# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
NEC TOKIN Corporation	08/01/2007

### **RECEIVING PARTY DATA**

Name:	RIKEN
Street Address:	2-1, Hirosawa
City:	Wako-shi, Saitama
State/Country:	JAPAN
Postal Code:	351-0198

Name:	MegaOpto Co., Ltd.
Street Address:	11-58-307 Honcho
City:	Wako-shi, Saitama
State/Country:	JAPAN
Postal Code:	351-0114

### PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	6937630

## **CORRESPONDENCE DATA**

500341647

Fax Number: (703)205-8050

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 703-205-8000

Email: Shonda.wilson@bskb.com

Correspondent Name: Birch, Stewart, Kolacsh & Birch, LLP

Address Line 1: PO Box 747

Address Line 4: Falls Church, VIRGINIA 22040-0747

ATTORNEY DOCKET NUMBER: 1794-0152P

**PATENT** 

**REEL: 019733 FRAME: 0989** 

# Total Attachments: 3 source=20070820ASSIGNMENT#page1.tif source=20070820ASSIGNMENT#page2.tif source=20070820ASSIGNMENT#page3.tif

PATENT REEL: 019733 FRAME: 0990 US Patent 6,937,630 Docket No. 1794-0152P

**ASSIGNMENT** 

WHEREAS, NEC TOKIN Corporation, a corporation organized and existing under and

by virtue of the laws of JAPAN, having an office at 7-1, Kohriyama 6-chome, Taihaku-ku,

Sendai-shi, Miyagi 982-8510, the owner along with RIKEN and MegaOpto Co., Ltd by previous

assignment, recorded December 10, 2002 at Reel 013561 Frame 0558, of the entire right, title

and interest of Satoshi WADA, Takayo OGAWA, Hideo TASHIRO, Hiroshi MACHIDA, Mikio

HIGUCHI, Kohei KODAIRA, and Tomohiro SHONAI, in and to an invention for new and

useful improvements in or relating to LASER OSCILLATION METHOD AND LASER

DEVICE for which an application for Letters Patent in the United States was executed on

October 25, 2002, October 25, 2002, October 18, 2002, November 6, 2002, October 22, 2002,

October 22, 2002 and October 24, 2002, respectively assigned Application Number 10/237,678,

now US Patent 6,937,630 and are the owners of said US Patent and the invention therein

described and claimed by said previous assignment;

WHEREAS, RIKEN, a corporation organized and existing under and by virtue of the

laws of JAPAN, having an office at 2-1, Hirosawa, Wako-shi, Saitama 351-0198 JAPAN, and

MegaOpto Co., Ltd., a corporation organized and existing under and by virtue of the law of

JAPAN, having an office at 11-58-307, Honcho, Wako-shi, Saitama 351-0114 JAPAN, are

desirous of acquiring NEC TOKIN Corporation's entire right, title and interest in and to the said

US Patent and the invention therein described and claimed whereby RIKEN is to have a 79%

interest and MegaOpto Co., Ltd. is to have a 21% interest:

NOW THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) lawful

money of the United States and other valuable considerations, to me in hand paid, the receipt of

and sufficiency whereof are hereby acknowledged, the said NEC TOKIN Corporation, has sold,

assigned and transferred and does hereby sell, assign and transfer unto the said RIKEN, and

MegaOpto Co., Ltd., its successors and assigns, its entire right, title and interest in and to the said

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Page 1 of 3

PATENT REEL: 019733 FRAME: 0991 US Patent 6,937,630 Docket No. 1794-0152P

US Patent and the invention therein contained, including all rights of action and damages for past

infringement, and including the right to apply for any Letters Patent in the United States of

America on said invention and including the right to claim the priority of the date of filing in the

United States and any Letters Patent that may issue thereon, or therefor, in the United States and

all reissues, extensions, renewals, divisions and continuations thereof, to the full end of the term

or terms for which said Letters Patent may be issued, to be held and enjoyed by the said RIKEN

and MegaOpto Co., Ltd., its successors and assigns the same as it would have been held and

enjoyed by NEC TOKIN Corporation, if this assignment and sale had not been made.

NEC TOKIN Corporation, hereby represents and warrants that there are no rights or

interests outstanding inconsistent with the rights and interests granted herein and that NEC

TOKIN Corporation, will not execute any instrument or grant or transfer any rights or interests

inconsistent therewith, and NEC TOKIN Corporation, binds itself and its heirs, executors,

administrators, employees and legal representatives, as the case may be, to execute and deliver to

the said RIKEN and MegaOpto Co., Ltd., its successors and assigns, any further documents or

instruments and do any and all further acts that may be deemed necessary by the said RIKEN

and MegaOpto Co., Ltd., its successors and assigns to vest in the said RIKEN and MegaOpto

Co., Ltd., its successors and assigns, the title herein conveyed, or intended so to be, and to enable

such title to be recorded in the United States.

AND, NEC TOKIN Corporation, further covenants and agrees, in consideration of the

premises, that it, its executors and administrators will, at any time upon request, communicate to

the said RIKEN and MegaOpto Co., Ltd., its successors and assigns, any facts relating to the said

invention and improvements and the history thereof, known to it or its successors and assigns,

and that it will testify as to the same in any interference or other proceeding when requested to

do so by the said RIKEN and MegaOpto Co., Ltd., its successors and assigns.

The undersigned hereby grants the law firm of Birch, Stewart, Kolasch & Birch, LLP the

power to insert on this Assignment any further identification that may be necessary or desirable

Birch, Stewart, Kolasch & Birch, LLP

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Page 2 of 3

PATENT REEL: 019733 FRAME: 0992 in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, NEC TOKIN Corporation, has hereunto set its seal day of Aug., 2007.
NEC TOKIN Corporation,
By: Lond hour
Title: Chief Manager Laged and Intellectual Property Division
RIKEN and MegaOpto Co., Ltd hereby confirm that the ownership interest of the said US and the invention therein described and claimed are now 79% and 21%, respectively.
IN TESTIMONY WHEREOF, RIKEN, has hereunto set its seal this day ofAug, 2007.
RIKEN,
By: Lyote Nazoni Title: President
IN TESTIMONY WHEREOF, MegaOpto Co., Ltd, has hereunto set its seal
his / day of <u>Aug.</u> , <u>2007</u> .
MegaOpto Co., Ltd,
By: Zasuo Uchirolan
By: <u>Jasuo Uchirolon</u> Title: <u>President</u>

Birch, Stewart, Kolasch & Birch, LLP

**RECORDED: 08/24/2007** 

KM/CTT/tg

Page 3 of 3