

08-22-2007

FORM PTO-1595
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P08A/REV04

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Patent and Trademark Office



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11/884279

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ICZKIEWICZ Joanna
JENNER Peter

8-14-07

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 07 August 2007 and 09 August 2007

2. Name and address of receiving party(ies):

Name: Proximagen Ltd.

Address: Hodgkin Building, Guy's Campus,

King's College London

City: London

State/Prov.:

Country: United Kingdom

ZIP: SE1 1UL

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

07/08/2007 and
09/08/2007

Patent Application No.

Filing date

B. Patent No.(s)

08/15/2007 GFREY1 00000123 190733 11884279

04 FC:8021

40.00 DA

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Susan A. Wolffe

Registration No. 33,568

Address: Banner & Witcoff

12 th Floor

1100 13th St. N.W.

City: Washington

State/Prov.: DC

Country: U.S.

ZIP: 20005-4051

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-0733

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Susan A. Wolffe

Name of Person Signing

Signature

8/14/07

Date

5

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 019742 FRAME: 0216

B&W Ref.:

ASSIGNMENT**WHEREAS** we,**Joanna Iczkiewicz and Peter Jenner**both residing in the United Kingdom and all having a postal address at **Proximagen Ltd., Hodgkin Building , Guy's Campus, King's College London , London SE1 1UL United Kingdom,**

are the inventors of certain inventions and improvements described in a U.S. patent application identified by Attorney Docket No. _____ and having the title:

Treatment for Neurodegeneration

for which an application for Letters Patent of the United States has been prepared,

- I X I** the Declaration for said application executed on even date herewith;
- I I** said application having been filed in the United States Patent and Trademark Office on _____, and accorded Serial Number _____, and was amended on _____ (if applicable);
- I X I** said application having been filed under the Patent Cooperation Treaty on **17 February 2006** and accorded Application No. **PCT/GB2006/000589**, the United States of America being designated;

WHEREAS,**Proximagen Ltd., Hodgkin Building , Guy's Campus, King's College London , London SE1 1UL United Kingdom,**

ASSIGNEE, desires to acquire the entire right, title and interest in and to the said application, inventions and improvements and any patents that may be granted on or as a result thereof in the United States of America (U.S.) and in any and all foreign countries;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged,

We hereby assign, to the above named ASSIGNEE, its successors, assigns and legal representatives (NOMINEES) the entire right, title and interest in and to said application, inventions and improvements throughout the world and to any and all patents, petty patents and utility model patents which may be granted therefor, and all continuations, continuations-in-part, divisions, reissues, extensions, renewals, and any non-provisional based on a provisional thereof (LETTERS PATENT).

And we covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement or do anything in conflict herewith;

And we further covenant and agree that we will without undue delay execute without further consideration all such papers as may be necessary to perfect the title to said application, inventions and improvements, and LETTERS PATENT in the ASSIGNEE or its NOMINEES, and we agree to communicate to said ASSIGNEE or its NOMINEES all known facts respecting said application, inventions, improvements, and LETTERS PATENT, to testify in any legal proceedings, to sign all lawful papers, and generally to do all things necessary to aid ASSIGNEE or its NOMINEES to obtain and enforce for their own benefit patent protection for said application, inventions and improvements in any and all countries, all at the expense, however, of the ASSIGNEE or its NOMINEES;

And we authorize the ASSIGNEE or its NOMINEES to file in our names or their own, as appropriate, application for LETTERS PATENT in any and all countries of the world, and we authorize and request the Commissioner of Patents and Trademarks of the United States, and any proper official of any country, to issue to said ASSIGNEE or its NOMINEES any and all LETTERS PATENT for said application, inventions and improvements, for their sole use, to the full end of the term for which such LETTERS PATENT may be granted;

And we hereby grant our attorneys at the law firm of Banner & Witcoff the power to insert into this document information sufficient to identify the patent application to which this assignment pertains.

Date: 9/8/07
04 August 2007

Signature:
Name:


Joanna Iczkiewicz

Date: _____

Signature:
Name:

Peter Jenner

LAW OFFICES

Banner & Witcoff, LTD
1100 13th Street, N.W.
WASHINGTON, D.C. 20005-4051
Tel.: (202) 824-3000; Fax: (202) 824-3001

B&W Ref.:

ASSIGNMENT

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And we further covenant and agree that we will without undue delay execute without further consideration all such papers as may be necessary to perfect the title to said application, inventions and improvements, and LETTERS PATENT in the ASSIGNEE or its NOMINEES, and we agree to communicate to said ASSIGNEE or its NOMINEES all known facts respecting said application, inventions, improvements, and LETTERS PATENT, to testify in any legal proceedings, to sign all lawful papers, and generally to do all things necessary to aid ASSIGNEE or its NOMINEES to obtain and enforce for their own benefit patent protection for said application, inventions and improvements in any and all countries, all at the expense, however, of the ASSIGNEE or its NOMINEES;

B&W Ref.:

And we authorize the ASSIGNEE or its NOMINEES to file in our names or their own, as appropriate, application for LETTERS PATENT in any and all countries of the world, and we authorize and request the Commissioner of Patents and Trademarks of the United States, and any proper official of any country, to issue to said ASSIGNEE or its NOMINEES any and all LETTERS PATENT for said application, inventions and improvements, for their sole use, to the full end of the term for which such LETTERS PATENT may be granted;

And we hereby grant our attorneys at the law firm of Banner & Witcoff the power to insert into this document information sufficient to identify the patent application to which this assignment pertains.

Date: _____

Signature:

Name:

Joanna Iczkiewicz

Date: 07/08/07
07 August 2007

Signature:

Name:

Peter Jenner

LAW OFFICES

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PATENT

RECORDED: 08/14/2007

REEL: 019742 FRAME: 0220