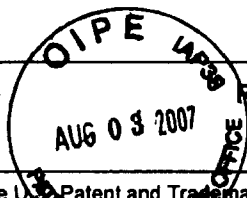


U.S. PTO

11/783253

08/03/2007

08-10-2007

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

103435795

To the Director of the U.S. Patent and Trademark Office

or the new address(es) below.

1. Name of conveying party(ies)

(1) Henri WAELBROECK
(2) Brent GAMSE
(3) Ian DEMPSEY
(4) Andrew SHAPIRO
(5) Abe BURMEISTER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Pipeline Financial Group, Inc.

Internal Address:

Street Address: 60 East 42nd Street
Suite 624

City: New York

State: NY

Zip: 10165

Country: USA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) (1), (3), (4) June 7, 2007; (2) June 4, 2007; (5) June 8, 2007.

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application

A. Patent Application No.(s) 11/783,253

B. Patent No.(s)

DISPLAY OF MARKET IMPACT IN
ALGORITHMIC TRADING ENGINEAdditional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Customer No.: 27557
Blank Rome LLP
600 New Hampshire Avenue, N.W.
Washington, D.C. 20037
Phone: 202-772-5800
Fax: 202-572-8398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☐ None required (government interest not affecting title)

8. Deposit account number: 23-2185

(Attach duplicate copy of this page if paying by deposit account)

Attorney Docket No.: 125091-00106

BYRNE 00000064 232185 11783253

9. Signature:

Signature

August 3, 2007

Date

Michael C. Greenbaum

Name of Person Signing

Total number of pages including cover
Sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P. O. Box 1450, Alexandria, VA 22313-1450

125091 00106/35804276v 1

PATENT
REEL: 019708 FRAME: 0062

8.3.07

11/783253

08/09/2007

01 FC:8021

ASSIGNMENT

THIS ASSIGNMENT, made on the date set forth below by Henri Waelbroeck residing at 38A Claremont Road, Scarsdale, New York, 10583; Brent Gamse residing at 925 Allamanda Drive, Delray Beach, Florida, 33483; Ian Dempsey residing at 245 E 44th Street, 23B, New York, New York, 10017; Andrew Shapiro residing at 129 Pioneer Street, Brooklyn, New York, 11231; and Abe Burmeister residing at 55 Washington Street, #659, Brooklyn, New York, 11201 (hereinafter referred to as "Assignors"), witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in DISPLAY OF MARKET IMPACT IN ALGORITHM TRADING ENGINE set forth in a patent application filed on April 6, 2007 in the United States Patent and Trademark Office, and accorded Application No. 11/783,253; and

WHEREAS, Pipeline Financial Group, Inc., a company duly organized under and pursuant to the laws of the State of Delaware, having its principal place of business at 60 East 42nd Street, Suite 624, New York, New York, 10165 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the said assignor has sold, assigned, transferred and set over, and by these presents do sell, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part

of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignor is the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives and assigns, that the said assignor will, whenever counsel of the said assignee or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, that any division, continuation or continuation-in-part of any application for Letters Patent, or reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to the said assignor, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND said assignor hereby requests the Commissioner of Patent and Trademarks to issue

said Letters Patent of the United States to the said assignee, as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

The undersigned hereby grant the firm of BLANK ROME LLP, the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: June 7, 2007



Henri Waelbroeck

STATE OF New York)

COUNTY OF New York)

JACLYN M. BOWDREN
Notary Public, State of New York
No. 01BO6137727
Qualified in New York County
Commission Expires Dec. 5, 2009

On this 7 day of June 2007, personally before me came Henri Waelbroeck known to me, and known to me to be the persons described in and who signed the annexed Assignment, and being duly sworn, acknowledged execution of the same.

NOTARY PUBLIC 

Commission Expires: 12/5/09

Date: 6/4/2007


Brent Gamse

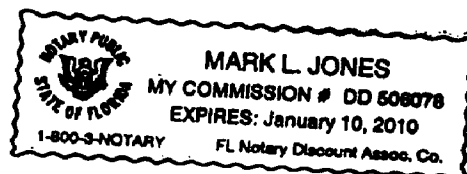
STATE OF Florida)

COUNTY OF Palm Beach)

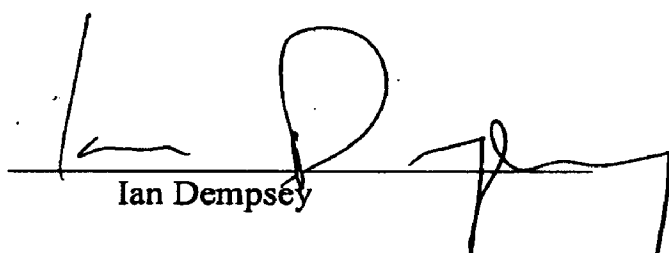
On this 4th day of June 2007, personally before me came Brent Gamse known to me, and known to me to be the persons described in and who signed the annexed Assignment, and being duly sworn, acknowledged execution of the same.

NOTARY PUBLIC 

Commission Expires: 1/10/2010



Date: June 7, 2007


Ian Dempsey

STATE OF New York)


COUNTY OF New York)

JACLYN M. BOWDREN
Notary Public, State of New York
No. 01BO6137727
Qualified in New York County
Commission Expires Dec. 5, 2009

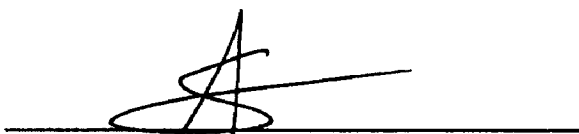
On this 7 day of June 2007, personally before me came Ian Dempsey known to me, and known to me to be the persons described in and who signed the annexed Assignment, and being duly sworn, acknowledged execution of the same.

NOTARY PUBLIC

Commission Expires:


12/5/09

Date: June 7, 2007


Andrew Shapiro

STATE OF New York)

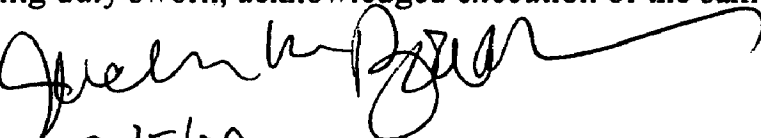
COUNTY OF New York)

JACLYN M. BOWDREN
Notary Public, State of New York
No. 01BO6137727
Qualified in New York County
Commission Expires Dec. 5, 2009

On this 7 day of June 2007, personally before me came Andrew Shapiro known to me, and known to me to be the persons described in and who signed the annexed Assignment, and being duly sworn, acknowledged execution of the same.

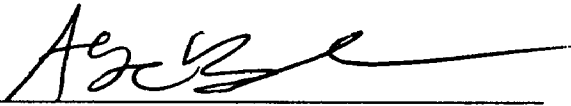
NOTARY PUBLIC

Commission Expires:


12/5/09

Date:

6/8/07


Abe Burmeister

STATE OF

New York


JACLYN M. BOWDREN
Notary Public, State of New York
No. 01BO8137727
Qualified in New York County
Commission Expires Dec. 5, 2009

COUNTY OF

New York

On this 8 day of June 2007, personally before me came Abe Burmeister known to me, and known to me to be the persons described in and who signed the annexed Assignment, and being duly sworn, acknowledged execution of the same.

NOTARY PUBLIC



Commission Expires:

12/5/09