

Form PTO-1595 (Rev. 08-05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Kevin D. Shenk (07/31/2007), Francesco Parlati
(08/01/2007), Han-Jie Zhou (07/31/2007),
Catherine Sylvain (08/06/2007),

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: Proteolix, Inc.

Internal Address: _____

Street Address: _____

230 East Grand Avenue, Suite A

City: South San Francisco

State: California

Country: United States of America Zip: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

11/820,490

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Matthew P. Vincent, J.D., Ph.D.
FISH & NEAVE IP GROUP, ROPES & GRAY
LLP

Internal Address: Atty. Dkt.: PRTX-P01-019

Street Address: One International Place

City: Boston

State: MA Zip: 02110

Phone Number: (617) 951-7739

Fax Number: (617) 951-7050

Email Address: MVincent@ropesgray.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

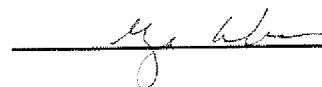
a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 18-1945

Authorized User Name Matthew P. Vincent, J.D., Ph.D.

9. Signature:



Signature

August 17, 2007

Date

Maya Escobar, Ph.D. - 56,346

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-0140, on the date shown below.

Dated: 8/17/07

Signature: 

(Valerie J. Sarosky)

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Mark S. Smyth (08/01/2007), Mark K. Bennett (08/02/2007), and Guy J. Laidig (07/31/2007)	
Additional Assignees (2. Continued):	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

PRTX-P01-019

ASSIGNMENT

WHEREAS, we, Kevin D. Shenk, Francesco Parlati, Han-Jie Zhou, Catherine Sylvain, Mark S. Smyth, Mark K. Bennett and Guy J. Laidig, have invented a certain improvement in **COMPOUNDS FOR ENZYME INHIBITION** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith; and is about to be filed in the United States Patent Office;

☒ was filed on **June 19, 2007** as Application No. **11/820,490**;

☐ was patented under U.S. Patent No. _____ on _____.

WHEREAS, **Proteolix, Inc.**, (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, having principal offices at **230 East Grand Avenue, Suite A, South San Francisco, California 94080** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon, and any reissue, continuation, divisional and foreign counterparts thereof and including the right to claim priority under any applicable statute, treaty or convention based on said application; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, all choses in action pertaining to the applications or Letters Patent including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of rights and registrations pertaining to the applications or Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any

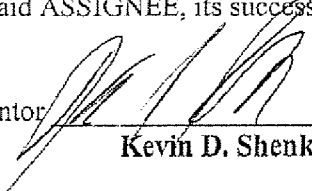
PRTX-P01-019

and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor

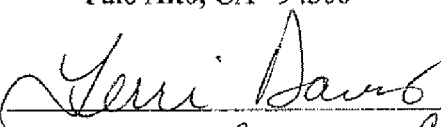

Kevin D. ShenkDate: 07/31/07

Address

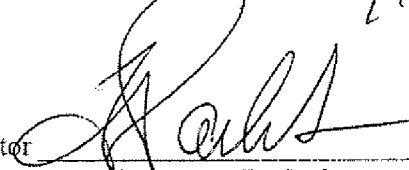
565 Arastradero Road, #203
Palo Alto, CA 94306

Witness

Address


860 Pollux Court
Foster City, CA 94404Date: 31 July 2007

Inventor



Francesco ParlatiDate: 08-01-07

Address

1035 York Street
San Francisco, CA 94110

Witness

Address


860 Pollux Court
Foster City, CA 94404Date: 01 August 2007

PRTX-P01-019

Inventor 
Han-Jie Zhou

Date: 07/31/2007

Address 830 Argus Court
Foster City, CA 94404

Witness 

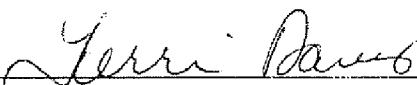
Date: 31 July 2007

Address 860 Pollux Court
Foster City, CA 94404

Inventor 
Catherine Sylvain

Date: 08/06/2007

Address 24 Prague Street
San Mateo, CA 94401-1311

Witness 

Date: 08/06/2007

Address 860 Pollux Court
Foster City, CA 94404

Inventor _____
Mark S. Smyth

Date: _____

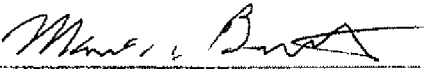
Address 700 E. 19th Avenue, Apt. #211
San Mateo, CA 94403

Witness _____

Date: _____

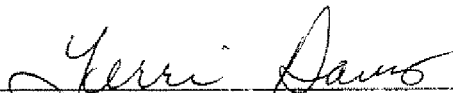
Address _____

PRTX-P01-019

Inventor 
Mark K. Bennett

Date: 8/2/07

Address 9 Fieldbrook Place
Moraga, CA 94556

Witness 


Date: 02 August 2007

Address 860 Pollux Court
Foster City, CA 94404

Inventor 
Guy J. Laidig

Date: 31 July 2007

Address 329 Waverley Street
Menlo Park, CA 94025

Witness 

Date: 07/31/2007

Address 860 Pollux Court
Foster City, CA 94404

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ASSIGNMENT

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NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon, and any reissue, continuation, divisional and foreign counterparts thereof and including the right to claim priority under any applicable statute, treaty or convention based on said application; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, all choses in action pertaining to the applications or Letters Patent including the right to sue for and collect damages and other recoveries for past infringement thereof, all rights to initiate proceedings before government and administrative bodies, and all files, records and other materials arising from the prosecution, exploitation, or defense of rights and registrations pertaining to the applications or Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any

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and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor _____
Kevin D. Shenk

Date: _____

Address **565 Arastradero Road, #203**
Palo Alto, CA 94306

Witness _____

Date: _____

Address _____

Inventor _____
Francesco Parlati

Date: _____

Address **1035 York Street**
San Francisco, CA 94110

Witness _____

Date: _____

Address _____

PRTX-P01-019

Inventor _____ Date: _____
Han-Jie Zhou

Address 830 Argus Court
Foster City, CA 94404

Witness _____ Date: _____

Address _____

Inventor _____ Date: _____
Catherine Sylvain

Address 24 Prague Street
San Mateo, CA 94401-1311

Witness _____ Date: _____

Address _____

Inventor Mark S. Smyth Date: 01 AUG 07
Mark S. Smyth

Address 700 E. 19th Avenue, Apt. #211
San Mateo, CA 94403

Witness Kerrin Davis Date: 08/01/2007

Address 860 Pollux Court
Foster City, CA 94404

PRTX-P01-019

Inventor _____ Date: _____

Mark K. Bennett

Address 9 Fieldbrook Place
Moraga, CA 94556

Witness _____ Date: _____

Address _____

Inventor _____ Date: _____

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Witness _____ Date: _____

Address _____
