

U.S. PTO
10/594200
07/30/2007

PATENT
Attorney Docket No.: UM-13248

Form PTO-1595

08-14-2007

R SHEET

U.S. Department of Commerce
Patent and Trademark Office



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To the Honorable Commissio

ard the attached original documents or copy thereof.

1. Name(s) of conveying party(ies):

Shaomeng Wang Jianyong Chen
Zaneta Nikolovska-Coleska
Dajun Yang Haiying Sun
Liang Xu Zengjian Hu

Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party:

The Regents Of The University Of Michigan
3003 South State Street
Ann Arbor, Michigan 48109-1280 US

Additional name(s) & address(es) attached? No

3. Nature of conveyance: **ASSIGNMENT**

Execution Date: 06/13/07, 06/13/2007, 06/13/07,
06/14/2007, 07/18/07, 06/14/07, 06/21/07

10/594200

4. Title of Invention: **Compositions and Methods for Treating and Diagnosing Cancer**

Application number(s) or patent number(s):

A. Patent Application No.(s):

11/591,019

B. Patent No.(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

David A. Casimir
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105

6. Total number of applications and patents involved: 1

7. Total fee(s): Check in the amount of \$165.00 enclosed (\$65.00 surcharge for filing missing parts of an application, 40.00 Assignment recordation fee and \$60.00 to cover the one month Extension of Time).

8. Deposit Account Authorization:

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fees, and/or credit any overpayment, to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

DO NOT USE THIS SPACE

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.*

Date: July 26, 2007

Signature:

Name: Robert A. Goetz

Reg. No.: 55,210

Total number of pages including cover sheet, attachments, and document:

PATENT
REEL: 019711 FRAME: 0636

08/03/2007 LLANDGRA 00000011 10594200

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PATENT
Attorney Docket No.: UM-13248

ASSIGNMENT

WHEREAS, WE, Jianyong Chen, Zaneta Nikolovska-Coleska, Dajun Yang, Shaomeng Wang, Haiying Sun, Liang Xu & Zengjian Hu, hereinafter referred to as "ASSIGNOR," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent

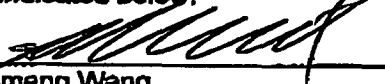
Title of Invention: **Small Molecule Antagonists of XIAP Family Proteins**
Filing Date: **09/22/2006** Serial No.: **10/594,200**

WHEREAS, The Regents of the University of Michigan, a constitutional corporation duly organized and existing under the laws of the State of Michigan, and having a place of business at Wolverine Tower, Room 2071, 3003 South State Street, Ann Arbor, Michigan 48109-1280, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE, and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, or prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full extent and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge, and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's name to this Assignment on the date indicated below.

 6-13-2007
Shaomeng Wang Date
Jianyong Chen 6/13/07
Jianyong Chen Date
Z. Nikolovska-Coleska 06/13/07
Zaneta Nikolovska-Coleska Date

Dajun Yang _____ Date
Haiying Sun 06/14/2007
Haiying Sun Date

Liang Xu _____ Date

Zengjian Hu _____ Date

STATE OF)
COUNTY OF) ss.

On this _____ day of _____, in the year of _____, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNOR, known to me (or proven to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged that he/she/they executed the same.

Karen Krentz 6/13/07
NOTARY PUBLIC or WITNESS 6/14/07

SEAL

MY COMMISSION EXPIRES: _____

PATENT
Attorney Docket No.: **UM-13248**

ASSIGNMENT

WHEREAS, WE, Jianyong Chen, Zaneta Nikolovska-Coleska, Dajun Yang, Shaomeng Wang, Haiying Sun, Liang Xu & Zengjian Hu, hereinafter referred to as "ASSIGNOR," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **Small Molecule Antagonists of XIAP Family Proteins**

Filing Date: **09/22/2006** Serial No.: **10/594,200**

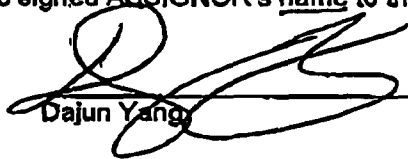
WHEREAS, The Regents of the University of Michigan, a constitutional corporation duly organized and existing under the laws of the State of Michigan, and having a place of business at Wolverine Tower, Room 2071, 3003 South State Street, Ann Arbor, Michigan 48109-1280, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

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IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's name to this Assignment on the date indicated below.

Shaomeng Wang Date

 7-18-07

Dajun Yang Date

Jianyong Chen Date

Haiying Sun Date

Zaneta Nikolovska-Coleska Date

Liang Xu Date

Zengjian Hu Date

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____, in the year of _____, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNOR, known to me (or proven to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged that he/she/they executed the same.

 7/18/07

NOTARY PUBLIC or WITNESS

SEAL

MY COMMISSION EXPIRES: _____

PATENT
Attorney Docket No.: UM-13248

ASSIGNMENT

WHEREAS, WE, Jianyong Chen, Zaneta Nikolovska-Coleska, Dajun Yang, Shaomeng Wang, Haiying Sun, Liang Xu & Zengjian Hu, hereinafter referred to as "ASSIGNOR," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **Small Molecule Antagonists of XIAP Family Proteins**

Filing Date: **09/22/2006** Serial No.: **10/594,200**

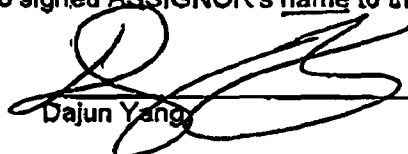
WHEREAS, The Regents of the University of Michigan, a constitutional corporation duly organized and existing under the laws of the State of Michigan, and having a place of business at Wolverine Tower, Room 2071, 3003 South State Street, Ann Arbor, Michigan 48109-1280, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

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Shaomeng Wang Date

 7-18-07

Dajun Yang Date

Jianyong Chen Date

Haiying Sun Date

Zaneta Nikolovska-Coleska Date

Liang Xu Date

Zengjian Hu Date

STATE OF)
COUNTY OF) ss.

On this _____ day of _____, in the year of _____, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNOR, known to me (or proven to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged that he/she/they executed the same.

 7/18/07

NOTARY PUBLIC or WITNESS

SEAL

MY COMMISSION EXPIRES: _____

Attorney Docket No.: UM-1324E

PATENT
REEL: 019711 FRAME: 0640

PATENT

Attorney Docket No.: UM-13248

ASSIGNMENT

WHEREAS, WE, Jianyang Chen, Zaneza Nikolovska-Coleska, Dajun Yang, Shaomeng Wang, Haiying Sun, Liang Xu & Zenglian Hu, hereinafter referred to as "ASSIGNOR," have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of invention: **Small Molecule Antagonists of XIAP Family Proteins**

Filing Date: 09/22/2006 Serial No.: 10/594,200

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IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's name to this Assignment on the date indicated below.


Shaomeng Wang Date

Dajun Yang Date

Jianyang Chen Date

Haiying Sun **Date**

Zameta Nikolovska-Coleska Date

Uang Xu  Date 

Dec 21 06-21-2007
Zengjian Hu Date

STATE OF)
COUNTY OF) SS.

On this _____ day of _____, in the year of _____, before me, the undersigned Notary Public or Witness, personally appeared the above-named ASSIGNOR, known to me (or proven to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged that he/she/they executed the same.

Karen Kreuter 6/21/07
NOTARY PUBLIC or WITNESS

SEAL

MY COMMISSION EXPIRES: _____

PATENT

RECORDED: 07/30/2007

REEL: 019711 FRAME: 0641