kusaki
Fumie KUSAKI

Naosuke Maruyama
Naosuke MARUYAMA

Yuichi Nishiyama
Yuichi NISHIYAMA

Ikuo Fukui
Ikuo FUKUI

Hiroshi Umezawa
Hiroshi UMEZAWA

PATENT