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U.S. DEPARTMENT OF COMMERCE
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To the Director of the U.S. Patent

103439540

and documents or the new address(es) below.

1. Name of conveying party(ies)

Ming Fai Matthew YUEN
Kai ZHANG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 25, 2007 & July 28, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: The Hong Kong University of Science and Technology

Internal Address: Technology Transfer Center

The Hong Kong University of Science and Technology

Street Address: Clear Water Bay

Kowloon

City: Hong Kong

State: _____

Country: China

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/752,955

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Groover & Holmes

Internal Address: _____

Street Address: P. O. Box 802889

City: Dallas

State: Texas

Zip: 75380

Phone Number: 972.980.5840

Fax Number: 972.980.5841

Email Address: groover@technopatents.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 07-2320

Authorized User Name Patrick C. R. Holmes

9. Signature:

Signature

Patrick C. R. Holmes

Name of Person Signing

August 20, 2007

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

08/21/2007 CDH/RL 00000051 072320 11732955
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PATENT
REEL: 019753 FRAME: 0954

ASSIGNMENT

As a below-named inventor of the U.S. patent application entitled **Heat Dissipation Structure with Aligned Carbon Nanotube Arrays and Methods for Manufacturing and Use**, which names an inventorship of **Matthew Ming Fai YUEN** and **Kai ZHANG**, and which was filed **May 24, 2007** and received Serial No. **11/752,955**, I hereby sell, assign and transfer to **The Hong Kong University of Science and Technology**, (hereinafter referred to as ASSIGNEE), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in all foreign countries to be obtained for said invention by said application or any division, continuation, continuation-in-part, renewal, substitute, extension, re-examination or reissue thereof or any legal equivalent thereof in the United States or any foreign country for the full term or terms of which the same may be granted, and specifically including the right to file foreign applications and claim priority therefore under the provisions of any convention or treaty;


and I also hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

and I further hereby covenant that I will, upon ASSIGNEE'S request, promptly provide ASSIGNEE with all pertinent facts and documents, relating to said application, to any invention disclosed therein, or to any of said Letters Patent or legal equivalents thereof in the United States or in foreign countries, as may be known and accessible to me, and that I will testify and give evidence as to the same in any litigation or interference which may arise, and that I will promptly execute and deliver to ASSIGNEE or its legal representative, without charge but at Assignee's expense, any and all papers, instruments or affidavits which may be required, necessary or desirable for the purpose of applying for, obtaining, maintaining, issuing or enforcing said application and said Letters Patent and said equivalents thereof in the United States or in any foreign country; and I further authorize ASSIGNEE or its legal representative to make any submissions or true declarations which may be needed in connection with the prosecution of this application or related applications in the U.S. or in other countries, consistent with the requirements of the applicable country;

AND I HEREBY AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on said invention to ASSIGNEE, its successors and assigns.

IN WITNESS WHEREOF, this Assignment has been executed on the date set forth below.

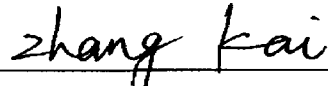
Inventor: **Matthew Ming Fai YUEN**

Date: 28/7/07 Signature: 

Residence: Flat 4A, Tower 18, Senior Staff Quarter, The Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, China

Citizenship: United Kingdom

Inventor: **Kai ZHANG**

Date: July 25, 2007 Signature: 

Residence: Flat F, 34/F, Block 3, La Cite Noble, No. 1 Ngan O, Tseung Kwan O, New Territories, Hong Kong, China

Citizenship: China